



**REPORT TO: HEALTH AND SOCIAL CARE INTEGRATION JOINT BOARD -  
18 DECEMBER 2018**

**REPORT ON: CARERS (SCOTLAND) ACT 2016 IMPLEMENTATION UPDATE**

**REPORT BY: CHIEF OFFICER**

**REPORT NO: DIJB67-2018**

**1.0 PURPOSE OF REPORT**

1.1 This report provides information about progress with implementation of the Carers (Scotland) Act 2016 and seeks approval for the Carers (Scotland) Act Investment Plan.

**2.0 RECOMMENDATIONS**

It is recommended that the Integration Joint Board (IJB):

- 2.1 Notes progress made in implementing the Carer's (Scotland) Act 2016 outlined at section 5 of the report.
- 2.2 Remits the Chief Officer to issue the directions set out in section 9.0 of the report.
- 2.3 Approves Carers (Scotland) Act funding investment plan (attached at Appendix 1).
- 2.4 Notes that a Dundee Carers Partnership Bi Annual Report will be published by May 2019 and will include self-evaluation against first full year of Carers (Scotland) Act 2016 implementation.

**3.0 FINANCIAL IMPLICATIONS**

- 3.1 Funding for the implementation of the Carers Act has been provided nationally as part of the additional £66m investment in social care by the Scottish Government included in the 2018/19 local government finance settlement. The funding to support implementation of the Act for Dundee Health and Social Care Partnership is £350k in 2018/19 which has been planned for as part of the IJB's 2018/19 budget. The Scottish Government has set out funding estimates for each year post implementation of the Act.
- 3.2 The Carers (Scotland) Act Investment Plan as set out in Appendix 1 amounts to £311,049 for period 2018/2019 with £139,656 continued in to 2019/20 to build capacity to deliver the implications of the Carers (Scotland) Act.

**4.1 Overview of Carers (Scotland) Act 2016 (The Act)**

- 4.1.1 The Act aims for Adult and Young Carers to be better supported on a more consistent basis so that they can continue to care, if they so wish, in good health and to have a life alongside caring. For Young Carers, the intention is similar to that for Adult Carers but that Young Carers should have a childhood similar to their non-carer peers. The Act commenced in April 2018.

- 4.1.2 The Act defines a Carer as an individual who provides or intends to provide care for another individual (the “cared-for person”). The Act removes the substantial and regular test used in previous legislation to define the caring role and the requirement that the cared-for person must access community care services in their own right. In doing so, the Act expands who can be defined as a Carer and supports a greater focus on the impact caring has on the Carer as well as the Carers Personal Outcomes related to the caring role.
- 4.1.3 The approach taken to supporting the health and wellbeing of Carers and implementing the Carers (Scotland) Act 2016 in Dundee is outlined in A Caring Dundee: A Strategic Plan for Supporting Carers in Dundee 2017-2020 which was ratified by the Dundee Integration Joint Board on 31 October 2017 (Article X of the minute of the meeting, report DIJB47-2018 refers). The stated vision in this plan is that we will achieve ‘A Caring Dundee in which all Carers feel listened to, valued and supported so that they feel well and are able to live a life alongside caring.
- 4.1.4 The Act confers a number of duties upon Local Authorities and Health Boards, as described in reports to the Dundee Integration Joint Board on 29 August 2017 and 28 June 2016. In summary, the Act describes the requirement to deliver:
- Information and advice
  - Young Carers Statements which set out the Young Carers identified personal outcomes, needs and the support to be provided to the Young Carer
  - Adult Carers Support Plans which set out the Carer’s identified personal outcomes, identified needs and the support to be provided to the Carers.
  - A local eligibility criteria which provides information about access to support.
  - Involvement of Carers in discharge planning for the cared-for person.
  - Support to Carers, based on the Carer’s identified needs which meet the local eligibility criteria. This includes waive any charges for any support provided to Carers and to publish a Short Breaks Services Statement which provides information about the short breaks services available for Carers and persons they care for.
  - Involvement of Carers in the Carer Services that they provide.
  - A Carers Strategy which has been developed with Carers and Carers Organisations.

