



**REPORT TO:** HEALTH AND SOCIAL CARE INTEGRATION JOINT BOARD –  
23 JUNE 2021

**REPORT ON:** PERFORMANCE AND AUDIT COMMITTEE CHAIR'S ASSURANCE  
REPORT

**REPORT BY:** CHAIR, PERFORMANCE AND AUDIT COMMITTEE

**REPORT NO:** DIJB36-2021

This assurance report relates to the meeting of the Performance and Audit Committee of the 26 May 2021

#### **Instructions Issued by the Committee**

The committee issued the following instructions and made the following decisions in relation to the business laid before it:

- Item III – Instructed the Chief Finance Officer and the Clerk to progress an action plan for the benefit of the PAC to track agreed actions from each meeting of the committee.
- Item VII– Agreed the future ranking targets for performance indicators as set out in the Local Government Benchmarking Framework and instructed the Chief Officer to consider the IJB's future participation in the framework following discussion with partner bodies, including Audit Scotland.
- Item VIII – Agreed that the assurance level in relation to the assurance report provided by the Chair of the Clinical and Care Governance Group was moderate.
- Item IX – Approved Audit Scotland's Annual Audit Plan 2020/21 for Dundee IJB.
- Item X – Agreed that the remaining unused Internal Audit days would be used to support the IJB in refining its Governance Action Plan.
- Item XI – Approved the 2021/22 Internal Audit Plan and agreed to the continuation of the current auditors (Fife, Tayside and Forth Valley Audit and Management Services) as the lead auditors and Chief Internal Auditor.

#### **Issues to highlight to the Board**

- I am pleased to highlight this was the first meeting of the Performance and Audit Committee to be recorded and made available online for public viewing and this shows the IJB is committed to transparency in going about its business. It was encouraging that the recording of the meeting did not inhibit members asking questions and putting their views across and we had a very good discussion on the range of issues presented to us.
- I was also pleased to be able to take the committee through a much more detailed minute of the meeting and I thanked the Clerk for his excellent work on this. This increased detail supported a more in depth reflection on the minute and some of the outstanding issues from the previous meeting with an agreement to develop an action plan to ensure these are revisited to ensure completion.
- I welcomed Lynne Short to the meeting as her first since formally replacing Roisin Smith on the committee.
- The committee spent a good part of the meeting discussing the various performance reports on the agenda. This included a further focus on the high readmissions rates and consideration of what can be learned from those areas of the city where performance across a range of measures was consistently better than others. A focussed report on performance around complex and standard delays was presented which gave the committee assurance that a number of actions were being progressed to improve performance.

- Anne Marie Machan from Audit Scotland presented their annual external audit plan for 2020/21 which not only assesses the IJB's annual report and accounts but considers the activities of the IJB for the whole of 2020/21 and into the future. The committee took the opportunity to understand the approach external audit would be undertaking and the breadth of the audit itself. The committee was pleased to hear there is a reduction in the number of key risk areas to be considered for 2020/21 due to progress made in implementing previous years recommendations.
- The IJB's Chief Internal Auditor, Tony Gaskin took the committee through the 2020/21 Internal Audit Plan progress report and introduced the 2021/22 plan for consideration by the committee. In addition, the committee was asked to approve the continuation of the current Internal Audit arrangements whereby Tony would continue as Chief Internal Auditor for 2021/22 which was agreed. The 2021/22 plan will focus on the one remaining key strategic risk which has not yet been fully assessed by internal audit plan which is around sustainability of our third and independent sector care providers. The plan will also consider the IJB's arrangements for its new responsibilities as a Category 1 responder for Civil Contingencies.
- Diane McCulloch introduced the latest Clinical Care and Professional Governance Assurance Report which covered up to the period 31 January 2021. As usual with this paper, members gave due consideration to its content with a range of questions and debate. One of the key action points from the meeting was to ensure the availability of an Equality Impact Assessment for future assurance reports. Given the focus on risk as part of the discussion, Tony Gaskin offered to share a paper on Good Assurance Principles with the committee to assist members understanding of what should be in place. This will be circulated to members once received and will be covered as part of the risk management development session being arranged.

**Trudy McLeay**  
**Chair**

**13<sup>th</sup> June 2021**