

**REPORT TO: HEALTH AND SOCIAL CARE INTEGRATION JOINT BOARD –  
29 MARCH 2019**

**REPORT ON: DUNDEE INTEGRATION JOINT BOARD 2019/20 BUDGET**

**REPORT BY: CHIEF FINANCE OFFICER**

**REPORT NO: DIJB14-2019**

## **1.0 PURPOSE OF REPORT**

The purpose of this report is to advise Dundee Integration Joint Board of the implications of the proposed delegated budget for 2019/20 from Dundee City Council and indicative budget from Tayside NHS Board and to seek approval for the range of savings required to set a balanced budget for Dundee Health and Social Care Partnership for 2019/20.

## **2.0 RECOMMENDATIONS**

It is recommended that the Integration Joint Board (IJB):

- 2.1 Notes the implications of the proposed delegated budget to Dundee Health and Social Care Partnership from Dundee City Council and indicative delegated budget from Tayside NHS Board for 2019/20.
- 2.2 Approves the delegated budget proposed by Dundee City Council as set out in section 4.7 and Table 4 within this report.
- 2.3 Instructs the Chief Finance Officer to report back to the IJB following receipt of formal notification from Tayside NHS Board of the budget offer with associated recommendations including any implications of the finalisation of hosted services budgets, additional prescribing allocations and the Large Hospital Set Aside on the IJB's net budget position and associated savings.
- 2.4 Approves an uplift of 1.85% for 2019/20 to rolling contractual arrangements with the third sector for the provision of health and social care services as outlined in paragraph 4.8.2 inclusive of uplifts to implement the Scottish Living Wage and Sleepover payments at the Scottish Living Wage.
- 2.5 Approves the mainstreaming of services funded by the Integrated Care Fund and Delayed Discharge Funding as set out in the Consolidation of Change Projects report (SBAR6) as set out within the Savings Proposals Report attached as Appendix 2 to this report.
- 2.6 Approves the range of savings set out in the attached Savings Proposals Report (Appendix 2) in order to bring the projected budget position closer to balance.

- 2.7 Instructs the Chief Finance Officer to progress the Transformation Programmes as outlined in the Savings Proposals report to the next stage in partnership with relevant stakeholders including Trade Union and Staff Side representatives.

### **3.0 FINANCIAL IMPLICATIONS**

The proposals outlined in this report set out an overall budget for 2019/20 for Dundee Health and Social Care Partnership of £235.1m as noted in section 4.12 of this report.

## **4.0 MAIN TEXT**

### **4.1 Background**

- 4.1.1 Report DIJB72-2018 (Delegated Budget 2019/20 – Initial Outlook) presented to the December meeting of the IJB set out an initial overview of the projected financial pressures likely to be faced within the delegated budget during 2019/20 as phase one of a set of three budget reports leading to the planned finalisation of the IJB’s budget at the end of March 2019. This report focussed on the totality of the potential pressures but did not at that stage identify the funding solutions which would offset these given the relative stages of the budget process within NHS Tayside and Dundee City Council and the overall Scottish Government’s Budget. The identified cost pressures at that time totalled around £14.2m.
- 4.1.2 The IJB was provided with an update at its meeting on 26<sup>th</sup> February 2019 (Report DIJB8-2019 –Delegated Budget 2019/20 Development – Progress Report) which further refined the estimated financial pressures (£14.3m) and noted the potential impact of the likely budget offers to be made to the IJB from Dundee City Council and NHS Tayside. The combined effect of these and specific Scottish Government funding for Primary Care Improvement and Mental Health Action 15 Funding resulted in a projected deficit of £5.878m.
- 4.1.3 Since then, further work has been undertaken to refine the financial assumptions included in the delegated budget which notes a revised projected deficit of £5.936m. This is set out in Appendix 1. In addition, officers have been working through the range of interventions required to deliver a balanced budget for the IJB in 2019/20.
- 4.1.4 The factors noted above and subsequent negotiations have shaped the development of Dundee Health and Social Care Partnership’s proposed 2019/20 budget and includes the range of savings with associated risks which is set out within the following sections.

