



REPORT TO: HEALTH AND SOCIAL CARE INTEGRATION JOINT BOARD -
25 JUNE 2019

REPORT ON: CARERS (SCOTLAND) ACT FUNDING INVESTMENT PLAN 2019 – 2021

REPORT BY: CHIEF OFFICER

REPORT NO: DIJB28-2019

1.0 PURPOSE OF REPORT

1.1 This report provides information about progress with implementation of the Carers Strategic Plan, Carers (Scotland) Act 2016 implementation and seeks approval for the Carers (Scotland) Act Investment Plan 2019 - 2021.

2.0 RECOMMENDATIONS

It is recommended that the Integration Joint Board (IJB):

- 2.1 Notes progress made in implementing the Carers Strategic Plan outlined at section 4.1.3 of the report.
- 2.2 Notes the intention for the use of the Carers (Scotland) Act Funding 2019 – 2020 as described in described in sections 4.2 and 4.3.
- 2.3 Approves the Carers (Scotland) Act Funding 2019 - 2020 Investment Plan for 2019 - 2020 as attached at Appendix 2.
- 2.4 Remits the Chief Officer to issue the directions set out in section 8.0 of the report and as attached at Appendix 1.

3.0 FINANCIAL IMPLICATIONS

- 3.1 Additional funding for the implementation of the Carers Act has been provided nationally as part of the additional £160m investment in social care by the Scottish Government included in the 2019/20 local government finance settlement. This is additional to funding provided by the Scottish Government in 2018/19. The total available funding to support implementation of the Act for Dundee Health and Social Care Partnership is £535k in 2019/20 which has been planned for as part of the IJB's 2019/20 budget. The Scottish Government has set out funding estimates for each year post implementation of the Act.
- 3.2 The Carers (Scotland) Act additional investment for 2019 - 2020 as set out in Appendix 1 amounts to £252,896 for period 2019/2020 with £262,896 continued in to 2020/21 to continue to build capacity to deliver the Carers (Scotland) Act 2016 and the Carers Strategic Plan. This is additional to the investment plan totalling approximately £282k agreed by the IJB for 2018/19.

4.0 CARERS (SCOTLAND) ACT FUNDING INVESTMENT PLAN 2019 – 2021

4.1 Update on the Implementation of the Carers (Scotland) Act 2016 within Dundee

4.1.1 In Dundee, we recognise the significant and vital contribution that Carers make in supporting people they care for. Over the next few years changes in resources available, patterns of demand and support to Carers and the person they care for is anticipated. Our central task throughout this period is to focus on identifying, listening to, supporting and empowering unpaid Carers, of any age, in Dundee.

4.1.2 To ensure we maintain this focus, the Dundee Carers Strategic Planning Partnership (the Partnership) has produced a Carers Strategic Plan (the Plan) and implemented the Carers (Scotland) Act 2016 during the period 2017 - 2019. An update in relation to progress in implementing the Carers (Scotland) Act 2016 and Carers Investment Plan 2018 – 2019 was ratified by the Dundee Integration Joint Board on 18th December 2018 (Article XIV of the minute of the meeting, report DIJB67-2018 refers).

4.1.3 Significant progress has been made in implementing the Carers Strategic Plan during the period 2017 – 2019 which includes: -

Priority	Progress
I am Identified, Respected and Involved	<p>We have implemented a range of activity to increase identification and involvement of Carers: -</p> <ul style="list-style-type: none"> ✓ Multi-agency guidance and a learning programme to promote the identification and support to Carers. This will be implemented during 2019 – 2020. ✓ Clauses within commissioned services contracts to have a responsibility to identify and signpost Carers to appropriate supports. ✓ 'Carers Voice', which is a Carers Involvement Group, as a means of engaging with and involving Carers in the planning and shaping of services. ✓ Carers of Dundee communications campaign to promote awareness of Carers.
I have had a Positive Caring Experience	<p>We have implemented a range of activity to enable Carers to have positive experiences which includes: -</p> <ul style="list-style-type: none"> ✓ Supporting the redesign of services to enable Carers to feel that services for the person they care for are well coordinated, joined up and integrated. ✓ A Dundee Carers Charter which sets out commitments to all Carers in Dundee. ✓ Peer Support to enable Carers to learn from each other's experiences, skills and use this learning so that being a Carer in Dundee is a positive experience.
I can live a Fulfilled and Healthy Life	<p>We have implemented a range of activity to enable Carers to live a fulfilled life which includes: -</p> <ul style="list-style-type: none"> ✓ Promoting Carers health checks a means of preventing ill health and promoting Carers health, wellbeing and resilience. ✓ A Carers of Dundee website and Information Advice service to provide a range of advice and training to Carers in Dundee. ✓ Caring Places to provide direct access support to Carers in localities across Dundee and enable a shift towards early intervention and prevention. ✓ A health and wellbeing service for Carers to improve their mental health and provide support to Carers affected by a suicide.
I can balance my life with the caring role	<p>We have implemented a range of activity to enable Carers to live a fulfilled life which includes: -</p> <ul style="list-style-type: none"> ✓ Supports to Young and Adult Carers through Young Carers Statements and Adult Carers Support Plans. ✓ Promoting Carer Positive Award to support Carers in employment. Dundee

