



REPORT TO: HEALTH AND SOCIAL CARE INTEGRATION JOINT BOARD

REPORT ON: CHANGES TO DUNDEE HEALTH AND SOCIAL CARE PARTNERSHIP ADAPTATIONS POLICY

REPORT BY: CHIEF OFFICER

REPORT NO: DIJB54-2023

1.0 PURPOSE OF REPORT

1.1 This report sets out proposals to update the adaptations policy to reflect Scottish Government guidance, greater personalisation and best use of resources.

2.0 RECOMMENDATIONS

It is recommended that the Integration Joint Board (IJB):

- 2.1 Agree the proposed amendments contained in the Report.
- 2.2 Approve the 'Joint Policy for the Provision of Adaptations in the Homes of People with Disabilities who live in Dundee' which forms Appendix 1 to the report.
- 2.3 Notes that relying on scarce social care as an alternative to adaptations whilst waiting for rehousing, generally costs more than the adaptation and social care can take up to 6 months to secure.
- 2.4 Notes that given the pressures on available housing stock and the increasingly complex needs we are now managing in community settings, we have recognised the need to manage all of our available resources as efficiently as possible and as part of this we now need to consider the balance between adaptation and rehousing.
- 2.5 Notes that as a result of 2.3 and 2.4 above, a further review of the adaptations policy will be required during the 2024/25 financial year to explore and recommend cost effective alternatives.
- 2.6 Refers the Joint Policy for the Provision of Adaptations in the Homes of People with Disabilities who live in Dundee to Dundee City Council and NHS Tayside to approve, for their interest.

3.0 FINANCIAL IMPLICATIONS

3.1 Financial implications are expected to be negligible. The three-month data analysis in section 4.8 of this report identified minimal cost impact with an additional 3 ramps, 1 level access shower and 10 potential stairlifts. The proposed change from adaptations to equipment for ramps and stairlifts may slightly increase the number recommended but the cost savings of the recycling of equipment is expected to be greater than the cost of additional supply. The minimal increase in level access showers will increase independence and reduce demand on scarce social care resources.

4.0 MAIN TEXT

- 4.1 This report relates to a previous report submitted to the meeting of the IJB on 25th June 2019 (Article XV of the minute of meeting refers), which details information about the then Dundee and Angus Community Equipment Loan Service and the proposal to further develop the service to a Dundee & Angus Community & Schools Equipment Loan Service, Dundee & Angus Independent Living Community Equipment Centre (DAILCEC).
- 4.2 Prior to that, in 2016 there was a Dundee document for joint equipment & adaptations criteria called; Joint Criteria for the Provision of Equipment and Adaptations in the Homes of People with Disabilities who live in Dundee. The Community Independent Living service led a review and revision of the Equipment policy in collaboration with Angus HSCP. The Operational Guidance on the Provision of Equipment for Children and Adults with Disabilities Living in Dundee and Angus subsequently developed, enabled a shared consistent approach to the prescription of equipment across Dundee and Angus. Children's Education later withdrew from the proposal as they did not wish to transfer funding, choosing instead to retain their previous processes. This did not include adaptations which is a single Partnership policy.
- 4.3 All potential adaptations are first assessed by qualified trained & experienced staff.
- 4.4 The criteria for Adaptations had been included in the original document, and required to be reviewed. The criteria for adaptations had been revised separately by Angus HSCP in 2019. This meant that Dundee HSCP needed to review and revise the policy locally. This process was initiated in June 2019 but consultation could not proceed due to the pandemic resulting in further review and consultation being required in 2022.
- 4.5 The Adaptations Review Governance group was set up with membership across Housing, Community Occupational therapy, Procurement, Medical Advisor (Housing) and the Housing Grants Section.

The purpose of this group was;

- To review the adaptations criteria for Dundee;
- To consider the housing shortages for suitable housing re needs, modification of properties;
- Consider palliative care clients living longer;
- To make best use of resources.

The scope of the review (regarding adaptations only, not relating to equipment) –

Adaptations include minor, moderate, major and complex; Over bath showers; Level access showers; Toilet adaptations; Internal stairlifts; Ramps; Stair-gates; Hand rails; Step access; Fences; Dropped kerbs; Door entry systems; Automatic door- opening; Re-hanging doors; Repositioning of electric sockets; Step lifts; Through floor lifts; Building extensions.

Key Changes in Appendix 1;

- Simplified the wording for over bath showers
- Stairlifts and modular ramps to be procured as equipment in order that they can be removed and reused. (previously adaptations and not recyclable).
- Level access showers – Extending existing criteria for level access showers in ground floor properties where property is not wholly adaptable. (Not indicated if person can use bathing/showering equipment).
- Significant medical evidence required for a change of shower back to providing a bath (previously not considered).
- Toilets – provided on assessment of individual need e.g. Closomat, Commode use. (Clearer description)
- Through floor lift now included.
- Steps access reworded to include extending steps for those with walking frames.

No Change;

- Fences / Paths
- Kitchen adaptation
- Internal stair-gate

- 4.6 An initial consultation of proposed changes was undertaken in Feb 2022 with housing associations, The Frailty Strategic Planning Group for discussion with their stakeholder groups and with Community Occupational Therapists. The power point slides are attached separately. There were no objections noted.
- 4.7 In 2022 the Scottish Government undertook a review to update the Guidance of Equipment & Adaptations in light of the development of HSCPs. The draft was issued in March 2022, a national consultation was undertaken which DHSCP Community Occupational Therapy Service contributed to. The DHSCP Adaptations Review has taken cognisance of the Scottish Government Guidance which was confirmed as a final version on 17 01 2023.
- 4.8 Potential implications of the changes in criteria for adaptations have been considered through a recent review of refused adaptations between 01/10/2022 and 31/12/2022 checked against the proposed criteria to identify potential cost implications. Most adaptations declined would not be impacted by the updated policy as they relate to either inappropriate referrals or environmental / maintenance factors preventing adaptations. Those identified below would result in minimal cost implications but significant benefit to the individuals.

Refused Adaptations feedback extract – items with no impact not included (between 01/10/22 - 31/12/22).

	<u>TYPE</u>	
1	<u>Ramps</u>	15 refused due to; *Not technically possible *Person able to negotiate the access or not a wheelchair user *Property otherwise not meeting needs *On rehousing list Only 3 would make the new criteria.
2	<u>Stairlifts</u>	13 refused <ul style="list-style-type: none">• Person too able• Contraindications with cognition, condition, prognosis (Safety concerns)• Property not meeting criteria due to external steps• One was not suitable due to being communal stairs. This person was also not a good candidate Under proposed new criteria all stairlifts would be provided as loaned equipment items i.e. to be returned when no longer needed, not fixed adaptations.
3	<u>Level Access Showers</u>	28 refused level access showers, Property not meeting criteria e.g. not level or rampable, not suitable for long term needs <ul style="list-style-type: none">• Not technically possible e.g. one bathroom did not meet the needs.• On rehousing list• Person/ability i.e. able to use equipment, poor prognosis• Refused to engage in assessment Only 1 would have been completed under the proposed new criteria.

5.0 POLICY IMPLICATIONS

This report has been subject to an Integrated Impact Assessment to identify impacts on Equality & Diversity, Fairness & Poverty, Environment and Corporate Risk. An impact, positive or negative, on one or more of these issues was identified. An appropriate senior manager has checked and agreed with this assessment. A copy of the Integrated Impact Assessment showing the impacts and accompanying benefits of / mitigating factors for them is included as an Appendix to this report.

6.0 RISK ASSESSMENT

This is a mandatory field and an explanation must be provided which covers the fields below. Please fill in and copy this table for each individual risk identified.

Risk 1 Description	Further complaints regarding equity of access. The policy submitted only increases access to level access showers for those living in ground floor properties or 2 story properties with an internal private stairway where a stairlift can be installed. It does not include upper floor flats where stairlifts cannot be installed in public access stairs. Any recommended changes to this element / pooling of budgets considering the lack of available suitable housing stock / unmet social care need would lead to significant cost implications and will be considered at the next review of the policy.
Risk Category	Financial, Political
Inherent Risk Level	Likelihood (3) x Impact (4) = Risk Scoring (12)
Mitigating Actions (including timescales and resources)	As this policy update is now 3 years overdue it is important the currently recommended / consulted on changes are implemented to benefit the citizens of Dundee. The next review will commence later this year and early discussions took place in September to begin planning for this process. People who have an assessed need for a level access shower, but their property does not currently meet the criteria for level access showers in upper floor properties, can be assessed for an option 1 payment but this remains a complex process which is only now being tested.
Residual Risk Level	Likelihood (2) x Impact (4) = Risk Scoring (8)
Planned Risk Level	Likelihood (1) x Impact (4) = Risk Scoring (4)
Approval recommendation	The IJB is recommended to accept the risk levels with the expectation that the mitigating actions are taken forward.