## 5.0 CARERS (SCOTLAND) ACT PROGRESS

### 5.1 Progress in Implementing the Act

- 5.1.1 Significant progress has been made in implementing the Carers (Scotland) Act 2016 during the period 2018 – 2019 as follows:

Duty under the Act	Progress at December 2018
Information and Advice	Information and advice is provided through Dundee Carers Centre, the ‘Carers of Dundee’ website which was launched in May 2018 and Carers of Dundee Factsheets which are available on Dundee Health and Social Care Partnership website.
Local Eligibility Criteria	Local Eligibility Criteria was coproduced and ratified at Dundee Integration Joint Board on 24 April 2018.
Carers Strategic Plan	A Carers Strategic Plan was coproduced and ratified at the Dundee Integration Joint Board on 31 October 2017.
Carers Involvement	A Carers Voice Group and Young Carers Voice has been established to promote Carers involvement in strategic planning and Carers developments. Carers are represented on Dundee Carers Partnership, Integration Joint Board Strategic Planning Group.

<b>Duty under the Act</b>	<b>Progress at December 2018</b>
Adult Carers Support Plan	The Adult Carers Support Plan has been developed in line with statutory requirements and implemented. A range of support is commissioned through Third Sector to enable Carers to achieve their outcomes.
Young Carers Statement	The Young Carers Statement has been developed in line with statutory requirements and implemented. A report was agreed at the Children and Families Committee on 10 <sup>th</sup> September 2018 and notes progress in relation to support to Young Carers.
Waiving of Carers Charges	All support commissioned through third sector and provided by way of an Adult Carers Support Plan or Young Carers Statement to enable a Carer to achieve their outcomes is waived of charge.
Involvement in Discharge Planning	Dundee Carers Centre and Integrated Discharge Hub are working on a coproduced evaluation of service user and carer involvement and experience of discharge planning. This will support design of a tool embedded within the current discharge planning process which enables ongoing audit of involvement.

5.1.2 The focus of the first year of implementation has been to build capacity to implement each duty within the Act and further develop personalised and locality based support to Carers. This has focused on realigning current contractual arrangements to enable a focus on supporting Young and Adult Carers to achieve their outcomes and investing in services which will build capacity as described in section 5.2.

5.1.3 In addition, the Dundee Carers Partnership recognised that workforce development, communications, integrated budgets and quality assurance arrangements are key infrastructure requirements to support implementation of the Act. To support this the following has been developed with a view of implementation during 2019 - 2020:

- A workforce development programme which will ensure that key workforce across health and social care partnership and its partners are supported to understand developments about the Act. A multi-agency workforce survey has been circulated during 2018 to inform the programme.
- A quality assurance programme which will focus on evidencing Carers outcomes and quality of support provided to Carers.
- Multi-agency guidance which will provide an overview of local implementation arrangements and support consistency of implementation across Dundee.
- Procedures for Health and Social Care and Children and Families workforce.
- A communications strategy to raise ongoing awareness about Carers including identification of Carers.

5.1.4 To ensure ongoing monitoring of progress in implementing the Act and the Carers Strategic Plan a Dundee Carers Partnership Bi Annual Report is currently in production. It is planned that the report will be published by May 2019.

## **5.2 Carers (Scotland) Act Investment Plan**

5.2.1 The budget for implementation of the Act for Dundee Health and Social Care Partnership is £350k per annum which has been planned for as part of the IJB's 2018/19 budget. The Carers Information Strategy monies are included as part of this allocation and therefore any projects already funded through Carers Information Strategy for 2018 – 2019 are considered as part of the funding allocation.