	<p>City Council, NHS Tayside and Dundee Carers Centre are Carer Positive.</p> <p>✓ Developing a short breaks statement and a direct access short breaks service.</p> <p>✓ Implementing the Carers (Scotland) Act 2016</p>
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4.1.4 Our progress, which includes impact on Carers and Carers Outcomes, will be demonstrated by the Dundee Carers Partnership Performance Report 2017 – 2019 which will be published in July 2019.

4.2 Carers Investment Plan

4.2.1 To maximise use of resources to support Carers, an integrated budget and investment plan was developed to evidence how all funding allocated towards Carers enables the Partnership to support Carers to achieve their outcomes and achieve priorities set out in the Carers Strategic Plan, Carers (Scotland) Act 2016 and the Dundee Health and Social Care Partnership Strategic Commissioning Plan.

4.2.2 The Carers Partnership Investment Plan highlights:

- The Carers (Scotland) Act duties relating to information and advice, support to Carers including short breaks are met but further investment is required to ensure duties relating to involving Carers, implementing adult carer support plans and updating of the carers strategic plan are met.
- Our commitment towards promoting improved outcomes and reducing inequalities for Carers through investing in initiatives which enable a shift towards early intervention and preventative support is met but further investment is needed towards supporting Carers to improve their wellbeing and access personalised formal support where this is needed.

4.2.3 Due to this the priorities for additional investment through the Carers (Scotland) Act 2019 – 2020 were identified as a Partnership for further developing: -

- Advocacy and involvement support which enables Carers to be involved in design and development of services for people cared for.
- Support to Carers and cared for persons to improve their health, wellbeing and independence, reduce inequalities and cope with loss and bereavement.
- Support to Carers to access personalised formal support following an adult carer's support plan.
- Support which enables update of the Carers Strategic Plan and update of the Carers Eligibility Criteria in line with legal requirements.
- Digital and technology developments which promote accessibility and sustainability of service provision.

4.3 Carers (Scotland) Act Investment Plan 2019 - 2021

4.3.1 The budget for implementation of the Carers (Scotland) Act 2016 for Dundee Health and Social Care Partnership in 2019/ 2020 is £535k which has been planned for as part of the IJB's 2019/20 budget. Of the £535k, £281,732 has already been committed as follows: -

Commitment	Amount
Projects agreed through Carers Investment Plan 2018 – 2019 for continued funding into 2019 – 2020 (Article XIV of the minute of the meeting 18 th December 2018, report DIJB67-2018 refers)	£139,656
Projects agreed for mainstreaming through the Carers (S) Act 2016 funding. (Article VI of the minute of the meeting 29 th March 2019, report DIJB14-2019).	£142,076
Total	£281,732

4.3.2 Additional investment is proposed through use of Carers (Scotland) Act Funding 2019 – 2020 in line with priorities identified in the Act and Strategic Plan to the value of £252,896

- Early intervention health and wellbeing digital advice and workforce development to build capacity to promote Carers and Cared for Person’s health, wellbeing and resilience.
- Co-design of Carer’s involvement and advocacy support to enable Carers to be involved in the planning of support for cared for persons.
- Strategic support to enable an update of the Carers Strategic Plan, Eligibility Criteria and implementation of actions in the Strategic Plan.
- Implementation of Adult Carers Support Plans across Dundee Health and Social Care Partnership.
- Continuation of projects were previously funded through the NHS Tayside Carers Information Strategy which were continued relating to development of support to involve Carers and the development of locality based information and support to Carers through Dundee Carers Centre Caring Places Initiative. These projects were agreed for funding through Carers (Scotland) Act 2018 – 2019 for the period 2018 – 2019 (Article XIV of the minute of the meeting 18th December 2018, report DIJB67-2018 refers).