### **4.2 Proposed NHS Tayside Delegated Budget**

- 4.2.1 NHS Tayside’s Financial Plan 2019/20 continues to be developed and is expected to be signed off by Tayside NHS Board in April 2019 therefore the figures contained in this report are indicative at this stage. In relation to the delegated budget, NHS Tayside’s Director of Finance has indicated that the recurring delegated budget will be uplifted by 2.6% in line with the uplift received by NHS Tayside from the Scottish Government. It is anticipated that these uplifts will fully fund inflationary increases such as the pay settlement and inflationary increases in prescribing in 2019/20. The indicative budget has been developed in accordance with the Scottish Government’s expectations around the funding of IJB delegated budgets from NHS Boards for 2019/20 and notwithstanding the areas still to be clarified, the Chief Finance Officer deems this to be a fair and transparent approach. However, there are a range of current year cost pressure areas such as prescribing and the impact of hosted services recharged from Angus and Perth and Kinross IJB’s which need to be supported within the budget. These are reflected in the cost pressures estimate and subsequent funding

shortfall. This also includes the need to shift historical savings from a non-recurring to a recurring basis.

- 4.2.2 The expectation from Tayside NHS Board is that each of the IJB's bring their delegated budgets in to balance in 2019/20, thereby removing financial risk to NHS Tayside in 2019/20.

### 4.3 Prescribing

- 4.3.1 As reported during 2018/19 through the financial monitoring process, the prescribing budget continues to be the highest financial risk area within the delegated budget, despite significant progress being made over the last two financial years to radically change the local approach to prescribing. This has been lead through the Tayside wide Prescribing Management Group (PMG) and locally through the Dundee Medicines Management Group, with a programme of cost reductions and service changes designed to restrict and reduce price and volume growth. The forecast position for the Dundee Prescribing Budget is set out in table 1 below.

**Table 1 – Dundee GP Prescribing Budget Projections 2019/20**

	£000
Share of Prescribing Budget	32,603
2019/20 Anticipated Baseline Spend	33,162
2019/20 Anticipated Growth (inc Price Increases)	667
Anticipated Spend 2018/19	33,829
Less:	
Tayside Wide Active Interventions	(136)
Revised Anticipated Spend	33,693
Projected Funding Shortfall	1,089

- 4.3.2 The 2018/19 prescribing budget was enhanced by a sum of £800k as a share of a Tayside wide benefit of an additional £1.2m of funding due to changes in the national allocation funding formula (NRAC). This Tayside benefit was mainly as a result of factors relating to Dundee however a shared approach to the Tayside prescribing position was taken which saw all 3 Tayside IJB's benefit. The allocation of this £1.2m was made in 2018/19 on a non-recurring basis however the 2019/20 recurring position has yet to be formally put to the NHS Board for consideration. The figures in Table 1 do not take into account any share of this additional funding therefore the Chief Finance Officer will bring back to the IJB an assessment of the financial implications of the financial position once known.

### 4.4 Impact of Hosted Services – Mental Health Inpatient Services

- 4.4.1 Perth & Kinross IJB continues to work in partnership with Dundee, Angus and NHS Tayside, to reduce the cost pressures associated with the Mental Health Inpatient Service and General Adult Psychiatry which has had a considerable funding gap over the last three years, partly met through non-recurring funding from NHS Tayside. Reducing this gap is associated with the major service redesign programme which the IJB supported in December 2017 (Report DIJB49-2017, Mental Health & Learning Disability Redesign Transformation Programme – Consultation Feedback Report) however this is still progressing. The projected net financial gap for 2019/20 will not be confirmed until both NHS Tayside and Perth & Kinross IJB set their budgets, however an estimate based on the current years overspend has been factored in to the Dundee IJB cost pressures calculations. The impact to Dundee of any residual overspend for these services would be around 40% of the total Tayside figure and the Chief Finance

Officer will bring back to the IJB an assessment of the financial implications of the position once known.