- 5.2.2 To ensure transparency in the use of the Carers (Scotland) Act Funding, bids were invited from partners associated with the Dundee Carers Partnership and Health and Social Care Partnership. Communications were circulated through a range of networks to ensure maximum reach.
- 5.2.3 A multi-agency group, involving members of the Carers Partnership met to review bids received and develop the Carers Local Investment Plan for Dundee. Priorities identified through the Dundee Carers Strategic Commissioning Plan and duties conferred by the Carers (Scotland) Act 2016 were used to support development of the Carers (Scotland) Act Local Investment Plan. The Carers Local Investment Plan for Dundee is attached at Appendix 1 of this report.
- 5.2.4 In order to ensure effective governance in relation to the monitoring of progress against investment outcome measures, a Service Level Agreements will be developed with respect to each allocation (including statutory and Third sector organisations). As part of the Service Level Agreement each organisation will be expected to: -
- Embed key standards and principles, governing the practice and culture of their work, which includes health and social care standards.
  - Build capacity to support Unpaid Carers.
  - Reduce health inequalities and promote early intervention and prevention approaches which promote Carers outcomes.
- 5.2.5 The Carers (Scotland) Act Investment Plan for Dundee focuses on building capacity to provide support to Carers and increase workforce and stakeholders understanding of Carers. The following investment is proposed in line with priorities identified in the Act.
- Locality based and personalised support to Carers through Dundee Carers Centre and Penumbra.
  - Building capacity through peer support and volunteering opportunities.
  - Learning and workforce development programme
  - Carers of Dundee campaign and communications strategy.
  - Building capacity to undertake Adult Carers Support Plans
- 5.2.6 The Carers (Scotland) Act Investment Plan amounts to £311,049 for period 2018 – 2019 with £139, 656 continued to Carers (Scotland) Act Investment Plan for period 2019 – 2020 to build capacity to deliver upon the Act. The Investment Plan is attached at Appendix 1.
- 5.2.7 The Investment Plan includes £132,896 funding already allocated to Dundee Carers Centre by way of Carers Information Strategy funding. Prior to 2018, the Carers Information Strategy funding was allocated through Health Boards to enable Health Boards to provide information and advice to Carers. As this duty has now transferred to Local Authorities, the Carers Information Strategy funding is now incorporated into the investment in social care by the Scottish Government.

## **6.0 POLICY IMPLICATIONS**

- 6.1 This report has been screened for any policy implications in respect of Equality Impact Assessment and Risk Management. There are no major issues.

## 7.0 RISK ASSESSMENT

<b>Risk 1 Description</b>	There is a risk that current funding will be insufficient to support provision implementation of the Act.
<b>Risk Category</b>	Financial
<b>Inherent Risk Level</b>	Likelihood 3 x Impact 4 = Risk Scoring 12 (which is High Risk Level)
<b>Mitigating Actions (including timescales and resources )</b>	Securing multi-agency agreement on the actions required in line with the Dundee Carers Strategic Plan.
<b>Residual Risk Level</b>	Likelihood 3 x Impact 3 = Risk Scoring 9 (which is a High Risk Level)
<b>Planned Risk Level</b>	Likelihood 2 x Impact 3 = Risk Scoring 6 (which is a Moderate Risk Level)
<b>Approval recommendation</b>	Given the risk mitigation actions in place the risk is deemed to be manageable and should be accepted.

## 8.0 CONSULTATIONS

The Chief Finance Officer and the Clerk were consulted in the preparation of this report.

## 9.0 DIRECTIONS

The Integration Joint Board requires a mechanism to action its strategic commissioning plans and this is provided for in sections 26 to 28 of the Public Bodies (Joint Working)(Scotland) Act 2014. This mechanism takes the form of binding directions from the Integration Joint Board to one or both of Dundee City Council and NHS Tayside.