7.0 CONSULTATIONS

The Chief Finance Officer and the Clerk were consulted in the preparation of this report.

Details of this proposal were shared with the following stakeholders.

- Members of the Frailty Strategic Planning Group
- Housing Associations and Dundee City Council Housing Department, Housing Asset Management Team and Special Needs Unit
- Community Occupational Therapists

Dundee Citizens were not directly consulted but feedback in recent years has informed this work.

8.0 DIRECTIONS

The Integration Joint Board requires a mechanism to action its strategic commissioning plans and this is provided for in sections 26 to 28 of the Public Bodies (Joint Working)(Scotland) Act 2014. This mechanism takes the form of binding directions from the Integration Joint Board to one or both of Dundee City Council and NHS Tayside.

Direction Required to Dundee City Council, NHS Tayside or Both	Direction to:	
	1. No Direction Required	x
	2. Dundee City Council	
	3. NHS Tayside	
	4. Dundee City Council and NHS Tayside	

9.0 BACKGROUND PAPERS

None

Angela Smith, Associate Locality Manager
Claire Tester, Integrated Manager

DATE: 19/09/2023

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Joint

POLICY FOR THE PROVISION

of ADAPTATIONS

in

THE HOMES

of PEOPLE WITH DISABILITIES

WHO LIVE IN DUNDEE

Version Number	Issue Date	Review Date
1.10	September 2023	September 2024
Approved by: Dundee HSCP IJB		
Approval Date:		
Policy Owner: Angela Smith, Associate Locality Manager Responsible Officer: Claire Tester, Integrated Manager Community Independent Living Service & Professional Lead for Occupational Therapy.		

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FOREWORD

The Dundee Health and Social Care Partnership has undertaken a review and revision of the criteria used in reference regarding necessary adaptations to homes in Dundee. The criteria are used by the specialist staff who undertake individual assessment of need, after referral for such an assessment. The criteria were reviewed and developed with key departments and services and informed through wide consultation.

INTRODUCTION AND PURPOSE OF THE POLICY

The Dundee Health and Social Care Partnership, together with Private and Public Sector Housing have established this Joint Adaptations Policy for people with disabilities of all ages who live in Dundee on a permanent basis.

It was produced to ensure that in providing adaptations:

- You have a positive experience of the service provided;
- You are supported to live independently
- You and your family members or unpaid carers feel that your views are taken into consideration;
- Following assessment of need you will receive required adaptations according to criteria.
- Adaptations are safe for use by you and/or carers.
- All our practitioners are appropriately trained and competent to assess for and prescribe adaptations.

Contact details of services are located in Appendix 2.

WHAT ARE ELIGIBILITY CRITERIA?

Eligibility criteria are statements identifying conditions and circumstances which, when met, allow access to Dundee Health and Social Care Partnership and Housing Services to consider an adaptation.

Whether or not adaptations are provided will depend on your assessed need including the risk of providing (or not providing) the adaptation to you.

Eligibility criteria assist Dundee Health and Social Care Partnership, Dundee City Council and Housing Associations to demonstrate equity, consistency and transparency in how decisions are made about how we use scarce resources and public funds.

PRINCIPLES

In line with Scottish Government Guidance on the [Provision of Equipment and Adaptations](#) we use the following principles to apply our eligibility criteria:

- Access to assessment and provision of adaptations will always be fair and consistent;
- You will always be encouraged and supported to live as independently as possible by maximising your own abilities and achieving your outcomes;
- Your views are important to us and we will listen to you as part of the assessment;
- You will be treated with dignity and respect
- You will be provided with advice and information to enable you to make informed decisions about the outcomes you wish to achieve which includes information about what adaptations may be available to you as well as any costs involved;
- You will face no discrimination on the grounds of race, disability, gender, age, sexual orientation, religion or belief;
- You will receive information on decisions made about adaptations.
- We will prioritise referrals based on urgency of your need and this will allow us to determine how quickly your assessment will take place.

Where the criteria for services is not met, we will provide you with advice and information, or you will be directed to alternative sources of assistance.

Practice will be centered on you and your carers at all times. Practitioners will be aware of and sensitive to how the above factors affect people's cultural and lifestyle choices. These will be incorporated into any service planning, individual assessment and/or intervention where possible.

Prescribers of equipment and adaptations are required to work within their professional codes of practice and within the law when completing an assessment and when making decisions about whether to provide equipment and/or adaptations. Appendix 1 provides a list and an overview of our legislative requirements, good practice guidance and a list of professional bodies.

OUR ELIGIBILITY CRITERIA FOR ADAPTATIONS

In order to qualify for adaptations in your accommodation you must:

- Live in Dundee;

And

- Have a disability which limits your ability to live independently;

And

- Live in accommodation which can provide suitable, safe and an accessible environment for you, your family, paid and unpaid carers once the adaptation is installed. By suitable and accessible we mean that your home must be adaptable for use to suit your current and predicted future needs. By safe we mean that you, your family, paid and unpaid carers will not be injured as a result of the adaptation;

And

- Where a child lives between two households' adaptations will be recommended in the primary household unless there is shared care with a 50/50 split as determined by a court order. Similar consideration will be given to adults with incapacity where care is shared.

And

- Have a housing tenure and/or permission from your landlord which allows us to install adaptations. Please see Appendix 4 which provides more information about tenure;

And

- Meet the individual criteria for the type of adaptation you have requested.

Please note:

People at the end of life who are in receipt of a DS1500/or BASRA will be provided with equipment to maintain their independence or to enable care. Major adaptations will not be provided in these circumstances due to the significant work and stress involved, at a time when a calm environment is required. If a person wishes to privately pursue adaptations then information and advice will be provided. Advice may include living on one floor (ground or 1st floor), where adaptations would not be considered to be safe.

People with a palliative diagnosis but a prognosis of over 6 months, will be considered and assessed on a case by case basis according to their functional ability, needs and situation.

- (i) Access to the roadside will be considered i.e. this includes the incline and ease of a ramp, as part of the adaptations' assessment, to ensure that the person can participate in their community. This may mean safe access to the roadside, a car park or bus route.
- (ii) Alternative sources of heating, replacement of windows or insulation of houses are not provided as these are not specific to the needs of people with disabilities.
- (iii) There is a separate Policy for the provision of equipment. This is a joint criteria document with Angus Council.
- (iv) There is a separate CoSLA policy for people who live within a care setting to meet national guidance. National Protocol for the Provision of Equipment in Care Homes.

Onward referral to other services such as Care & Repair as appropriate will be considered.

INTERNAL ADAPTATIONS

BATHROOM EQUIPMENT AND ADAPTATIONS

Dundee and Angus Independent Living Centre provides information about what types of bathroom equipment and adaptations are available in Dundee and links to helpful information and advice (see <http://www.ilda.scot>)

Over Bath Showers

Over bath showers will be provided, following assessment, when the person cannot independently transfer in/out of the bath but can transfer to an over bath shower either independently or with showering equipment.

Issues with continence or epilepsy will also be considered as part of the assessment for an over bath shower.

Level Access Showers

An assessment of ability will be completed. A level access shower will not be provided when a person has the ability to safely use bathing or showering equipment independently.

If a level access shower is provided, then additional medical points for rehousing will not be considered in the immediate future.

Ground floor flats, bungalows, houses – Level access showers will be provided, following assessment of ability, in properties where the bathroom is on the ground floor.

The bathroom layout must allow sufficient circulation space to allow assistance from a carer.

In flats with lift access / level access & internal private stairs suitable for a stairlift– as above. Where there are structural issues, e.g. concrete floors, a low-level tray may be considered providing that the adaptation would meet the person's long-term functional needs.

Other flats e.g. upper floor in a block/1st floor or above tenement / maisonettes with public stair access– Level access showers will not be considered.

Semi-detached properties (cottage) – Where the bathroom is upstairs a level access shower will be considered, following assessment, where the property is level or rampable and it would be technically possible to install a stairlift which would meet the persons assessed needs. Consideration will be given to the person's medical condition and how it will impact their future ability.

Level access showers will not be provided in semi-detached properties if the access cannot be ramped and give clear access to the roadside.

