



REPORT TO: HEALTH AND SOCIAL CARE INTEGRATION JOINT BOARD – 4 MAY 2016
REPORT ON: HEALTH AND SOCIAL CARE GOVERNANCE
REPORT BY: CHIEF OFFICER
REPORT NO: DIJB21-2016

1.0 PURPOSE OF REPORT

The purpose of this report is to advise members of the proposed governance arrangements with Dundee City Council and NHS Tayside.

2.0 RECOMMENDATIONS

The Integration Joint Board (IJB) is asked to:

- 2.1 Note the proposed governance arrangements between the IJB and Dundee City Council and NHS Tayside as set out in section 5 of this report.

3.0 FINANCIAL IMPLICATIONS

None.

4.0 BACKGROUND

- 4.1 Previous reports to the IJB have referred to the Integration Scheme recently approved by NHS Tayside and Dundee City Council and now approved by the Scottish Government. This is the main document for identifying the functions to be undertaken by the IJB and the accountability of the Board and its Chief Officer under the terms of the Public Bodies (Joint Working) (Scotland) Act 2014 (the Act).

- 4.2 The Act puts in place arrangements for integrating health and social care in order to improve outcomes for patients, service users, carers and their families. The Act requires Health Boards and Councils to work together effectively to agree a model of integration to deliver quality, sustainable care services.

- 4.3 The IJB is responsible for the strategic planning of the functions delegated to it and for ensuring the delivery of those functions through directions issued by it under section 26 of the Act to the Health Board or Council. The IJB also has an operational role as described in the locally agreed operational arrangements set out within the Integration Scheme.

- 4.4 To fulfill its remit the IJB will:

- Adhere to the content of any future regulations or guidance issued by Scottish Ministers;
- Ensure stakeholder engagement;
- Take into consideration national developments in policy and practice.

- 4.5 An IJB must prepare and then review a strategic plan at least every three years. IJBs are under a duty to have regard to integration principles when preparing a strategic plan. IJBs are also under a duty to have regard to the National Health and Wellbeing Outcomes when preparing a strategic plan. These Outcomes are high-level statements of what IJBs are attempting to achieve through integration and ultimately through the pursuit of quality

improvement across health and social care.

4.6 IJBs are required to issue directions to Health Boards and Councils as to how integration functions are to be carried out. IJBs are required to prepare an annual performance report.

4.7 An annual financial statement must be published setting out the total resources included in the plan for that year.

5.0 PROGRESS TO DATE

5.1 The IJB, through its Chief Officer and Chief Finance Officer, has to put in place systems to establish robust governance including:

- Financial regulations (which will link with those of NHS Tayside and Dundee City Council);
- Risk management policy and strategy;
- Claims liability and indemnity cover;
- Internal audit arrangements
- Complaints;
- Performance framework;
- Workforce and Organisational Development;
- Quality and effectiveness of care and support;
- Equality outcomes

5.2 Together these will form the governance framework of the Dundee IJB. To date reports have been brought to the IJB outlining the governance arrangements for a number of these areas (see further information at Appendix 1).

5.3 NHS Tayside and Dundee City Council will retain scrutiny of professional and clinical governance at a high level to ensure consistency of application over the entirety of their organisations.

5.4 The Tayside Clinical and Care Governance and Professional Governance Forum is a professional reference group, bringing together senior professionals across Tayside. The group will provide oversight and advice and guidance to the Strategic Planning Groups and to the IJB in respect of clinical, care and professional governance for the delivery of health and social care services across the localities identified in their strategic plans.

6.0 POLICY IMPLICATIONS

This report has been screened for any policy implications in respect of Equality Impact Assessment and Risk Management. There are no major issues.

7.0 CONSULTATIONS

The Chief Finance Officer and the Clerk were consulted in the preparation of this report.

8.0 BACKGROUND PAPERS

None.

David W Lynch
Chief Officer

DATE: 22 March 2016

Governance Arrangements – Progress To Date

| <u>Issue</u> | <u>Date of Report to IJB</u> |
|--|--|
| Financial Regulations | 4 May 2016 |
| Risk management policy and strategy | 4 May 2016 |
| Claims, liability and indemnity cover (CNORIS) | 24 November 2015 |
| Internal audit arrangements | 24 November 2015 (for 2015/16) 4 May 2016 (for 2016/17) |
| Complaints | 28 June 2016 |
| Performance framework | 23 February 2016 |
| Workforce and Organisational Development | 23 February 2016 |
| Quality and effectiveness of care and support (including information governance, reports from inspection agencies and Clinical, Care and Professional Governance arrangements) | Regular reports |
| Equality outcomes | 15 March 2016 4 May 2016 (mainstreaming report) |