Direction Required to Dundee City Council, NHS Tayside or Both	Direction to:	
	1. No Direction Required	
	2. Dundee City Council	
	3. NHS Tayside	
	4. Dundee City Council and NHS Tayside	x

David W Lynch  
Chief Officer

DATE: 5 December 2018

Alexis Chappell  
Locality Manager



## DIRECTION FROM THE DUNDEE CITY INTEGRATION JOINT BOARD

1	Reference	DIJB67-2018
2	Date Direction issued by Integration Joint Board	18 December 2018
3	Date from which direction takes effect	18 December 2018
4	Direction to:	Dundee City Council and NHS Tayside
5	Does this direction supersede, amend or cancel a previous direction – if yes, include the reference number(s)	No
6	Functions covered by direction	Services for carers in terms of the Carers (Scotland) Act 2016 Investment Plan.
7	Full text of direction	<p>Dundee City Council is directed to enter in to contractual arrangements with all relevant service providers and make provision for services to be provided directly by the council as identified in the Carers (Scotland) Act Investment Plan for the delivery of those services required for the implementation of the Act.</p> <p>NHS Tayside is directed to make provision for services to be provided directly as identified in the Carers (Scotland) Act Investment Plan.</p>
8	Budget allocated by Integration Joint Board to carry out direction	Dundee City Council – 2018/19 - £304,049: 2019/20 - £122,256 NHS Tayside – 2018/19 - £7,000 : 2019/20 - £17,400

9	Performance monitoring arrangements	Through the financial monitoring and workforce planning review arrangements to Dundee Integration Joint Board.
10	Date direction will be reviewed	March 2019



DUNDEE IJB, NOVEMBER 2018

ADDITIONAL INVESTMENT IN SERVICES TO SUPPORT CARERS: CARERS (SCOTLAND) ACT FUNDING

2018-19 INVESTMENT PLAN AND REPORTING TEMPLATE

Investment area	Key Challenges	Proposal and Intended Outcomes	Anticipated Investment	Anticipated Investment Measure Progress
<p>Dundee Carers Centre (applying on behalf of the Dundee Carers Partnership Communications and Involvement Workstream)</p>	<ul style="list-style-type: none"> <li>• Raising awareness of Carers</li> <li>• Raising awareness of Carers (Scotland) Act 2016</li> <li>• Identification of Carers</li> <li>• Early intervention and prevention approaches which support improved outcomes for Carers</li> </ul>	<p>Carers Personal Outcomes are Achieved Strategic Plan Outcomes are Achieved</p>	<p>Year 1 £12,000  One off allocation</p>	<ul style="list-style-type: none"> <li>• Increased identification and awareness of Carers.</li> <li>• Increased engagement with Carers and key stakeholders.</li> <li>• Provision of information and advice to Carers</li> <li>• Local and National Partnership arrangements developed which enables effective implementation of and sustainability of the project.</li> <li>• Actions identified in Carers Strategic Plan relating to this project completed and evidence of achievement of Carers Outcomes.</li> <li>• Duty relating to provision of information is met.</li> </ul>

Investment area	Key Challenges	Proposal and Intended Outcomes	Anticipated Investment	Anticipated Investment Measure Progress
Dundee Carers Centre (applying on behalf of the Dundee Carers Partnership Learning and Development Workstream)	<ul style="list-style-type: none"> <li>• Building capacity to identify and support carers within existing health, social care and third sector workforce.</li> <li>• Raising awareness and improving understanding of carers and carers (Scotland) act to health, social care and third sector workforce.</li> <li>• Early intervention and prevention approaches which support improved outcomes for Carers</li> </ul>	<p>Carers Personal Outcomes are Achieved Strategic Plan Outcomes are Achieved</p>	<p>Year 1 £57,000</p> <p>One Off allocation</p>	<ul style="list-style-type: none"> <li>• Increased identification and awareness of Carers.</li> <li>• Local and National Partnership arrangements developed which enables effective implementation of and sustainability of the project.</li> <li>• Actions identified in Carers Strategic Plan relating to this project completed and evidence of achievement of Carers Outcomes.</li> <li>• Workforce are supported to deliver best possible support to Carers.</li> <li>• Duty relating to provision of information, advice and support is met.</li> </ul>
Dundee Carers Centre Developing Peer Support	<ul style="list-style-type: none"> <li>• Building capacity to provide support to Carers</li> <li>• Early intervention and prevention approaches which support improved outcomes for Carers</li> <li>• Identification and involvement of Carers</li> </ul>	<p>Year 1 Increase Volunteer Coordinator Hours</p> <p>Year 2 Carers Personal Outcomes are Achieved Strategic Plan Outcomes are Achieved</p>	<p>Year 1 £18,000</p> <p>One Off allocation</p>	<ul style="list-style-type: none"> <li>• Increased identification and awareness of Carers.</li> <li>• Increased engagement and involvement of Carers.</li> <li>• Local and National Partnership arrangements developed which enables effective implementation of and sustainability of the project.</li> <li>• Actions identified in Carers Strategic Plan relating to this project completed.</li> <li>• Duty relating to provision of information, advice and support is met.</li> </ul>