#### **4.5 Large Hospital Set Aside**

4.5.1 A key component of the overall funding of health and social care is in relation to progressing the arrangements to release resources through the Large Hospital Set Aside mechanism. The system reform assumptions in the Scottish Government's Health and Social Care Medium Term Financial Framework include material savings to be achieved from reducing variation in hospital utilisation across partnerships. Planning across the whole unplanned care pathway is key to delivering this objective and the Scottish Government has set out that partnerships must ensure that by the start of 2019-20, the set aside arrangements are fit for purpose and enable this approach. The Scottish Government will work with Integration Authorities, Health Boards and Local Authorities to ensure the legislation and statutory guidance on hospital specialties delegated to Integration Authorities, particularly in relation to set aside budgets, and is put into practice. This will continue to be a focus of financial planning in conjunction with NHS Tayside and the implications of this will be presented to the IJB in due course.

#### **4.6 Primary Care and Mental Health Additional Funding**

4.6.1 The Scottish Government's Budget sets out the continued additional planned funding for Primary Care Transformation and Mental Health Action 15 Funding. Primary Care funding will increase from £120m to £155m in 2019/20 while Mental Health and CAMHS funding will increase from £47m to £61m. This funding is directed through NHS Boards to IJB delegated budgets.

4.6.2 Dundee's core share of the Primary Care Funding for 2019/20 is £1,630k which will be enhanced through a transfer from Angus and Perth and Kinross to recognise GP practice boundaries and patient flow. The share of Mental Health funding is £504k. Both of these funding streams are subject to strong governance arrangements through the Scottish Government and come with high expectations of delivering increased capacity and improvement within the respective areas. This additional funding will support the continued development of community based health and social care services in line with the IJB's strategic priorities.

#### **4.7 Dundee City Council Budget Implications**

4.7.1 Dundee City Council set its budget on the 21<sup>st</sup> February 2019 which set out the net budget offer to the IJB. The changes to the delegated budget as part of this offer are set out in table 2 below and consists of provision for inflationary pressures and reflects Scottish Government investment to be directed to Integration Authorities. This consists of an additional £40m nationally included in the core local government settlement to support the continued implementation of the Carers (Scotland) Act 2016 and extending free personal care to under 65s (the distribution of which is still to be confirmed). A further £120m nationally has been allocated to support health and social care integration including delivery of the Living Wage, uprating free personal care and school counselling services (the latter national amount of £12m is not a delegated function to Dundee IJB). This funding is to be additional to each council's recurrent 2018/19 spend on social care.

4.7.2 As part of the final local government settlement, the Scottish Government has provided local authorities the flexibility to offset their adult social care allocations by up to 2.2% compared to 2018/19 i.e. up to £50m across all local authorities to help them manage their own budgets. It is therefore within this financial context that Dundee City Council's budget offer to Dundee IJB has been developed, resulting in an offsetting saving of £2,971k being applied. Therefore the Chief Finance Officer considers this to be a fair and transparent approach within the parameters set out by the Scottish Government. The net increase to the delegated budget is £2,560k prior to the allocation of funding for free personal care for under 65's, estimated to be approximately £888k for Dundee.

**Table 2 – Dundee City Council Budget Uplift Details**

	£000
Inflationary Uplift	2,017
Additional Council Funded Posts (Protecting People)	100
Additional Funding for Health and Social Care (Share of national £120m)	3,252
Additional Funding for New Legislation (Carers Act only)*	301
Less: Assumed Additional Income from Review of Charges	(159)
Total Additional Funding	5,531
Less: Financial Savings Applied	(2,971)
Net Uplift Provided	2,560

\*Free Personal Care Funding estimated as £888k still to be confirmed by Scottish Government

#### **4.8 Provision for 3<sup>rd</sup> Sector Rolling Contract Uplifts**

- 4.8.1 The delegated budget funds a range of health and social care services provided by the third and voluntary sector on behalf of the Health and Social Care Partnership. These arrangements are governed by contractual frameworks with baseline funding agreed at the commencement of the service through the tendering process. Subsequent increases in the level of contractual funding for these (other than to reflect changing needs of individual service users) are a matter for the commissioning body to decide. Until 2018/19 where the IJB committed to an increase in contractual funding levels of 1% plus living wage where appropriate, the majority of these arrangements did not have any uplifts applied for a number of years with reductions applied in recent years by Dundee City Council as part of its budget process.
- 4.8.2 Care providers continue to face a number of financial challenges and in recognition of this and the important contribution the services they provide make to the overall health and social care service landscape, it is proposed that despite the scale of financial savings required to be made by the IJB, a 1.85% increase in these contractual funding levels for 2019/20 at a cost of approximately £699k should be applied. This would be inclusive of payment of the living wage where appropriate.