Additional Information

- (i) A level access shower will not be replaced with a bath unless there is significant medical evidence that this is essential for a severe and enduring medical condition. Each case will be assessed on its unique merits.
- (ii) Spa Baths and Hydrotherapy Baths. Treatment baths e.g. spa baths, hydrotherapy baths are not provided.
- (iii) Body dryers can be considered alongside other bathroom works.

Specialist Toilet / WC

Provision of a Geberit, Closomat or similar system will be provided depending on the person's assessed needs.

Downstairs Toilets

A downstairs plumbed WC will only be recommended when it would allow all on level living where a stairlift is not suitable e.g. where the person has significant cognitive impairment. The area for adaptation must have activity space for a wheeled shower chair and/or carer assistance to meet future need.

Commodes are widely available from the equipment store.

Additional WCs

Funding will not be considered for an additional WC in relation to medical needs e.g. Crohn's Disease, Diverticulitis or similar. A commode can be provided in these circumstances. The person may wish to consider purchase of a chemical toilet.

HOUSEHOLD ADAPTATIONS

Kitchen Adaptations

Kitchen adaptations will be recommended following assessment where you are the person who prepares the main meals for the household.

Rehanging Doors

Re-hanging doors, converting to sliding or bi-folding, replacing door handles and fitting kick plates will be recommended where you cannot access an existing room due to your mobility difficulties.

Internal Stair Gate (Adaptation)

Internal stair gates will be provided where a person is at risk of injury if a stairgate is not installed. Stairgates will not be provided for young children.

Heightening of electrical sockets

Heightening of sockets will be recommended where a person is at significant risk of falling to take out or insert the plug of an essential appliance;

Ceiling Tracking Hoists

Tracking hoists will be recommended following assessment to facilitate safe transfers where it would not be safe transferring the person with a mobile hoist.

Stairlifts

Stairlifts can be provided, following assessment, when someone has significant difficulties mobilising on the internal stairs. It is expected that the person will be able to maintain a safe and independent transfer on and off the stairlift. NB Assisted transfers are not considered to be safe nor independent.

The assessment will consider the person's cognition, diagnosis, prognosis and other factors which may present risk e.g. where there is a child in the household, or your condition is progressive and would impact on the safety of use.

Additional Information.

- (i) The person may be requested to visit DAILCEC to trial a stairlift as part of the assessment process.
- (ii) The property must be level or rampable to ensure that the person's future needs can be met.
- (iii) Stairlifts will not be provided when a person has access to all facilities on the ground floor i.e. sleeping area, bathroom etc.
- (iv) Independent transfer means without help of a person or mechanical lifting aid.

External Stairlifts

Dundee Health and Social Care Partnership do not recommend or provide external stair-lifts in accordance with Report No 210 -2001, titled: Best Value Review of Housing and Related Services for People with Physical Disabilities: Continuous Improvement Proposals.

Through floor lifts

Through floor lifts will only be considered in exceptional circumstances where a person's long terms needs will be fully met with installation. This will include consideration of activity space throughout the property.

Following assessment, a technical appraisal of the property will take place to ensure that installation of a through floor lift is possible. The request for provision will be discussed by Complex Case panel comprising of Occupational Therapy Team Manager, Independent Living Officer and an appropriate representative from Private or public sector housing.

Bariatric Structural Surveys/Remedial Works

Where bariatric equipment is required (e.g. bed or chair) a structural survey must first be completed to determine if the property is suitable. The report will recommend required works. These works can be simple from a layer of plywood to complex expensive work e.g. steel supports to strengthen floor joists. The owner of the property is responsible for the cost of this survey and subsequent remedial works. Where the person is an owner, or a tenant of a private landlord, the works may be eligible for grant funding. Grant funding could include the cost of the initial structural survey should the works be completed.

Extensions

Where assessed adaptations to the bathroom could have been carried out in the original property then the Home Improvement Grant will be based on the probable cost of adapting the original property.

Where the adaptation is not possible within the existing footprint of the property other grant funded options can be discussed.

Garage Conversions

Grant funding will be considered where there will be sufficient activity space for all required activities of daily living.

External Adaptations

Ramps Access (Adaptation)

Semi-permanent ramps will be recommended, following assessment,

- where due to your disability you have been assessed as unable to enter or exit your property independently or safely with assistance of a person and/or your mobility equipment.
- Have a property that can be ramped or be accessed by an external step lift, in accordance with building regulations and within the current economic cost of £8000 (this figure may be reviewed)

Additional Information

- (i) Ramps will not be provided for self-purchased wheelchairs or scooters where the person has the ability to safely ascend/descend external steps. Storage will not be provided for self-purchased wheelchairs or scooters.
- (ii) Ramps will only be considered within the curtilage of the property.
- (iii) All necessary facilities in the property will be accessible i.e. sleeping area, kitchen, toilet and personal washing facilities.

Handrails

Handrails at access steps will be recommended following assessment where the handrails will promote a person's safety.

Steps Access

Alteration to a maximum of three steps at the entrance to a property will be recommended, following assessment, where alterations to the steps will promote your safety and independence. The alteration will include widening of the narrow top step to standard width and alterations of subsequent steps as necessary.

Paths

Widening of a path will be recommended following assessment where you;

- Require the path leading to your main house door from your garden entrance to be widened to facilitate use of walking aids or wheelchair if your existing path is too narrow to afford safe and easy access;
- Have a path that is in good repair.

Additional Information

If your path is in poor repair, it is the responsibility of your landlord or propertyowner to make it good.

Fences

Installation of a fence will be recommended, following assessment, where there is significant information to support that a child requires the garden area to be blocked off to create a safe play area and:

- Is aged over 3 years but under 10 years and has been diagnosed and assessed by a consultant pediatrician with a condition that means the child will place themselves at risk should they not have fencing to create a safe play area;

And/ Or

- The child aged under 5 years of age and has a parent who has a sensory impairment or who is physically disabled to the extent of being unable to reach the child quickly;

Door Entry Systems

Door entry systems will be recommended following assessment where your mobility is significantly impaired and access to the door is either impossible or puts you at severe risk of falling;

Additional Information

It must be noted that a door entry system may affect domestic insurance and are not compatible with most PVC door systems. Therefore, they cannot be provided in all situations. When a door entry system is in place, further provision of a key safe will not be considered unless there is a change in the persons functional abilities.

Automatic Door Openers

An automatic door opening system on the main entrance to the property will be recommended following assessment where you:

- Have been prescribed a self-propelling or electric wheelchair for indoor and outdoor use on a full-time basis by NHS Tayside;

Or

- Your mobility is significantly impaired and you would otherwise be confined to the house;

Additional Information

It must be noted that an automatic door opening system may affect domestic house insurance. Therefore, they cannot be provided in all situations.

Tenants of Dundee City Council Self Assessment

The Housing Department will provide the following items to its tenants on a self-determined basis:

External metal handrails, additional rails on close stairwells, lever taps, changes to thresholds (wooden, metal or rubber), door furniture, cistern handles, loosening or tightening of door closers, removal of floor to ceiling poles provision of corner protectors adaptations to isolation switches, shower hose, or shower head.

APPENDIX 1 – LEGISLATION, POLICY and CODES OF PRACTICE

Our outcomes, Eligibility Criteria and provision and maintenance of equipment are based on a number of legislative duties and powers which Local Authorities and NHS Boards must adhere to.

Provision of Equipment and adaptations: Public Bodies (Joint Working) (Scotland) Act 2014; Chronically Sick and Disabled Person's Act 1970, as amended by Chronically Sick and Disabled Person's Act (Scotland) 1972; Social Care (Self Directed Support) (Scotland) Act 2013; Housing Scotland Act 2001, section 92 (In so far as it relates to an aid or adaptation), Housing (Scotland) Act 2006 section 71(1)(b) (In so far as it relates to an aid or adaptation), Social Work (Scotland) Act 1968 section 12.

Employee Safety: Health & Safety at Work Act 1974; Manual Handling Operations Regulations 1992 (as amended).

Equipment Safety: The Provision and Use of Equipment Regulations, 1998 (PUWER); Electricity at Work Regulations 1989 (Portable Appliance Testing (PAT)) and statutory examinations of lifting equipment under the Lifting Operations and Lifting Equipment Regulations 1998 (LOLER); Managing Medical Devices: Guidance for Healthcare and Social Services Organisations, 2014.

Housing (Scotland) Act 2006: Scheme of Assistance (replacing Improvements and Repairs Grants) from the 1st April 2010, the Improvement and Repairs grants scheme has been replaced with a 'Scheme of Assistance' under the Housing (Scotland) Act 2006.

