



**REPORT TO: HEALTH AND SOCIAL CARE INTEGRATION JOINT BOARD -
15 DECEMBER 2021**

**REPORT ON: PERFORMANCE AND AUDIT COMMITTEE CHAIR'S ASSURANCE
REPORT**

REPORT BY: CHAIR, PERFORMANCE AND AUDIT COMMITTEE

REPORT NO: DIJB66-2021

This assurance report relates to the meeting of the Performance and Audit Committee of the 24 November 2021

Decisions Made and Instructions Issued by the Committee

- Item V – in relation to the Audit Scotland Annual Report and IJB Annual Accounts 2020/21 the committee endorsed the response to the Audit Scotland report, approved the annual accounts and instructed the Chief Finance Officer to publish the accounts on the Health and Social Care Partnership's website. Furthermore, the Chief Finance Officer was instructed to provide an update on progress of the action plan included in the Auditor's report by February 2022.
- Item VI – in relation to the Internal Audit Report on Performance Management the Chief Finance Officer was instructed to include the actions in the report within the Governance Action Plan.
- Item VII – in relation to the partnership's 2021/22 Quarter 1 Performance Report, approved the future approach to quarterly monitoring reports while instructing the Chief Finance Officer to provide more in-depth information on readmissions data as well as hospital admissions due to falls and reasons for the deterioration in care inspectorate gradings.

Issues to highlight to the Board

- I welcomed everyone to the meeting and the Committee noted the reappointment of members following agreement at the IJB meeting of the 25th October 2021, including my reappointment in the Chair.
- We followed up on a number of action points set out in the Action Tracker, introduced to the PAC for the first time. This development was welcomed by the committee.
- Anne Marie Machan and Fiona Mitchell Knight from Audit Scotland presented the findings from their annual external audit report. The committee took the opportunity to ask the auditors a range of questions and were provided with assurance that there were no issues with the accounts and annual report with a small number of recommendations made designed to enhance existing governance arrangements.
- The Committee was presented with a substantive Internal Audit Review of the IJB's Performance Management and reporting arrangements by the Chief Internal Auditor, Tony Gaskin. Tony outlined the findings of the report which was graded as providing a reasonable level of assurance. Tony explained to the Committee that in his opinion Dundee IJB has the most developed performance management framework of any of the IJB's he provides Internal Audit support for however noted there were still areas of improvement the IJB could make leading to the recommendations in the report. The Committee welcomed the findings of the report.

- Following the presentation of the internal audit review, the Committee was presented with Dundee Health and Social Care Partnership's 2021/22 Quarter 1 Performance Report and it was noted that some of the format and content of the report had been amended to reflect some of the comments from the Internal Audit review with a range of other changes planned for future reports. Areas of concern raised from the report included the continued challenges around hospital admissions and readmissions figures in addition to some deterioration in Care Inspectorate gradings performance with further reports requested to be presented to future meetings of the PAC.
- The Committee received progress reports in relation to the 2021/22 Internal Audit Plan and Governance Action Plan and noted their respective progress.
- A report highlighting and exploring Psychological Therapies Services compliance with the Adult 18-week referral to treatment target (HEAT) was discussed with Dr Michelle Ramage, Lead Clinician in Psychotherapy and Arlene Mitchell, Locality Manager providing an overview of the position and outlining the improvement work which has been carried out to date and planned for the future. The background position of significant increases in demand coupled with staff recruitment and retention challenges associated with highly specialist staff have contributed to a range of services currently not meeting the target time for referral. Additional Scottish Government funding has been provided for Mental Health Recovery and Renewal, some of which is allocated to enhance Psychological Therapy services. The committee welcomed the opportunity to explore this area further.
- The Clinical, Care and Professional Governance exception report was discussed with moderate assurance provided to the committee. The committee supported the proposal to amend the future reporting format to align with NHS Tayside's Care Governance Committee's reports which would save officers writing different style reports for each governance committee.

Trudy McLeay
Chair

6th December 2021