Investment area	Key Challenges	Proposal and Intended Outcomes	Anticipated Investment	Anticipated Investment Measure Progress
<p>Dundee Carers Centre Caring Places Localities Roll Out</p>	<p>Building capacity to provide support to Carers Early intervention and prevention approaches which support improved outcomes for Carers Identification and involvement of Carers Delivery of support in localities across Dundee.</p>	<p>Year 1 Recruit to Posts  Year 2 Carers Personal Outcomes are Achieved Strategic Plan Outcomes are Achieved</p>	<p>Year 1 £36, 128  Year 2 £72,256</p>	<ul style="list-style-type: none"> <li>• Increased identification and awareness of Carers.</li> <li>• Increased engagement and involvement of Carers.</li> <li>• Local and National Partnership arrangements developed which enables effective implementation of and sustainability of the project.</li> <li>• Actions identified in Carers Strategic Plan relating to this project completed.</li> <li>• Positive outcomes for Carers are achieved</li> <li>• Duty relating to provision of information, advice and support is met.</li> </ul>
<p>Penumbra</p>	<p>Building capacity to provide support to Carers Early intervention and prevention approaches which support improved outcomes for Carers Identification and involvement of Carers Improving Carers health and wellbeing</p>	<p>Year 1 Increase Hours  Year 2 Carers Personal Outcomes are Achieved Strategic Plan Outcomes are Achieved</p>	<p>Year 1 £40,000  One off allocation.</p>	<ul style="list-style-type: none"> <li>• Increased identification and awareness of Carers.</li> <li>• Increased engagement and involvement of Carers.</li> <li>• Local and National Partnership arrangements developed which enables effective implementation of and sustainability of the project.</li> <li>• Actions identified in Carers Strategic Plan relating to this project completed.</li> <li>• Positive outcomes for Carers are achieved</li> <li>• Duty relating to provision of information, advice and support is met.</li> </ul>

Investment area	Key Challenges	Proposal and Intended Outcomes	Anticipated Investment	Anticipated Investment Measure Progress
Dundee Health and Social Care Partnership Community Nursing	Building capacity to provide support to Carers through community nursing. Building capacity to undertake Adult Carers Support Plans	Year 1 Recruit to posts  Year 2 Carers Personal Outcomes are Achieved Strategic Plan Outcomes are Achieved	Year 1 £7000  Year 2 £17, 400	<ul style="list-style-type: none"> <li>• Increased identification and awareness of Carers.</li> <li>• Increased engagement and involvement of Carers.</li> <li>• Local and National Partnership arrangements developed which enables effective implementation of and sustainability of the project.</li> <li>• Actions identified in Carers Strategic Plan relating to this project completed.</li> <li>• Positive outcomes for Carers are achieved</li> <li>• Duty relating to Adult Carers Support Plan and provision of support is met.</li> </ul>
TCA Kith and Kin Service	Building capacity to provide support to Carers. Increasing identification and engagement with Carers.	Year 1 Carers Personal Outcomes are Achieved Strategic Plan Outcomes are Achieved	£2,275  One off allocation	<ul style="list-style-type: none"> <li>• Increased identification and awareness of Carers.</li> <li>• Increased engagement and involvement of Carers.</li> <li>• Local and National Partnership arrangements developed which enables effective implementation of and sustainability of the project.</li> <li>• Actions identified in Carers Strategic Plan relating to this project completed.</li> <li>• Positive outcomes for Carers are achieved.</li> <li>• Duty relating to provision of support to Carers is met.</li> </ul>