#### **4.9 Consolidation of Change Funding**

- 4.9.1 The current delegated budget includes funding for two historical change funds, Integrated Change Fund and Delayed Discharge Funding which the partnership has used to test and embed change programmes in line with the IJB's Strategic and Commissioning Plan. Over the last 3 financial years, this funding has supported the development of a range of different models of care, some of which have become part of mainstreamed services and others which require ongoing funding for longer term sustainability. Following an evaluation of these projects by the Integrated Care Fund Monitoring Group and the Home and Hospital Transition Group, it is proposed that many of these projects should now be mainstreamed and supported through this funding and that financial savings are applied to the remaining change fund budget. These proposals are set out in detail in the Savings Proposals Report (SBAR6) attached as Appendix 2 to this report.

#### 4.10 Dundee IJB Proposed Savings Programme

- 4.10.1 The impact of all the elements in the previous sections on the proposed delegated budget is noted in Appendix 1 attached. This highlights that the IJB needs to identify savings to the value of approximately £5.9m in 2019/20 to provide a balanced budget. Over the last few months, officers from the IJB have developed a proposed savings programme, reflecting a thorough review of service budgets, development of service redesign and transformation programme initiatives and an assessment of corporate savings in order to meet the financial challenge.
- 4.10.2 The savings proposals are set out in detail within the attached Proposed Savings Programme 2019/20 (Appendix 2). IJB members will note that despite the extensive savings identified, there remains a funding gap of just over £500k. However given there are still a number of areas to be confirmed in relation to the NHS indicative budget which are likely to have an impact on the IJB's net funding position, the Chief Finance Officer will report back to the IJB with confirmation of this impact and any further budget savings to be considered once this position is known.

#### 4.11 Reserves Position

- 4.11.1 At the financial year end 2017/18 the IJB's reserves stood at £4.560m. As part of the 2018/19 budget setting process, the IJB agreed to release resources to the value of £1.983m to support the financial position for the financial year, on a non-recurring basis. In addition, the IJB had previously agreed the use of reserves to support transition funding for the Reshaping Non-Acute Care for Older People programme of £1.686m. A further £400k had also been earmarked to support further transformation of services leaving around £491k of usable reserves. However during the 2018/19 financial year, the requirement to access reserves to support the Reshaping Non-Acute Care programme is anticipated to be significantly less than planned, therefore reserve levels at the year-end are likely to be higher.
- 4.11.2 The Integration Scheme risk sharing agreement notes that should there be any residual overspend in operational services at the end of the financial year, reserves should be drawn on prior to overspends being picked up by the partner bodies therefore there is currently still a risk that the actual reserve position may be impacted on by the 2018/19 final position.
- 4.11.3 The IJB has a reserves policy which states that reserves should be at a level of around 2% of budgeted resources therefore an appropriate level of reserves would equate to around £5m for Dundee IJB. Audit Scotland noted a risk around financial sustainability of the IJB in the 2017/18 Annual Audit Report which included the projected level of reserves. It is not proposed at this stage to seek approval to plan to release resources from reserves to support the projected budget shortfall for 2019/20, however this may require to be revisited once all areas of the budget settlement have been confirmed.

**Table 3 – Projected IJB Reserves Position (as at 31 March 2019)**

	£000
Value of Reserves	4,560
Less: Anticipated Commitment	(3,083)
Balance Available	1,477*
*Subject to change at year end	

#### 4.12 Proposed Dundee IJB Delegated Budget 2019/20

4.12.1 Factoring all of the above against the delegated budget results in a proposed position for 2019/20 as noted in Table 4 below.