Scottish Government Guidance's: Aids, Adaptations and Equipment (2015); Guidance on the Provision of Equipment and Adaptations; Good Practice Guide and Self Evaluation Tool for the Provision of Community Equipment; Good Practice Guide and Self Evaluation for the Provision of Major Adaptations; Guidance and Good Practice Guide for the Provision of Children's Equipment; Protocol for the Provision of Equipment to Care Homes.

Codes of Practice: Occupational Therapists (Health Care Professionals Council); Nurses (Nursing and Midwifery Council); Social Services (Scottish Social Services Council); Physiotherapy (Chartered Society of Physiotherapists).

APPENDIX 2 – USEFUL CONTACTS

Access to Equipment and Adaptations Advice	
Social Work Occupational Therapy	Charles Bowman Avenue, Dundee 01382 307645/ 307646
Dundee Equipment Store	Charles Bowman Avenue, Dundee 01382 307631
Community Care and Response Service (Community Alarm)	01382 432260
Tayside Orthopaedic Rehabilitation Technology Centre	Ninewells Hospital. 01382 660111
Housing Advice and Support	
Special Needs Unit Housing	Dundee House 01382 434135
Dundee City Council East District Housing Office	169 Pitkerro Road, Dundee DD4 8ES 01382 307401
Dundee City Council West District Housing Office	3 Sinclair Street, Lochee Dundee DD4 8ES Tel: 01382 307301
Independent Advocacy Advice and Support	
Dundee Independent Advocacy Support (DIAS)	West Hendersons Wynd Dundee DD1 5BY Tel: 01382 205515 http://www.diasdundee.org/
Scottish Independent Advocacy Alliance promotes supports and defends the principles and practice of Independent Advocacy across Scotland.	http://www.siaa.org.uk 0131 260 5380
Support for Carers	
Dundee Carers Centre	Seagate House, 132-134 Seagate, Dundee DD1 2HB 01382 200422 centre@dundeecarerscentre.org.uk
Carers UK Provides information, advice and support to carers	0808 808 7777 (Advice Line) http://www.carersuk.org

Support in a Crisis	
NHS 24	08454 242424 www.nhs24.com
Social Work Out of Hours	01382 307964
Police Scotland	<p>In an emergency you should always dial 999 if:</p> <ul style="list-style-type: none"> • There is a risk of personal injury or loss of life; • A crime is in progress; • Someone suspected of a crime is nearby Deaf, deafened, hard of hearing or speech impaired callers using a Textphone (minicom) should dial 18000 in an emergency. <p>For all non-emergencies and general enquiries call 101 if you need to contact your local police.</p> <p>You can call 101 to report a crime that has already happened, seek crime prevention advice or make Police Scotland aware of any policing issues in your local area.</p>

APPENDIX 3 – NATIONAL HEALTH AND WELLBEING OUTCOMES

The [National Health and Wellbeing Outcomes](#) are high-level statements of what health and social care partners are attempting to achieve through integration and ultimately through the pursuit of quality improvement across health and social care.

By working with individuals and local communities, Integration Authorities will support people to achieve the following outcomes:

Outcome 1 - People are able to look after and improve their own health and wellbeing and live in good health for longer.

Outcome 2 - People, including those with disabilities or long-term conditions, or who are frail, are able to live, as far as reasonably practicable, independently and at home or in a homely setting in their community.

Outcome 3 - People who use health and social care services have positive experiences of those services, and have their dignity respected.

Outcome 4 - Health and social care services are centred on helping to maintain or improve the quality of life of people who use those services.

Outcome 5 - Health and social care services contribute to reducing health inequalities.

Outcome 6 - People who provide unpaid care are supported to look after their own health and wellbeing, including to reduce any negative impact of their caring role on their own health and well-being.

Outcome 7 - People using health and social care services are safe from harm.

Outcome 8 - People who work in health and social care services feel engaged with the work they do and are supported to continuously improve the information, support, care and treatment they provide.

Outcome 9 - Resources are used effectively and efficiently in the provision of health and social care services.

An associated [Core Suite of Integration Indicators](#) has been developed in partnership with NHS Scotland, COSLA and the third and independent sectors, drawing together measures that are appropriate for the whole system under integration.

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APPENDIX 4 – COSTS OF ADAPTATIONS AND EQUIPMENT

Adaptation	Costs of Adaptations by Home Ownership			
	Council Tenant	Owner Occupier	Housing Association	Private Rent
Over-bath Showers	<i>No Cost to you.</i> Funded by Dundee City Housing Department	80% Mandatory Grant and 20% financially Assessed	<i>No Cost to you.</i> Funding through Housing Association Agreement and based on recommendation from Social Work Occupational Therapy using this criteria	80% Mandatory Grant and 20% financially assessed Your landlord would be required to give written permission
Level Access Showers	<i>No Cost to you.</i> Funded by Dundee City Housing Department	80% Mandatory Grant and 20% financially Assessed	<i>No Cost to you.</i> Funding through Housing Association Agreement and based on recommendation from Social Work Occupational Therapy using this criteria	80% Mandatory Grant and 20% financially assessed Your landlord would be required to give written permission
Downstairs Toilets	<i>No Cost to you.</i> Funded by Dundee City Housing Department	80% Mandatory Grant and 20% financially Assessed	<i>No Cost to you.</i> Funding through Housing Association Agreement and based on recommendation from Social Work Occupational Therapy using this criteria	80% Mandatory Grant and 20% financially assessed Your landlord would be required to give written permission
Ceiling Track Hoists	<i>No Cost to you.</i> Funded by Dundee City Social Work Occupational Therapy	80% Mandatory Grant and 20% financially assessed if other works are being completed your house If only the tracking hoist is required then this will be funded by Dundee City Social Work Occupational Therapy	<i>No Cost to you.</i> Funding through Housing Association Agreement and based on recommendation from Social Work Occupational Therapy using this criteria	80% Mandatory Grant and 20% financially assessed if other works are being completed your house If only the tracking hoist is required then this will be funded by Dundee City Social Work Occupational Therapy Your landlord would be required to give written permission

Adaptation	Costs of Adaptations by Home Ownership			
	Council Tenant	Owner Occupier	Housing Association	Private Rent
Internal Stairlifts	<i>No Cost to you.</i> Funded by Dundee City Social Work Occupational Therapy	80% Mandatory Grant and 20% financially Assessed	<i>No Cost to you.</i> Funding through Housing Association Agreement and based on recommendation from Social Work Occupational Therapy using this criteria	80% Mandatory Grant and 20% financially Assessed Your landlord would be required to give written permission
Ramps Access	<i>No Cost to you.</i> Funded by Dundee City Housing Department	80% Mandatory Grant and 20% financially assessed if other works are being completed your house If only a ramp is required this will be funded by Dundee City Social Work Occupational Therapy	<i>No Cost to you.</i> Funding through Housing Association Agreement and based on recommendation from Social Work Occupational Therapy using this criteria	80% Mandatory Grant and 20% financially assessed if other works are being completed your house If only a ramp is required this will be funded by Dundee City Social Work Occupational Therapy Your landlord would be required to give written permission
Handrails	<i>No Cost to you.</i> Funded by Dundee City Housing Department	<i>No Cost to you.</i> Funded by Dundee City Social Work Occupational Therapy	<i>No Cost to you.</i> Funding through Housing Association Agreement and based on recommendation from Social Work Occupational Therapy using this criteria	<i>No Cost to you.</i> Funded by Dundee City Social Work Occupational Therapy using this criteria Your landlord would be required to give written permission
Steps Access	<i>No Cost to you.</i> Funded by Dundee City Housing Department	<i>No Cost to you.</i> Funded by Dundee City Social Work Occupational Therapy	<i>No Cost to you.</i> Funding through Housing Association Agreement and based on recommendation from Social Work Occupational Therapy using this criteria	This can be funded by your landlord or private purchase Where required, Occupational Therapists will support private tenants to access funding for this adaptation Your landlord would be required to give written permission