Investment area	Key Challenges	Proposal and Intended Outcomes	Anticipated Investment	Anticipated Investment Measure Progress
<p>Dundee Health and Social Care Partnership Adult Carer Support Plan and Carers Development Lead</p>	<p>Building capacity to deliver Adult Carers Support Plans and implement Carers (Scotland) Act within integrated teams. Building capacity to provide support to Carers through integrated teams. Continuous improvement and practice development. Providing assurance as to Act implementation.</p>	<p>Year 1 Recruit to Posts</p> <p>Year 2 Carers Personal Outcomes are Achieved Strategic Plan Outcomes are Achieved</p>	<p>Year 1 £5000.</p> <p>Year 2 £50,000.</p>	<ul style="list-style-type: none"> <li>• Increased identification and awareness of Carers.</li> <li>• Increased engagement and involvement of Carers.</li> <li>• Local and National Partnership arrangements developed which enables effective implementation of and sustainability of the project.</li> <li>• Actions identified in Carers Strategic Plan relating to this project completed.</li> <li>• Positive outcomes for Carers are achieved</li> <li>• Duty relating to Adult Carers Support Plan and provision of options relating to self-directed support is met.</li> </ul>
<p>Dundee Carers Centre (Carers Information Strategy Allocation)</p>	<p>Provision of information, advice and signposting Provision of support to Carers</p>	<p>Carers Personal Outcomes are Achieved Strategic Plan Outcomes are Achieved</p>	<p>Year 1 £132, 896</p>	<ul style="list-style-type: none"> <li>• Increased identification and awareness of Carers.</li> <li>• Increased engagement and involvement of Carers.</li> <li>• Local and National Partnership arrangements developed which enables effective implementation of and sustainability of the project.</li> <li>• Duty relating to information and advice, support to Carers is met.</li> <li>• Actions identified in Carers Strategic Plan relating to this project completed.</li> <li>• Positive outcomes for Carers are achieved.</li> </ul>

Investment area	Key Challenges	Proposal and Intended Outcomes	Anticipated Investment	Anticipated Investment Measure Progress
The Corner Young Carers Project	Provision of information, advice and signposting	Carers Personal Outcomes are Achieved Strategic Plan Outcomes are Achieved	Year 1 £750	<ul style="list-style-type: none"> <li>• Increased identification and awareness of Carers.</li> <li>• Increased engagement and involvement of Carers.</li> <li>• Local and National Partnership arrangements developed which enables effective implementation of and sustainability of the project.</li> <li>• Duty relating to support to Carers is met.</li> </ul>
<p>Total Year 1 - £311,049</p> <p>Total Year 2 - £139,656</p>				
<p>Additional points agreed:</p> <ul style="list-style-type: none"> <li>• Service Level Agreements will be developed with respect to each allocation (including statutory and Third sector organisations).</li> <li>• All the organisations awarded funding will be expected to embed key standards and principles, governing the practice and culture of their work, which includes health and social care standards and policies and practices which protect children and adults, including vulnerable women.</li> <li>• All the organisations awarded funding will be expected to build capacity to support Unpaid Carers.</li> <li>• All the organisations awarded funding will be expected to reduce health inequalities and promote early intervention and prevention approaches which enable Carers to be identified and access timely support.</li> <li>• All the organisations awarded funding will be expected to enable Carers to have positive experiences and achieve their personal outcomes.</li> </ul>				