**Table 4 – Dundee Health & Social Care Partnership Proposed Delegated Budget 2019/20**

	Dundee City Council	NHS Tayside (indicative only)	Total Proposed Budget 2019/20
	£m	£m	£m
<b>2019/20 Baseline Budget</b>			
Hospital & Community Based Services	73.6	77.4	151.0
Family Health Services Prescribing*		32.6	32.6
General Medical Services*		43.1	43.1
Large Hospital Set Aside (value tbc)		Tbc	Tbc
<b>Total Baseline Budget</b>	<b>73.6</b>	<b>153.1</b>	<b>226.7</b>
Add:			
Inflationary Uplifts (less review of charging – council only)	1.9	2.8	4.7
Funding for Additional Posts	0.1		0.1
Investment in New Scottish Govt Legislation/National Policy (share of £160m)*	3.6		3.6
Primary Care/ Mental Health Innovation Funding/ADP Funding		2.1	2.1
Less: Funding Reduction	-3.0		-3.0
<b>Total Proposed Budget 2019/20</b>	<b>76.2</b>	<b>158</b>	<b>234.2</b>
Estimated Additional Funding for Free Personal Care for Under 65s (to be confirmed)	0.9		0.9
Estimated Budget 2019/20	77.1	158	235.1
Note:**			
Hosted Services Transfer Out		Tbc	Tbc
Hosted Services Transfer In		Tbc	Tbc

Note\* - Excludes Free Personal Care for Under 65s - to be confirmed

Note\*\* - Figures to be confirmed once NHS Tayside final budget agreed.

4.12.2 The scale and pace of the delivery of the IJB's revised Strategic and Commissioning Plan is dependent on the level of resources delegated to the IJB. While the 2019/20 financial position is challenging, the partnership is receiving a net growth in its resources for 2019/20 through additional investment in areas such as Primary Care, Mental Health, Carers and social care, all of which will support the delivery of the priorities set out within the Strategic and Commissioning Plan.

## 5.0 POLICY IMPLICATIONS

This report has been screened for any policy implications in respect of Equality Impact Assessment. An impact assessment is available.

## 6.0 RISK ASSESSMENT

<b>Risk 1 Description</b>	There is a risk that the IJB will not be able to balance its resources and achieve its strategic objectives should the combination of the level of funding provided through the delegated budget and the impact of the IJB's Transformation Efficiency Programme be insufficient.
<b>Risk Category</b>	Financial
<b>Inherent Risk Level</b>	Likelihood 4 x Impact 4 = 16 (Extreme)
<b>Mitigating Actions</b> (including timescales and resources )	Developing a robust and deliverable Transformation Programme. Negotiations with Dundee City Council and NHS Tayside to agree the most advantageous funding package as part of the development of the IJB's delegated budget.
<b>Residual Risk Level</b>	Likelihood 3 x Impact 4 = 12 (High)
<b>Planned Risk Level</b>	Likelihood 3 x Impact 4 = 12 (High)
<b>Approval recommendation</b>	Although the risk levels remain high, the range of interventions identified generally have a medium to low risk of delivery in 2019/20 therefore it is recommended that the risks be accepted. Risks around the Prescribing budget will be continually monitored and reported to the IJB throughout the year.

## 7.0 CONSULTATIONS

The Chief Officer and the Clerk were consulted in the preparation of this report.

## 8.0 DIRECTIONS

The Integration Joint Board requires a mechanism to action its strategic commissioning plans and this is provided for in sections 26 to 28 of the Public Bodies (Joint Working)(Scotland) Act 2014. This mechanism takes the form of binding directions from the Integration Joint Board to one or both of Dundee City Council and NHS Tayside.



Direction Required to Dundee City Council, NHS Tayside or Both	Direction to:	
	1. No Direction Required	
	2. Dundee City Council	
	3. NHS Tayside	
	4. Dundee City Council and NHS Tayside	✓

**9.0 BACKGROUND PAPERS**

None.