4.3.3 In order to ensure effective governance in relation to the monitoring of progress against investment outcome measures, bids will be tendered in relation to the projects and a Service Level Agreements will be developed with respect to each allocation (including statutory and Third sector organisations). As part of the Service Level Agreement each organisation will be expected to: -

- Embed key standards and principles, governing the practice and culture of their work, which includes health and social care standards.
- Build capacity to support Unpaid Carers.
- Reduce health inequalities and promote early intervention and prevention approaches which promote Carers outcomes.

4.3.4 The Carers (Scotland) Act Investment Plan 2019 – 2020 amounts to £252,896 for period 2019 – 2020 with £262,896 continued to the Carers (Scotland) Act Investment Plan for period 2020 – 2021 to continue to build capacity to deliver upon the Act. The Investment Plan 2019 – 2021 is attached at Appendix 1.

5.0 POLICY IMPLICATIONS

5.1 This report has been screened for any policy implications in respect of Equality Impact Assessment and Risk Management. There are no major issues.

6.0 RISK ASSESSMENT

Risk 1 Description	There is a risk that current funding will be insufficient to support provision implementation of the Act.
Risk Category	Financial
Inherent Risk Level	Likelihood 3 x Impact 4 = Risk Scoring 12 (which is High Risk Level)
Mitigating Actions (including timescales and resources)	Securing multi-agency agreement on the actions required in line with the Dundee Carers Strategic Plan.
Residual Risk Level	Likelihood 3 x Impact 3 = Risk Scoring 9 (which is a High Risk Level)
Planned Risk Level	Likelihood 2 x Impact 3 = Risk Scoring 6 (which is a Moderate Risk Level)
Approval recommendation	Given the risk mitigation actions in place the risk is deemed to be manageable and should be accepted.

7.0 CONSULTATIONS

The Chief Finance Officer and the Clerk were consulted in the preparation of this report.

8.0 DIRECTIONS

The Integration Joint Board requires a mechanism to action its strategic commissioning plans and this is provided for in sections 26 to 28 of the Public Bodies (Joint Working)(Scotland) Act 2014. This mechanism takes the form of binding directions from the Integration Joint Board to one or both of Dundee City Council and NHS Tayside.

See Appendix 1.

Direction Required to Dundee City Council, NHS Tayside or Both	Direction to:	
	1. No Direction Required	
	2. Dundee City Council	
	3. NHS Tayside	
	4. Dundee City Council and NHS Tayside	x

9.0 BACKGROUND PAPERS

None.

David W Lynch
Chief Officer

DATE: 14th June 2019

Alexis Chappell
Locality Manager

DIRECTION FROM THE DUNDEE CITY INTEGRATION JOINT BOARD

1	Reference	DIJB28-2019
2	Date Direction issued by Integration Joint Board	25 June 2019
3	Date from which direction takes effect	25 June 2019
4	Direction to:	Dundee City Council and NHS Tayside
5	Does this direction supersede, amend or cancel a previous direction – if yes, include the reference number(s)	No
6	Functions covered by direction	Services for carers in terms of the Carers (Scotland) Act 2016 Investment Plan.
7	Full text of direction	Dundee City Council is directed to enter in to contractual arrangements with all relevant service providers and make provision for services to be provided directly by the council as identified in the Carers (Scotland) Act Investment Plan for the delivery of those services required for the implementation of the Act. NHS Tayside is directed to make provision for services to be provided directly as identified in the Carers (Scotland) Act Investment Plan.
8	Budget allocated by Integration Joint Board to carry out direction	Dundee City Council – 2018/19 - £227,896 NHS Tayside – 2019/20 - £25,000
9	Performance monitoring arrangements	Through the financial monitoring and workforce planning review arrangements to Dundee Integration Joint Board.
10	Date direction will be reviewed	June 2020