Adaptation	Costs of Adaptations by Home Ownership			
	Council Tenant	Owner Occupier	Housing Association	Private Rent
Paths Access	<i>No Cost to you.</i> Funded by Dundee City Housing Department	<i>No Cost to you.</i> Funded by Dundee City Social Work Occupational Therapy	<i>No Cost to you.</i> Funding through Housing Association Agreement and based on recommendation from Social Work Occupational Therapy using this criteria	This can be funded by your landlord or private purchase Where required, Occupational Therapists will support private tenants to access funding for this adaptation Your landlord would be required to give written permission
Fences	<i>No Cost to you.</i> Funded by Dundee City Housing Department	<i>No Cost to you.</i> Funded by Dundee City Social Work Occupational Therapy	<i>No Cost to you.</i> Funding through Housing Association Agreement and based on recommendation from Social Work Occupational Therapy using this criteria	This can be funded by your landlord or private purchase Where required, Occupational Therapists will support private tenants to access funding for this adaptation Your landlord would be required to give written permission
Hard Standings/ Dropped Kerbs	<i>No Cost to you.</i> Funded by Dundee City Housing Department	<i>No Cost to you.</i> Funded by Dundee City Social Work Occupational Therapy	<i>No Cost to you.</i> Funding through Housing Association Agreement and based on recommendation from Social Work Occupational Therapy using this criteria	This can be funded by your landlord or private purchase Where required, Occupational Therapists will support private tenants to access funding for this adaptation Your landlord would be required to give written permission

Adaptation	Costs of Adaptations by Home Ownership			
	Council Tenant	Owner Occupier	Housing Association	Private Rent
Door Entry Systems	<i>No Cost to you.</i> Funded by Dundee City Social Work Occupational Therapy	<i>No Cost to you.</i> Funded by Dundee City Social Work Occupational Therapy	<i>No Cost to you.</i> Funding through Housing Association Agreement and based on recommendation from Social Work Occupational Therapy using this criteria	This can be funded by your landlord or private purchase Where required, Occupational Therapists will support private tenants to access funding for this adaptation Your landlord would be required to give written permission
Automatic Door Openers	<i>No Cost to you.</i> Funded by Dundee City Housing Department	<i>No Cost to you.</i> Funded by Dundee City Social Work Occupational Therapy	<i>No Cost to you.</i> Funding through Housing Association Agreement and based on recommendation from Social Work Occupational Therapy using this criteria	This can be funded by your landlord or private purchase Where required, Occupational Therapists will support private tenants to access funding for this adaptation Your landlord would be required to give written permission
Keysafes	<i>No Cost to you.</i> Funded by Dundee City Social Work Occupational Therapy using the criteria If your requirement for a keysafe is not covered by the criteria then the provision of a keysafe would be private purchase	<i>No Cost to you.</i> Funded by Dundee City Social Work Occupational Therapy using this criteria	<i>No Cost to you.</i> Funding through Housing Association Agreement and based on recommendation from Social Work Occupational Therapy using this criterion If you live in a Bield Property this would be a private purchase.	<i>No Cost to you.</i> Funded by Dundee City Social Work Occupational Therapy using this criteria If your requirement for a keysafe is not covered by the criteria then the provision of a keysafe would be private purchase Your landlord would be required to give written permission

Adaptation	Costs of Adaptations by Home Ownership			
	Council Tenant	Owner Occupier	Housing Association	Private Rent
Specialist Toilet (Clos-o-mat)	<i>No Cost to you.</i> Funded by Dundee City Housing Department	80% Mandatory Grant and 20% financially assessed if other works are being completed your house If only a specialist toilet is required this will be funded by Dundee City Social Work Occupational Therapy	<i>No Cost to you.</i> Funding through Housing Association Agreement and based on recommendation from Social Work Occupational Therapy using this criteria	80% Mandatory Grant and 20% financially assessed if other works are being completed your house If only a specialist toilet is required this will be funded by Dundee City Social Work Occupational Therapy Your landlord would be required to give written permission

Adaptations Guidance

Further information about funding of adaptations can be found at:

<http://www.gov.scot/Topics/Built-Environment/Housing/access/adaptations>

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Initial consultation February 2022

Adaptations Review
Key proposed changes to criteria

Who is reviewing? Governance Group

- ▶ Head of Health & Care – Jenny Hill (chair)
- ▶ Assoc Locality manager – Angie Smith
- ▶ Grants – Colin McCrae
- ▶ Housing – *until recently* Louise Butchart
- ▶ Housing – Iain Paton/Brian Shaw/Roger Seaman
- ▶ Housing Strategy – Derek Farrell
- ▶ Procurement – Donna Johnston (was Karen Lawson)
- ▶ Independent Living Officer – Tracy Oram
- ▶ OT Manager – Leigh-Ann Knowles
- ▶ Integrated Manager Community Independent Living service – Claire Tester

Why review? Who is reviewing this?

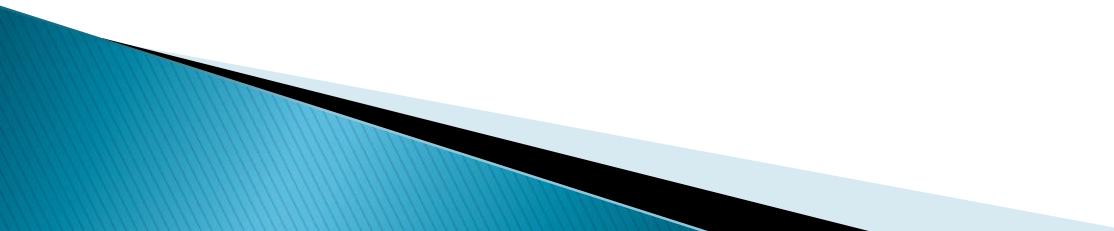
Purpose of this group is;

- ▶ To review the adaptations criteria for Dundee;
- ▶ To consider the housing shortages for suitable housing re needs, modification of properties;
- ▶ To make best use of resources.

In 2016 there was a Dundee document for joint equipment & adaptations criteria. The criteria for equipment was reviewed in 2020 for the equipment section together with Angus H&SCP. The adaptations section remained to be reviewed.

NB All requests for adaptations are first assessed on an individual basis by qualified trained & experienced staff

Process steps

- ▶ Review of all criteria *Group formed Mar 2021*
 - ▶ Initial consultation to gather responses
Feb/Mar 2022
 - ▶ Further consultation on draft Adaptations
criteria *May 2022*
 - ▶ Seek agreement on final version &
 - ▶ Approval by DH&SCP IJB *Summer 2022*
- 

What is being considered? What counts as an adaptation?

What is within the scope of the Review –

- i. *Adaptations include minor, moderate, major and complex*; Overbath showers; Level access showers; Toilet adaptations; Internal stairlifts; Ramps; Stair gates; Hand rails; Step access; Fences; Dropped kerbs; Door entry systems; Automatic door– opening; Re–hanging doors; Repositioning of electric sockets; Step lifts; Through floor lifts;

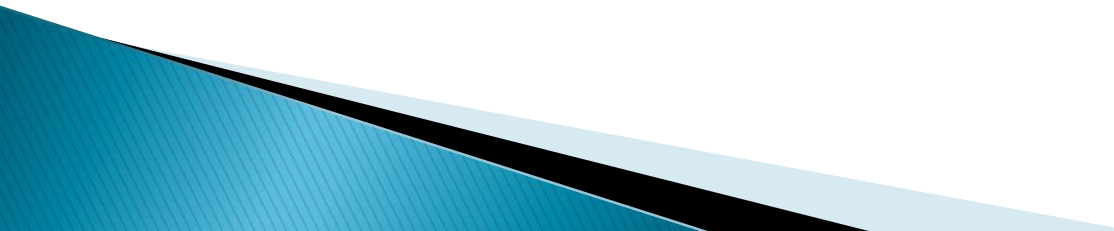
Key proposed changes

- ▶ Adaptations only, this does not include equipment
- ▶ Simplified wording for overbath shower
- ▶ Level access showers – Extending criteria to level access showers in ground floor properties. Not if client can use bathing/showering equipment.
- ▶ Significant medical evidence required for change of shower to bath

Key proposed changes

- ▶ **Internal stairlifts** – provided when significant difficulties on the internal stairs with assessment of risk. Must be independent in transfer ie not supported by carer/ equipt.
- ▶ **Through floor lift** – previously not incl. Max weight up to 39st/ heavy duty 51 st.
- ▶ **Ramps** – consideration re access at road; rampable up to £6k; must be within curtilage of property; types of ramps being considered as permanent/semi-permanent.

No change

- ▶ **Steps access** – reworded to support e.g. extending steps.
 - ▶ **Paths / Fences** – no change
 - ▶ **Kitchen adaptation** – no change
 - ▶ **Internal stairgate** – no change
- 

Your feedback

Please share your thoughts and responses with your manager to reach the Governance Group before mid March 2022

Dundee Integration Joint Board Integrated Impact Assessment

Part 1 - Pre-Integrated Impact Assessment Screening.

