



REPORT TO: HEALTH AND SOCIAL CARE INTEGRATION JOINT BOARD -
29 OCTOBER 2019

REPORT ON: PERFORMANCE AND AUDIT COMMITTEE CHAIR'S ASSURANCE
REPORT

REPORT BY: CHAIR, PERFORMANCE AND AUDIT COMMITTEE

REPORT NO: DIJB59-2019

This assurance report relates to the meeting of the Performance and Audit Committee of the 24th September 2019. The meeting was chaired initially by myself and I would like to thank Bailie Wright for taking over the chair on my behalf as I had to leave part of the way through the meeting.

Instructions Issued by the Committee

The committee issued the following instructions and made the following decisions in relation to the business laid before it:

- Item IV Audit Scotland Report and Integration Joint Board Annual Accounts 2018/19 – approved the Audited Annual Accounts for signature and instructed the Chief Finance Officer to return these to the external auditor and to publish the accounts on the Health and Social Care Partnership's website. The committee also instructed the Chief Finance Officer to provide an update on progress with the action plan set out within the External Auditors report by January 2020.
- Item X Discharge Management Performance Update on Complex and Standard Delays – agreed to the Audit Scotland NHS Workforce Planning – Part 2 Report being discussed at the next Performance and Audit Committee.

Issues to highlight to the Board

- Bruce Crosbie from Audit Scotland as External Auditor took the Committee through in detail the main findings and recommendations of the annual audit report for Dundee Integration Joint Board. It was noted that due to the Chief Officer's annual leave, the audited annual accounts would not be signed by the necessary parties within the statutory timescale of the 30th September, therefore the IJB would be in breach of the legislation. An additional recommendation was made to delegate to the Chief Finance Officer to consider any post balance sheet events between the accounts being approved for issue at the committee and the date of signing of the accounts. Subsequently there were no such events to report. The accounts were acknowledged to provide a true and fair view of the financial position for the year ended 31st March 2019.
- The external auditors report highlighted some concerns around the financial viability of the IJB in the short term given the projected financial shortfall in 2019/20 and the low level of reserves.
- A number of recommendations for improvement have been made for which an action plan in response has been prepared. The Chief Finance Officer will progress these and report on progress by January 2020 to ensure these have been actioned prior to the 2019/20 annual audit review.
- The committee noted the latest quarterly complaints report which showed performance in responding to complaints received through both the council and NHS complaints system regarding services provided by Dundee Health and Social Care Partnership. It was noted that while there was steady performance in meeting target response dates there significant room for improvement and opportunities to streamline complaint handling processes.
- The committee were also presented with two separate reports summarising Care Inspectorate Gradings for registered care services for adults and Dundee registered care homes for adults for 2018/19. The committee discussed the performance of services and were assured that any

poor performing service had either seen a more recent improvement in grades, were working closely with the HSCP to improve or were no longer providing a service.

- Given the timescales associated with the publication of performance information, the committee received and discussed both the quarter 4 from 2018/19 and the quarter 1 for 2019/20 performance reports, the latter being a summary report. In relation to the quarter 1 report, it was noted that current performance for emergency admissions, emergency bed day rate for acute specialties and bed days lost to delayed discharges are not on track to meet the 2019/20 trajectories.
- Further detailed performance information was discussed in relation to a report on Discharge Management Performance Update on Complex and Standard Delays with a range of improvement actions identified to address a recent increase in the rate of standard delays.
- The committee was also provided with an exception report in relation to Dundee HSCP Clinical, Care and Professional Governance. This included acknowledgement that the Dundee Drugs Commission report had been received and a comprehensive response to the recommendations would be provided to a future meeting of the PAC.
- The Health and Social Care Results from the Dundee Citizens Survey 2018 were presented and the committee was given the assurance that the detail of these have been incorporated into the Partnership's locality needs assessment profiles to be published on the Partnership's website by 30th September 2019.
- The 2019/20 Internal Audit Plan was presented by the Chief Internal Auditor. It was acknowledged that there had been slippage in the 2018/19 plan which was being monitored through the regular progress report provided to the committee. The 2019/20 substantive audits will cover Performance Management and Adverse Events Management in addition to continued support around governance and assurance.

**Councillor Ken Lynn
Chair**

16th October 2019