



**REPORT TO: HEALTH AND SOCIAL CARE INTEGRATION JOINT BOARD -
21 JUNE 2023**

**REPORT ON: PERFORMANCE AND AUDIT COMMITTEE CHAIR'S ASSURANCE
REPORT**

REPORT BY: CHAIR, PERFORMANCE AND AUDIT COMMITTEE

REPORT NO: DIJB39-2023

This assurance report relates to the meeting of the Performance and Audit Committee (PAC) of the 24th May 2023.

Instructions Issued by the Committee

- The Committee instructed the Chief Finance Officer to implement the recommendations of the Internal Audit Review of the Governance Action Plan and provide an update on progress to the September 2023 PAC meeting.

Issues to highlight to the Board

- The Committee noted the updated PAC Action Tracker and requested that progress is made in progressing a number of actions which have been outstanding for some time.
- The Health and Social Care Partnership's quarter 3 (2022/23) Performance Report was presented to the Committee. As ever the committee spent time assessing the information presented and sought supplementary information from officers on a range of aspects of the report. It was noted that due to more confidence in the information available, quarterly and local data for readmissions to hospital within 28 days of discharge had been able to be included in the reporting for the first time since the 1st quarter report of 2021/22.
- The Committee was updated on the work ongoing in relation to the Analytical Review of Emergency Readmission Rates. As a result of the work of a multi-agency short life working group to consider the data, a full analytical report on this area requiring performance improvement is anticipated to be made available to the meeting of the PAC in September 2023.
- Following agreement at the February PAC, the committee received a new suite of mental health services performance indicators for the first time. This will support the committee in scrutinising the performance of mental health services delegated to Dundee IJB and highlights the challenges faced in terms of demand for services. Much of the reporting is reflected at a locality level which is invaluable in focussing attention on those areas of greatest need.
- The Clinical Care and Professional Governance Assurance report was presented to the Committee which as ever provided many questions and discussion given the comprehensive overview of services including risks associated with service delivery. The report provided a reasonable level of assurance of clinical and care governance arrangements in place.

- The 2022/23 4th Quarter Complaints Performance Report was submitted to the PAC. The Committee was disappointed that despite several requests made to NHS Tayside to provide information on NHS complaints performance, no information was forthcoming to enable completion of the report. The Committee noted the Chief Finance Officer had escalated the issue and was reassured that NHS Tayside have committed to making this information available for future reporting.
- The regular Strategic Risk Register update was provided to the Committee. This noted a relatively steady position in relation to risk levels with the only movement of risk scoring being around the Dundee Drug and Alcohol Recovery Service which reduced due to a range of positive actions taken, albeit it remains a high risk. A new risk has been identified around Data Quality reflecting capacity to ensure accurate data is recorded on social care systems thereby impacting on the quality of data submitted for statutory returns.
- The Committee was presented with the outcome of the Governance Action Plan review which is one of Internal Audit's substantive reviews as part of the IJB's Internal Audit Plan. The review found that the current Governance Action Plan has become overcomplicated and it's difficult to identify if all recommendations from a specific report have been completed. The review recommended providing separate reports to the PAC in future to cover the different sources of recommendations for improvement such as through internal audit, external audit and external reviews. The Committee accepted the recommendations and instructed the Chief Finance Officer to implement the required actions accordingly.
- The Committee tracked progress of both the Internal Audit Plan and the Governance Action Plan with reports on both of these presented to the meeting.

Ken Lynn
Chair

12 June 2023