NB For Dundee City Council Committees the Citrix Firm Step Process must be used.

This word document can be completed and information transferred to Firm Step if required.

Title of Report/Project/Strategy	CHANGES TO DUNDEE HSCP ADAPTATIONS POLICY
Lead Officer for Report/Project/Strategy (Name and Job Title)	Angie Smith, Associate Locality Manager / Claire Tester Integrated Manager
Name and email of Officer Completing the Screening Tool	Angie Smith, angela.smith@dundeecity.gov.uk
List of colleagues contributing information for Screening and IIA	Leigh-Ann Knowles Team Manager Community OTs, Tracy Oram Housing Medical Advisor, Iain Paton Project Officer Special Needs Unit
Screening Completion Date	19/09/2023
Name and Email of Senior Officer to be Notified when Screening complete	

Is there a clear indication that an IIA is needed? Mark one box only		
<input checked="" type="checkbox"/>	YES	Proceed to IIA
<input type="checkbox"/>	NO	<i>Continue with Screening Process</i>

Is the purpose of the Committee document the approval of any of the following Mark one box either Yes or No				
<i>NB When yes to any of the following proceed to IIA document.</i>				
	Yes		No	
A major Strategy/Plan, Policy or Action Plan	<input type="checkbox"/>	<i>Proceed directly to IIA</i>	<input type="checkbox"/>	<i>Continue with Screening Process</i>
An area or partnership-wide Plan	<input type="checkbox"/>	<i>Proceed directly to IIA</i>	<input type="checkbox"/>	<i>Continue with Screening Process</i>
A Plan, programme or Strategy that sets the framework for future development consents	<input type="checkbox"/>	<i>Proceed directly to IIA</i>	<input type="checkbox"/>	<i>Continue with Screening Process</i>
The setting up of a body such as a Commission or Working Group	<input type="checkbox"/>	<i>Proceed directly to IIA</i>	<input type="checkbox"/>	<i>Continue with Screening Process</i>
An update to a Plan	<input type="checkbox"/>	<i>Proceed directly to IIA</i>	<input type="checkbox"/>	<i>Continue with Screening Process</i>

There a number of reports which do not automatically require an IIA. If your report does not automatically require an IIA you should consider if an IIA is needed by completing the checklist on following page.

These include: An annual report or progress report on an existing plan / A service redesign / A report on a survey, or stating the results of research. / Minutes, e.g. of Sub-Committees. / A minor contract that does not impact on the wellbeing of the public. / An appointment, e.g. councillors to outside bodies, Senior officers, or independent chairs. / Ongoing Revenue expenditure monitoring. / Notification of proposed tenders. / Noting of a report or decision made by another Committee including noting of strategy, policies and plans approved elsewhere.

Dundee Integration Joint Board Integrated Impact Assessment
Only complete the checklist on the following page whenever your report does not automatically require an Integrated Impact Assessment otherwise delete the page prior to proceeding to IIA.

Dundee Integration Joint Board Integrated Impact Assessment

Part 1 (continued) Pre-Integrated Impact Assessment Screening.

Screening Checklist for IIA Completion. When yes to any of the following proceed to IIA document.

Mark one box only either Yes or No.

Will the recommendations in the report impact on anyone in relation to any of the Protected Characteristics? <i>Age; Disability; Gender Reassignment; Marriage & Civil Partnerships; Pregnancy & Maternity; Race / Ethnicity; Religion or Belief; Sex; Sexual Orientation.</i>		
<input type="checkbox"/>	No Continue Screening Process	<input type="checkbox"/>
<input type="checkbox"/>		Yes. Proceed to IIA.
Will the recommendations in the report impact on People's Human Rights? <i>For more information on Human Rights visit: https://www.scottishhumanrights.com</i>		
<input type="checkbox"/>	No Continue Screening Process	<input type="checkbox"/>
<input type="checkbox"/>		Yes. Proceed to IIA.
Will the recommendations in the report impact on anyone residing in a Community Regeneration Area (CRA)? <i>Within the 15% most deprived areas in Scotland according to the 2020 Scottish Index of Multiple Deprivation.</i>		
<input type="checkbox"/>	No Continue Screening Process	<input type="checkbox"/>
<input type="checkbox"/>		Yes. Proceed to IIA.
Will the recommendations in the report impact on anyone in more vulnerable types of households? <i>Lone parent families (especially single female parents); households with a greater number of children and/or young children; pensioner households (single or couple)</i>		
<input type="checkbox"/>	No Continue Screening Process	<input type="checkbox"/>
<input type="checkbox"/>		Yes. Proceed to IIA.
Will the recommendations in the report impact on anyone experiencing the following issues? <i>Unskilled or unemployed and of working age; serious and enduring mental health; homelessness (potential homelessness); drug and/or alcohol.</i>		
<input type="checkbox"/>	No Continue Screening Process	<input type="checkbox"/>
<input type="checkbox"/>		Yes. Proceed to IIA.
Will the recommendations in the report impact on anyone in the following more vulnerable groups? <i>Offenders and ex-offenders; looked after children and care leavers; carers.</i>		
<input type="checkbox"/>	No Continue Screening Process	<input type="checkbox"/>
<input type="checkbox"/>		Yes. Proceed to IIA.
Will the recommendations in the report impact on any of the following? <i>Employment; education & skills; benefit advice / income maximisation; childcare; affordability and accessibility of services.</i>		
<input type="checkbox"/>	No Continue Screening Process	<input type="checkbox"/>
<input type="checkbox"/>		Yes. Proceed to IIA.
Will the recommendations in the report on Climate Change or Resource Use? <i>Mitigating greenhouse gases; adapting to the effects of climate change. or Energy efficiency & consumption; prevention, reduction, re-use, recovery or recycling waste; sustainable procurement.</i>		
<input type="checkbox"/>	No Continue Screening Process	<input type="checkbox"/>
<input type="checkbox"/>		Yes. Proceed to IIA.
Will the recommendations in the report impact on Transport? <i>Accessible transport provision; sustainable modes of transport.</i>		
<input type="checkbox"/>	No Continue Screening Process	<input type="checkbox"/>
<input type="checkbox"/>		Yes. Proceed to IIA.
Will the recommendations in the report impact on the Natural Environment? <i>Air, land or water quality; biodiversity; open and green spaces.</i>		
<input type="checkbox"/>	No Continue Screening Process	<input type="checkbox"/>
<input type="checkbox"/>		Yes. Proceed to IIA.
Will the recommendations in the report impact on the Built Environment? <i>Built heritage; housing.</i>		
<input type="checkbox"/>	No Continue Screening Process	<input type="checkbox"/>
<input type="checkbox"/>		Yes. Proceed to IIA.
<p><i>When no to everything in the above screening process you must contact 'Senior Officer to be Notified on Completion' and present a copy of this Screening tool with IJB Report. Otherwise proceed to IIA.</i></p> <p>* Transfer information into the Firm Step Process when report is progressing to Council Committee.</p>		

The following document includes all questions in DCC IIA- The Dundee City Council IIA Guidance document can be found [here](#).

Dundee Integration Joint Board Integrated Impact Assessment

PART 2- Assessment

Integrated Impact Assessment Record

Report Author	Angie Smith
Author Title	Associate Locality Manager
Dundee Health and Social Care Partnership	
Author Email	angela.smith@dundeecity.gov.uk
Author Telephone	07824 528276
Author Address	Claverhouse East, 1 Jack Martin Way, Dundee, DD4 9FF

IJB Chief Executive	Vicky Irons
Email	Vicky.iron@dundeecity.gov.uk
Telephone	01382 434000
Address	Claverhouse East, Jack Martin Way, Dundee

Document Title	CHANGES TO DUNDEE HSCP ADAPTATIONS POLICY
IJB Report Number	
Document Type	Request approval to update the adaptations criteria.
New or Existing Document?	Relates to Report No DIJB26-2019
Document Description	The purpose of this report is to update the Integration Joint Board and seek approval regarding proposed changes in line with Scottish Government updated guidance on adaptations. Equipment is now within a shared Dundee & Angus policy document.
Intended Outcome	Clear criteria for staff to apply, best use of resources changing two adaptations to recyclable equipment via procurement enabling more timely responses and widening access whilst reducing overall cost.
Planned Implementation Date	Process to commence on approval of this report.
Planned End Date	On completion of the next stage review and further IJB approval.
How the proposal will be monitored and how frequently	Via the Adaptations Review Governance Group, quarterly.
Planned IIA review dates	18/09/2024
IIA Completion Date	19/09/2023
Anticipated date of IJB	25/10/2023

Summary of Activities undertaken as part of information gathering and assessment of potential impacts including local involvement, research and meeting discussions.