CARERS INVESTMENT PLAN 2019 – 2020

Number	Investment Priority	Service to be Delivered and Organisation	Anticipated Investment (2019- 2021)	Anticipated Investment Measure Outcome
1.	Carers Involvement and Engagement	Carers Advocacy and Involvement Service	Year 1 £25,000 Year 2 £50000	<ul style="list-style-type: none"> ✓ Increased identification and awareness of Carers. ✓ Increased engagement and involvement of Carers in redesign and strategic changes. ✓ Carers are supported to provide their views and be involved in the decision making and planning for the person they care for. ✓ Co-design and development of a range of advocacy options to enable Carers to be able to be involved in decision making and planning for the person they care for. ✓ Co-design peer support advocacy and involvement service with former and current carers. ✓ Enhanced support and training to carers in involvement roles including carers voice ✓ Arrangements developed which enables effective implementation of and sustainability of the project. ✓ % of Carers who state that they have a say in development and design of services. ✓ % Carers who state they feel identified, included and respected.
2.	Early Intervention Health Inequalities	Health and Wellbeing Digital Advice and Training Service (Corner)	Year 1 £25,000 Year 2 £25,000	<ul style="list-style-type: none"> ✓ Increased Young Carers and Young Adult Carers health, wellbeing and resilience. ✓ Increased identification, awareness of and engagement with Carers. ✓ Provision of support to Young Carers to improve their mental health and wellbeing. ✓ Development and implementation of a digital multi-agency toolkit, factsheet and e-learning materials and app which provides advice and information regarding health and wellbeing. ✓ Promotion of Carers Health Checks, Carers Positive and Local Carers Charter ✓ % Carers who feel well and healthy ✓ % Carers who feel supported
3.	Strategic Support	Strategy and Performance (Dundee Health and Social Care Partnership)	Year 1 50,000 Year 2 50,000	<ul style="list-style-type: none"> ✓ Co-produce a pre assessment information booklet /checklist that enables individuals to identify that they are Carers. Publish the booklet via Carers of Dundee website. ✓ Implement Carers Census and government return. ✓ Update ACSP and check in person cared for outcomes assessment and briefing sessions. ✓ Undertake workforce surveys

Number	Investment Priority	Service to be Delivered and Organisation	Anticipated Investment (2019- 2021)	Anticipated Investment Measure Outcome
				<ul style="list-style-type: none"> ✓ Develop and implement Carers procedures ✓ Implement a consistent approach to recording and evidencing Carers personal outcomes and views. ✓ Update Carers Partnership Strategic Plan and Strategic Outcome Plan in partnership with Carers organisations. ✓ Co-design an update to Carers of Dundee Information Factsheets with Carers and front line practitioners. ✓ Develop a range of media options to communicate information in factsheets to a wide range of Carers and practitioners. ✓ Co-design and deliver a digital toolkit, factsheets, e-learning and group learning programme which provides information, advice and support regarding independent living options, rehabilitation and use of equipment and adaptations with Carers, supported persons and front line practitioners.
4.	Support to Carers	Adult Carers Support Plan Implementation (Dundee Health and Social Care Partnership)	Year 1 – £20,000 Year 2 - £30,000	<ul style="list-style-type: none"> ✓ Implementation of Adult Carers Support Plans across Dundee Health and Social Care Partnership. ✓ Quality assurance, governance and audit in relation to implementation of the Plans. ✓ Implementation of Self Directed Support ✓ Test emergency planning and adult carers support plans and self-referral factsheets and refine based on learning from test. ✓ Co-design digital access to adult carer support plans and informal support with carers and front line practitioners.
5.	Early Intervention and Carers Involvement and Engagement	Carers Involvement and Support to Carers (Dundee Carers Centre) (Previous CIS Funded Projects)	Year 1 - £132, 896 Year 2 - £132, 896	<ul style="list-style-type: none"> ✓ Increased identification and awareness of Carers. ✓ Increased engagement and involvement of Carers in redesign and strategic changes. ✓ Carers are supported to provide their views and be involved in the decision making and planning for the person they care for. ✓ Provision of information and advice to Carers in localities across Dundee ✓ Enable Carers to access community based supports, education and employment. ✓ Engage with local communities to build capacity and maximise support to Carers.

Number	Investment Priority	Service to be Delivered and Organisation	Anticipated Investment (2019- 2021)	Anticipated Investment Measure Outcome
				<ul style="list-style-type: none"> ✓ % Carers who feel supported. ✓ Early identification of Carers and prevention of crisis situations

Additional points agreed:

- Service Level Agreements will be developed with respect to each allocation (including statutory and Third sector organisations).
- All the organisations awarded funding will be expected to embed key standards and principles, governing the practice and culture of their work, which includes health and social care standards and policies and practices which protect children and adults, including vulnerable women.
- All the organisations awarded funding will be expected to build capacity to support Unpaid Carers.
- All the organisations awarded funding will be expected to reduce health inequalities and promote early intervention and prevention approaches which enable Carers to be identified and access timely support.
- All the organisations awarded funding will be expected to enable Carers to have positive experiences and achieve their personal outcomes.