Dave Berry  
Chief Finance Officer

**DATE:** 22 March 2019



**DIRECTION FROM DUNDEE CITY INTEGRATION JOINT BOARD**

1	Reference	
2	Date Direction issued by Integration Joint Board	29 March 2019
3	Date from which direction takes effect	1 April 2019
4	Direction to:	NHS Tayside & Dundee City Council
5	Does this direction supersede, amend or cancel a previous direction – if yes, include the reference number(s)	Yes
6	Functions covered by direction	All delegated services.
7	Full text of direction	Dundee Integration Joint Board directs Dundee City Council and NHS Tayside to provide health and social care services as commissioned by Dundee Integration Joint Board within the resources allocated as set out in this report, subject to formal notification from NHS Tayside as to the level of budget offer. Further Directions will be issued by Dundee Integration Joint Board during 2019/20 as to the future provision of these services.
8	Budget allocated by Integration Joint Board to carry out direction	To be confirmed once the final budget has been agreed following formal notification from NHS Tayside as to the level of budget offer
9	Performance monitoring arrangements	Through regular financial monitoring reports to Dundee Integration Joint Board.
10	Date direction will be reviewed	June 2019 (following receipt of NHS Tayside's formal budget offer)



**Appendix 1**

<b>DUNDEE HEALTH AND SOCIAL CARE PARTNERSHIP</b>		
<b>REVENUE BUDGET 2019/20</b>		
<b>Anticipated Cost Pressures:</b>		<b>Total Delegated Budget Cost Pressures</b>
		<b>£000</b>
<b><i>Current Year Non-Recurring Savings / Budget Pressures</i></b>		
2018/19 Legacy Savings Gap		<b>2,837</b>
Current Year Budget Pressures		<b>1,100</b>
<b>Total Current Years Funding Requirements</b>		<b>3,937</b>
<b><i>New Pressures 2019/20 - Inflationary Pressures/Demographic Growth</i></b>		
Staff Pay Increases (includes impact of 18/19 additional 0.5%)		<b>3,179</b>
Increased Costs of Externally Provided Services (including living wage)		<b>1,514</b>
Anticipated Demographic Demand Growth		<b>1,500</b>
Prescribing Growth		<b>667</b>
<b>Total Inflationary / Demographic Pressures</b>		<b>6,860</b>
<b><i>National Policy / Legislative Costs:</i></b>		
Carers Act Implementation - Year 2		<b>301</b>
Free Personal Care for Under 65s*		<b>888</b>
Free Personal & Nursing Care Rate Increases		<b>65</b>
Primary Care Improvement Plan		<b>1,630</b>
Mental Health Action 15		<b>504</b>
<b>Total National Policy / Legislative Costs</b>		<b>3,389</b>

Dundee City Council Additional Commitments (Additional Public Protection Posts)		<b>119</b>
<b>Total Anticipated Cost Pressures 2019/20</b>		<b>14,304</b>
<b>Anticipated Net Funding Increase:**</b>		
Dundee City Council (including share of £160m for social care)*		<b>3,488</b>
NHS Tayside		<b>2,786</b>
Scottish Government Funding - Primary Care / Mental Health		<b>2,134</b>
<b>Total Anticipated Additional Funding</b>		<b>8,368</b>
<b>Net Anticipated Residual Funding Shortfall</b>		<b>5,936</b>
*Distribution of Scottish Government national funding for Free Personal Care for Under 65s has not yet been announced but estimated to be £888k		
**Final Figures to be confirmed		

**Committee Report No:**

**Document Title:** Dundee Integration Joint Board 2019/20 Revenue Budget

**Document Type:** Other

**New/Existing:** New

**Period Covered:** 01/04/2019 - 31/03/2020

**Document Description:**

The report sets out the proposed revenue budget for the Dundee Integration Joint Board for 2019/20, including the proposed savings programme for 2019/20.

**Intended Outcome:**

The report is intended to support the Integration Joint Board to set a budget for 2019/20 that enables the delivery of supports and services in-line with the Strategic Priorities set out in the Partnership's Strategic and Commissioning Plan 2019-2022.

**How will the proposal be monitored?:**

The Integration Joint Board receives regular Revenue Monitoring Reports throughout the year which support monitoring of financial aspects of the budget proposal. The progress and impact of savings proposals will be monitored through further, more detailed papers being presented to the Integration Joint Board on each of the individual savings proposals made. The impact of the budget and savings proposals will also be monitored through regular performance reports submitted to the Performance and Audit Committee.