Officer	People/groups	Activity/Activities	Date
Claire Tester	Housing Associations, Dundee City Council Housing Department, Housing Asset Management Team and	Power point presentation of key proposed changes presented at a Teams meeting and shared via email to gain feedback / views / concerns.	Feb -March 2022

Dundee Integration Joint Board Integrated Impact Assessment

	Special Needs Unit, Community Occupational Therapists		
Angie Smith	Members of the Frailty Strategic Planning Group	Updated criteria policy document and power point shared with group members to gain feedback / views / concerns.	Aug 2022
		Dundee Citizens were not directly consulted but feedback in recent years has informed this work.	

Equality and Fairness Impact Assessment Conclusion

(complete after considering impacts through completing questions on next pages)

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PART 2- Assessment (continued)

When assessing impacts throughout this document an explanation is required when a positive, negative or not known impact is selected. There may be positive and negative impacts for the protected group described. For not known this should indicate if further research is needed and if not, why not. When there is No Impact identified, no narrative is required.

Equality, Diversity & Human Rights Indicate Yes or No by marking Y or N in each Box

Age	Y/N	Explanation, assessment and any potential mitigations
Positive		The changes do not impact people due to age and apply equally across both adults and children.
No Impact	Y	
Negative		
Not Known		
Disability	Y/N	Explanation, assessment and potential mitigations
Positive	Y	It is recognised that our policy currently does not fully meet the needs of people with disabilities due to limited housing options, reliance on scarce social care as an alternative to adaptations and service led finance decisions. The minor changes to a few areas improve access to adaptations but further analysis and scoping for pooled budgets and more flexible policy decisions is required to make further recommendations at the next review.
No Impact	Y	
Negative		
Not Known		
Gender Reassignment	Y/N	Explanation, assessment and potential mitigations
Positive		No known impacts but this will be monitored.
No Impact	Y	
Negative		
Not Known		
Marriage & Civil Partnership	Y/N	Explanation, assessment and potential mitigations
Positive		No known impacts but this will be monitored.
No Impact	Y	
Negative		

Dundee Integration Joint Board Integrated Impact Assessment

Not Known		
Race & Ethnicity	Y/N	Explanation, assessment and potential mitigations
Positive		No known impacts but this will be monitored.
No Impact	Y	
Negative		
Not Known		
Religion & Belief	Y/N	Explanation, assessment and potential mitigations
Positive		No known impacts but this will be monitored.
No Impact	Y	
Negative		
Not Known		
Sex	Y/N	Explanation, assessment and potential mitigations
Positive		No known impacts but this will be monitored.
No Impact	Y	
Negative		
Not Known		
Sexual Orientation	Y/N	Explanation, assessment and potential mitigations
Positive		No known impacts but this will be monitored.
No Impact	Y	
Negative		
Not Known		
Describe any Human Rights impacts not already covered in the Equality section above.		

PART 2- Assessment (continued)

Fairness & Poverty Geography – Describe how individuals, families and communities are affected in each area- particular consideration is needed where there are previously identified areas of deprivation.

Mark either Yes or no (Y or N) in each box

Y or N	Area	Fairness Explain Impact / Mitigations / Unknowns
Y/N	Strathmartine (Ardler, St. Mary's & Kirkton)	<i>(Note: this section of the record asks for a single, collective narrative for each of positive, negative, or not known given as a response in one or more areas)</i>
Y	Positive	
	No Impact	
	Negative	
	Not Known	
Y/N	Lochee (Lochee Beechwood, Charleston & Menzieshill)	All areas are impacted equally by the policy but the aggregated impact may be more prominent in areas of deprivation or middle-income house owners.
Y	Positive	
	No Impact	
	Negative	
	Not Known	
Y/N	Coldside (Hilltown, Fairmuir & Coldside)	Currently the policy recommends rehousing for anyone where the property is not fully adaptable relating to stairs and potential for installing a ramp. This has significant cost implications once an alternative home is available.
Y	Positive	
	No Impact	
	Negative	
	Not Known	
Y/N	Maryfield (Stobswell & City Centre)	Recent feedback and complaints indicated that many people cannot afford to redecorate, move home or purchase a more expensive adaptable property. The changes proposed will reduce this for a small number of people.
Y	Positive	
	No Impact	
		Further review is required to scope out the wider options available with the pooling of budgets to maximise the benefit to Dundee citizens.
Y	Positive	
	No Impact	

Dundee Integration Joint Board Integrated Impact Assessment

	Negative	
	Not Known	
Y/N	North East (Whitfield, Fintry & Mill O'Mains)	
Y	Positive	
	No Impact	
	Negative	
	Not Known	
Y/N	East End (Mid Craigie, Linlathen & Douglas)	
Y	Positive	
	No Impact	
	Negative	
	Not Known	
Y/N	The Ferry	
Y	Positive	
	No Impact	
	Negative	
	Not Known	
Y/N	West End	
Y	Positive	
	No Impact	
	Negative	
	Not Known	

Dundee Integration Joint Board Integrated Impact Assessment

Household Group- *consider the impact on households and families may have the following people included.*

Y/N	Looked After Children & Care Leavers	Explanation, assessment and any potential mitigations
	Positive	No known impacts but this will be monitored.
Y	No Impact	
	Negative	
	Not Known	
Y/N	Carers	Explanation, assessment and potential mitigations
Y	Positive	Expected reduction in support required by carers if independence is improved and reduced stress related to awaiting scarce rehousing options.
	No Impact	
	Negative	
	Not Known	
Y/N	Lone Parent Families	Explanation, assessment and potential mitigations
	Positive	No known impacts but this will be monitored.
Y	No Impact	
	Negative	
	Not Known	
Y/N	Single Female with Children	Explanation, assessment and any potential mitigations
	Positive	No known impacts but this will be monitored.
Y	No Impact	
	Negative	
	Not Known	
Y/N	Young Children and/or Greater Number of Children	Explanation, assessment and potential mitigations
	Positive	No known impacts but this will be monitored.
Y	No Impact	
	Negative	
	Not Known	
Y/N	Retirement Pensioner (s)	Explanation, assessment and potential mitigations
Y	Positive	The majority of people impacted are pensioners with frailty or a physical disability or caring role. Maximising independence and reducing the need for self-funding of adaptations, costly house moves will both meet their preferred outcomes, maintain social contacts and reduce the impact on pensions.
	No Impact	
	Negative	
	Not Known	
Y/N	Unskilled Workers and Unemployed	Explanation, assessment and any potential mitigations
	Positive	No known impacts but this will be monitored.
Y	No Impact	
	Negative	
	Not Known	
Y/N	Serious & Enduring Mental Health	Explanation, assessment and potential mitigations
	Positive	Adaptations are generally required for physical difficulties in the environment but very occasionally may be required for people with this support need. This will be monitored for impact but expected to be positive.
	No Impact	
	Negative	
Y	Not Known	
Y/N	Homeless	Explanation, assessment and potential mitigations
	Positive	The impact on homelessness is unclear as the reduced need for people to move could have either a positive or negative impact depending on circumstances and size of home required. This will be monitored.
	No Impact	
	Negative	
Y	Not Known	
Y/N	Drug and/or Alcohol	Explanation, assessment and any potential mitigations
	Positive	No known impacts but this will be monitored.
Y	No Impact	
	Negative	
	Not Known	
Y/N	Offenders and Ex-Offenders	Explanation, assessment and any potential mitigations
	Positive	No known impacts but this will be monitored.
Y	No Impact	
	Negative	
	Not Known	

PART 2- Assessment (continued)

Dundee Integration Joint Board Integrated Impact Assessment

Socio-Economic Disadvantage- consider if the following circumstances may be impacted		
Y/N	Employment Status	Explanation, assessment and any potential mitigations
	Positive	Potential impact is likely to be minimal with these changes but there could be a positive impact if caring responsibilities are reduced supporting a return to work or remaining in work. This will be monitored
	No Impact	
	Negative	
Y	Not Known	
Y/N	Education & Skills	Explanation, assessment and any potential mitigations
	Positive	No known impacts but this will be monitored.
Y	No Impact	
	Negative	
	Not Known	
Y/N	Income	Explanation, assessment and any potential mitigations
	Positive	No known impacts but this will be monitored.
Y	No Impact	
	Negative	
	Not Known	
Y/N	Fuel Poverty	Explanation, assessment and any potential mitigations
	Positive	No known impacts but this will be monitored.
Y	No Impact	
	Negative	
	Not Known	
Y/N	Caring Responsibilities (including Childcare)	Explanation, assessment and any potential mitigations
Y	Positive	Potential impact is likely to be minimal with these changes but there could be a positive impact if caring responsibilities are reduced supporting a return to work or remaining in work. This will be monitored.
	No Impact	
	Negative	
	Not Known	
Y/N	Affordability & Accessibility of Services	Explanation, assessment and any potential mitigations
Y	Positive	Some people who do not meet the current criteria choose to proceed with a self-funded adaptation rather than rely on paid / unpaid carers. The changes will minimally increase accessibility to support.
	No Impact	
	Negative	
	Not Known	