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## **A. Equality and Diversity Impacts:**

<b>Age:</b>	Positive
<b>Disability:</b>	Positive
<b>Gender Reassignment:</b>	Not Known
<b>Marriage and Civil Partnership:</b>	Not Known
<b>Pregnancy and Maternity:</b>	Not Known
<b>Race/Ethnicity:</b>	Positive
<b>Religion or Belief:</b>	Not Known
<b>Sex:</b>	Positive
<b>Sexual Orientation:</b>	Not Known

### **Equality and diversity Implications:**

Overall the proposed budget sets out financial arrangements that will support the implementation of the Partnership's Strategic and Commissioning Plan 2019-2022 and Equality Outcomes and Mainstreaming Framework 2019-20. Both of these documents have a clear focus on addressing health inequalities, particularly in relation to protected characteristics (as defined in the Equality Act 2010) and people affected by socio-economic disadvantage. The agreement and implementation of the revenue budget will therefore support the delivery of a range of health and social care supports and services that will positively impact on equality and diversity matters.

In relation to savings proposals:

- a number of the savings proposals reflected within the proposed revenue budget have previously been agreed by the Integration Joint Board and have therefore already been subject to screening in relation to Integrated Impact Assessment.
- a number of the savings proposals reflected within the proposed revenue budget reflect efficiencies achieved by the redesign of services that will deliver more personalised and accessible services to the citizens of Dundee and consequently support improved health and social care outcomes. Savings proposals made under the category of 'Transformation Programme Savings' in particular reflect this intention.

### **Proposed Mitigating Actions:**

A number of the savings proposals specifically reference commitment to work alongside communities and wider stakeholders to further assess the impact of proposed savings proposals. For example, the Change of Basis for Non-Residential Charging Policy proposal commits to a period of consultation and co-production. As savings proposals are progressed reports will be presented to the Integration Joint Board for consideration and approval. These individual reports will include a more comprehensive assessment of equality and diversity implications, and will be accompanied by specific Integrated Impact Assessments where appropriate. This will allow the specific implications of individual policy implications to be understood in detail by the Integration Joint Board.

The Integration Joint Board is also being asked to approve the Partnership's Equality Outcomes and Mainstreaming Framework 2019-2022; this sets out the Partnerships priorities and commitments in relation to promoting equality, diversity and fairness, including



in relation to how the Partnership develops policy and makes decisions. The implementation of the commitments made within the document will also act as mitigation to any potential negative impacts of the proposed revenue budget and savings on equality, diversity and fairness matters.

**Is the proposal subject to a full EQIA? : No**

## **B. Fairness and Poverty Impacts:**

### **Geography**

<b>Strathmartine (Ardler, St Mary's and Kirkton):</b>	Positive
<b>Lochee(Lochee/Beechwood, Charleston and Menzieshill):</b>	Positive
<b>Coldside(Hilltown, Fairmuir and Coldside):</b>	Positive
<b>Maryfield(Stobswell and City Centre):</b>	Positive
<b>North East(Whitfield, Fintry and Mill O' Mains):</b>	Positive
<b>East End(Mid Craigie, Linlathen and Douglas):</b>	Positive
<b>The Ferry:</b>	Positive
<b>West End:</b>	Positive

### **Household Group**

<b>Lone Parent Families:</b>	Not Known
<b>Greater Number of children and/or Young Children:</b>	Not Known
<b>Pensioners - Single/Couple:</b>	Positive
<b>Single female households with children:</b>	Not Known
<b>Unskilled workers or unemployed:</b>	Positive
<b>Serious and enduring mental health problems:</b>	Positive
<b>Homeless:</b>	Positive
<b>Drug and/or alcohol problems:</b>	Positive
<b>Offenders and Ex-offenders:</b>	Positive
<b>Looked after children and care leavers:</b>	Not Known
<b>Carers:</b>	Positive

### **Significant Impact**

<b>Employment:</b>	Positive
<b>Education and Skills:</b>	Positive
<b>Benefit Advice/Income Maximisation:</b>	Positive
<b>Childcare:</b>	Not Known
<b>Affordability and Accessibility of services:</b>	Positive

### **Fairness and Poverty Implications:**

Overall the proposed budget sets out financial arrangements that will support the implementation of the Partnership's Strategic and Commissioning Plan 2019-2022 and Equality Outcomes and Mainstreaming Framework 2019-20. Both of these documents have a clear focus on addressing health inequalities, particularly in relation to protected characteristics (as defined in the Equality Act 2010) and people affected by socio-economic disadvantage. The agreement and implementation of the revenue budget will therefore support the delivery of a range of health and social care supports and services that will positively impact on fairness and poverty.

The revenue budget proposals reflect an underlying strategic commitment to invest resources and target services on populations (both geographical and of interest / characteristic) with most significant health and social care needs, whilst also maintain investment in whole population early intervention and prevention approaches. This approach balances the need to invest in reducing the unequal impact of poverty on health and social care outcomes amongst those living in the most deprived communities in Dundee and maintaining good health and social care provision across the general population.

A range of savings proposals include elements of service redesign that will enhance capacity for locality based working and service delivery. This will support the Partnership to better understand and meet the needs of geographical communities, including addressing the unique health and social care needs and inequalities that arise in the most deprived areas of the city.

**Proposed Mitigating Actions:**

A number of the savings proposals specifically reference commitment to work alongside communities and wider stakeholders to further assess the impact of proposed savings proposals. For example, the Change of Basis for Non-Residential Charging Policy proposal commits to a period of consultation and co-production. As savings proposals are progressed reports will be presented to the Integration Joint Board for consideration and approval. These individual reports will include a more comprehensive assessment of fairness and poverty implications, and will be accompanied by specific Integrated Impact Assessments where appropriate. This will allow the specific implications of individual policy implications to be understood in detail by the Integration Joint Board.

The Integration Joint Board is also being asked to approve the Partnership's Equality Outcomes and Mainstreaming Framework 2019-2022; this sets out the Partnerships priorities and commitments in relation to promoting equality, diversity and fairness, including in relation to how the Partnership develops policy and makes decisions. The implementation of the commitments made within the document will also act as mitigation to any potential negative impacts of the proposed revenue budget and savings on poverty and fairness matters.

## **C. Environmental Impacts**

### **Climate Change**

<b>Mitigating greenhouse gases:</b>	Not Known
<b>Adapting to the effects of climate change:</b>	Not Known

### **Resource Use**

<b>Energy efficiency and consumption:</b>	Positive
<b>Prevention, reduction, re-use, recovery or recycling waste:</b>	Positive
<b>Sustainable Procurement:</b>	Not Known

### **Transport**

<b>Accessible transport provision:</b>	Positive
<b>Sustainable modes of transport:</b>	Positive

### **Natural Environment**

<b>Air, land and water quality:</b>	Not Known
<b>Biodiversity:</b>	Not Known
<b>Open and green spaces:</b>	Not Known

### **Built Environment**

<b>Built Heritage:</b>	Not Known
<b>Housing:</b>	Not Known

### **Is the proposal subject to Strategic Environmental Assessment**

No further action is required as it does not qualify as a Plan, Programme or Strategy as defined by the Environment Assessment (Scotland) Act 2005.

### **Proposed Mitigating Actions:**

As stated previously all proposals will be subject to further Integrated Impact Assessment screening as they are progressed.

### **Environmental Implications:**

The proposed revenue budget and savings include a number of actions which have the potential to positively impact of environmental matters. Savings proposals that include increased use of technology to support mobile and flexible working and integration of teams into shared premises have the potential to reduce environmental impacts of office accommodation, encourage paperless working and reduce waste. Investment in programmes of work around transportation and moves towards locality working that reduce staff travel time will also contribute to positive impacts on the environment.

## **D. Corporate Risk Impacts**

### **Corporate Risk Implications:**

The risk implications associated with the subject matter of this report are 'business as normal' risks. The subject matter is routine and has happened many times before without significant loss. There is comfort that the risks inherent within the activity are either

transferred to another party, shared equally and fairly between the Council and another party or are negligible.

**Corporate Risk Mitigating Actions:**

Revenue Monitoring Reports are submitted to the Integration Joint Board on a regular basis. This allows the IJB to actively monitor the revenue budget and impact of savings proposals and to identify areas of emerging risk and mitigating actions as required.