Inequalities of Outcome- consider if the following may be impacted		
Y/N	Connectivity / Internet Access	Explanation, assessment and any potential mitigations
	Positive	No known impacts but this will be monitored.
Y	No Impact	
	Negative	
	Not Known	
Y/N	Income / Benefit Advice / Income Maximisation	Explanation, assessment and any potential mitigations
	Positive	No known impacts but this will be monitored.
Y	No Impact	
	Negative	
	Not Known	
Y/N	Employment Opportunities	Explanation, assessment and any potential mitigations
	Positive	No known impacts but this will be monitored.
Y	No Impact	
	Negative	
	Not Known	

Dundee Integration Joint Board Integrated Impact Assessment

PART 2- Assessment (continued)

Y/N	Education	Explanation, assessment and any potential mitigations
	Positive	No known impacts but this will be monitored.
Y	No Impact	
	Negative	
	Not Known	
Y/N	Health	Explanation, assessment and any potential mitigations
	Positive	The impact on health is not known but likely to be positive as maximising independence generally improves quality of life, wellbeing and encourages movement otherwise not possible.
	No Impact	
	Negative	
Y	Not Known	
Y/N	Life Expectancy	Explanation, assessment and any potential mitigations
	Positive	The impact on life expectancy is not known but likely to be positive as maximising independence generally improves quality of life, wellbeing and encourages movement otherwise not possible.
	No Impact	
	Negative	
Y	Not Known	
Y/N	Mental Health	Explanation, assessment and any potential mitigations
	Positive	The impact on health is not known but likely to be positive as maximising independence generally improves quality of life, wellbeing and encourages movement otherwise not possible.
	No Impact	
	Negative	
Y	Not Known	
Y/N	Overweight / Obesity	Explanation, assessment and any potential mitigations
	Positive	The impact on weight / obesity is not known but likely to be positive as maximising independence generally improves quality of life, wellbeing and encourages movement otherwise not possible.
	No Impact	
	Negative	
Y	Not Known	
Y/N	Child Health	Explanation, assessment and any potential mitigations
	Positive	The impact on general child health is likely to be no impact but for children with disabilities likely to be positive as maximising independence generally improves quality of life, wellbeing and encourages movement otherwise not possible.
	No Impact	
	Negative	
Y	Not Known	
Y/N	Neighbourhood Satisfaction	Explanation, assessment and any potential mitigations
	Positive	No known impacts but this will be monitored.
Y	No Impact	
	Negative	
	Not Known	
Y/N	Transport	Explanation, assessment and any potential mitigations
	Positive	No known impacts but this will be monitored.
Y	No Impact	
	Negative	
	Not Known	
Environment- Climate Change		
Y/N	Mitigating Greenhouse Gases	Explanation, assessment and any potential mitigations
Y	Positive	Reducing the use of social care will have an indirect impact on travel between homes.
	No Impact	
	Negative	
	Not Known	
Y/N	Adapting to the Effects of Climate Change	Explanation, assessment and any potential mitigations
Y	Positive	Maximising peoples' ability to self-care reduces reliance on others and increases their resilience to adapt if supports are unavailable due to climate related emergencies such as floods or snow.
	No Impact	
	Negative	
	Not Known	

Dundee Integration Joint Board Integrated Impact Assessment

PART 2- Assessment (continued)

Resource Use		
Y/N	Energy Efficiency and Consumption	Explanation, assessment and any potential mitigations
	Positive	Showers use less water and electricity than baths but it is not currently known the difference is resources used to produce modular ramps rather than static permanent ones.
	No Impact	
	Negative	
Y	Not Known	
Y/N	Prevention, Reduction, Re-use, Recovery, or Recycling of Waste	Explanation, assessment and any potential mitigations
Y	Positive	Transferring to procurement contracts for ramps and stairlifts allows for recovery, recycling and reuse.
	No Impact	
	Negative	
	Not Known	
Y/N	Sustainable Procurement	Explanation, assessment and any potential mitigations
	Positive	This is currently being explored.
	No Impact	
	Negative	
Y	Not Known	

Transport		
Y/N	Accessible Transport Provision	Explanation, assessment and any potential mitigations
	Positive	No known impacts but this will be monitored.
Y	No Impact	
	Negative	
	Not Known	
Y/N	Sustainable Modes of Transport	Explanation, assessment and any potential mitigations
	Positive	No known impacts but this will be monitored.
Y	No Impact	
	Negative	
	Not Known	

Natural Environment		
Y/N	Air, Land and Water Quality	Explanation, assessment and any potential mitigations
	Positive	No known impacts but this will be monitored.
Y	No Impact	
	Negative	
	Not Known	
Y/N	Biodiversity	Explanation, assessment and any potential mitigations
	Positive	No known impacts but this will be monitored.
Y	No Impact	
	Negative	
	Not Known	
Y/N	Open and Green Spaces	Explanation, assessment and any potential mitigations
	Positive	No known impacts but this will be monitored.
Y	No Impact	
	Negative	
	Not Known	

Built Environment		
Y/N	Built Heritage	Explanation, assessment and any potential mitigations
	Positive	No known impacts but this will be monitored.
Y	No Impact	
	Negative	
	Not Known	
Y/N	Housing	Explanation, assessment and any potential mitigations
	Positive	The proposals are to adapt current housing stock to improve social and environmental factors. Further information is required to understand this elements impacts.
	No Impact	
	Negative	
Y	Not Known	

Dundee Integration Joint Board Integrated Impact Assessment

PART 2- Assessment (continued)

There is a requirement to assess plans that are likely to have significant environmental effects.

SEA provides economic, social and environmental benefits to current and future generations.

Use the [SEA flowchart](#) to determine whether your proposal requires SEA.

Strategic Environmental Assessment- SELECT One of the following statements	
No further action is required as it does not qualify as a Plan, Programme or Strategy as defined by the Environmental Assessment (Scotland) Act 2005	<i>(No further response needed)</i>
It has been determined that the proposal will have no or minimal environmental effects. The reason(s) for this determination are set out in the following SEA pre-screening determination section	<i>SEA Pre-Screening Determination: Explain how you made the determination that the Plan, Programme or Strategy will have no or minimal negative environmental effect:</i>
Screening has determined that the proposal is unlikely to have any significant environmental effects. The reason(s) for this determination are set out in the Screening Report, a copy of which will be available to view at www.dundee.gov.uk/cplanning/sea	<i>Insert the 'Summary of Environmental Effects' from your SEA screening report</i>
Screening has determined that the proposal is likely to have significant environmental effects and as a consequence an environmental assessment is necessary. A Scoping Report, which will determine the scope of the environmental assessment is being prepared for submission to the statutory Consultation Authorities for consideration	<i>Insert the 'Summary of Environmental Effects' from your SEA screening report</i>
Screening determined that the proposal was likely to have significant environmental effects and as a consequence an environmental assessment was necessary. An Environmental Report has been prepared for submission to the statutory Consultation Authorities together with a draft Plan, Programme or Strategy for consideration. A copy of the Environmental Report will be available to view at www.dundee.gov.uk/cplanning/sea	<i>Environmental Implications: Describe the implications of the proposal on the characteristics identified:</i>
	<i>Proposed Mitigating Actions: Describe any mitigating actions which you propose to take to overcome negative impacts or implications:</i>

A copy of this document (or when no IIA is needed, the screening tool) must accompany relevant draft IJB Reports at IJB Pre-Agenda stage and at IJB. It should accompany IJB papers and should be published with relevant IJB Report.

Following IJB agreement of report contact Joyce.barclay@dundee.gov.uk to post IIA on DHSCP website.

NB Corporate Risk- is addressed in IJB reports

Administrative Use	<i>Provide a link to relevant IJB Agenda for IJB Report including Agenda record page numbers where report is found.</i>
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