

REPORT TO: SPECIAL POLICY & RESOURCES COMMITTEE - 9 FEBRUARY 2012

REPORT ON: CAPITAL PLAN 2012-2016 - GENERAL SERVICES

REPORT BY: DIRECTOR OF FINANCE

REPORT NO: 48-2012

1 PURPOSE OF REPORT

- 1.1 To provide elected members with background information and details of the Council's General Services Capital Plan for 2012-2016. The Capital Plan includes expenditure on the Council's General Fund service departments, i.e. Education, Social Work, City Development, Leisure & Culture, Environment and Corporate Services. The Housing HRA Capital Plan 2012-2017 was approved at Policy & Resources on 23 January 2012 (report 32-2012 refers)

2 RECOMMENDATIONS

- 2.1 The Policy & Resources Committee is requested to:

- 1 approve the Council's General Services Capital Plan for 2012-2016 attached.
- 2 note the position for the 2011/12 projected capital expenditure programme, as at 31 December 2011.
- 3 approve the Prudential Indicators for the Capital Plan 2012-2016, as shown in Appendix 1 and note that these Indicators demonstrate that the Capital Plan 2012-2016 is affordable, prudent and sustainable.

3 FINANCIAL IMPLICATIONS

- 3.1 A significant portion of the Council's capital expenditure in the plan will be financed by borrowing and, as such, will result in Capital Financing Costs being incurred over the estimated useful life of the assets concerned. Appropriate provision has been included in the Council's Provisional 2012-2013 Revenue Budget, and will also be included in future years Revenue Budgets.
- 3.2 In some instances, the creation of a new capital asset may result in additional running costs (eg staff, non-domestic rates, maintenance etc) although these may be offset by additional income or related savings. Again, appropriate provision has been included in the Council's Provisional 2012-2013 Revenue Budget for the revenue costs of capital projects which will become operational during the course of the current financial year.

4 BACKGROUND

- 4.1 The Capital Plan 2012-2016 updates the previously approved budgets for 2011/12 and 2012/13, to reflect the latest phasing of the projected expenditure, and the impact of this on 2014/15 and 2015/16. The Council's property asset management process has been used to some degree to identify the property based capital spend for the period 2012 to 2016.

5 CAPITAL RESOURCES 2012-2016 - GENERAL SERVICES

5.1 Prudential Framework

5.1.1 Prudential Code Framework

The Prudential Framework has been developed as a professional code of practice to support local authorities in taking decisions on capital investment. Local authorities are required by Regulation to comply with the Prudential Code under Part 7 of the Local Government in Scotland Act 2003. The Capital Plan 2012-16 has been prepared in compliance with the Prudential Code.

- 5.1.2 Under the Prudential Code Local Authorities are obliged to introduce a system of option appraisal for capital projects and to develop asset management plans to assist in determining capital expenditure priorities.

5.1.3 Option Appraisal

Option appraisal guidelines have been developed which allow departments to consider systematically whether individual capital projects provide value for money. An option appraisal report should be completed for all projects of £250,000 or above being considered for inclusion in the Council's Capital Plan. A separate report is required to present the findings to Committee for projects with a capital value of £1m or more. Option Appraisal projects with a capital value between £250,000 and £1m are required to be approved by the Director of Finance, prior to the project being progressed.

5.1.4 Asset Management Plans

A Council wide strategy for managing the corporate assets was submitted to the Policy and Resources Committee on 28 March 2011. (report 148-2011 refers). There are 6 key areas of asset ownership, namely:-

- Buildings and Property
- Open Space
- Roads Infrastructure
- Vehicle Fleet
- Information and Communications Technology
- Council Housing

The property asset management process sets out the Council's approach to the strategic management of its land and building assets. The process seeks to ensure that the assets are used in the most effective and efficient way to support the delivery of the Corporate Strategy.

The Capital Plan 2012-2016 has been split into the key areas of asset ownership (excluding Council Housing which is dealt with in Housing HRA Capital Plan 2012-2017) and projects included in the Capital Plan 2012-16 are derived from the need to match the asset portfolio with service delivery needs and aspirations.

5.2 **Capital Expenditure Funded from Borrowing**

- 5.2.1 The level of borrowing for 2011/12 to 2015/16 has been determined based largely on the level of expenditure that can be afforded from the Capital Financing Costs included within the Provisional 2011-2012 Revenue Budget and is shown below:

	£m
2012/13	45.659
2013/14	28.935
2014/15	16.376
2015/16	15.835

- 5.2.2 The level of borrowing shown above includes some capital projects where a proportion of the borrowing will be funded from efficiency savings from within Departmental Revenue Budgets. The total level of borrowing each year, in respect of these projects, is shown below:

5.2.3

	£m
2012/13	3.387
2013/14	4.498
2014/15	1.316
2015/16	0.800

In addition the Capital Plan 2012-2016 includes Prudential Borrowing, funded from revenue savings arising from the rationalisation of properties programme that is in place. A sum of £3.8m has been included in the Plan

- 5.2.3 The Capital Plan 2012-2016 also includes borrowing for items that were previously leased. The provision for leasing charges in the 3 Year Revenue Budget is now used to fund the Capital Financing Costs arising from the borrowing. These projects include the New Computing Equipment for all Council Departments (Information and Communications Technology), and Vehicles and Plant (Vehicle Fleet). An allowance of £2.120m is included each year from 2012/13 to 2015/16.

5.3 **Capital Grants**

- 5.3.1 The Local Government Finance Settlement, announced by the Scottish Government in December, provided details on the level of capital grants for the Council covering the period from 2012/13 to 2014/15. The capital grants are split into grants that are specific to particular projects, and general grants where the Council has flexibility to allocate the grant to its priority projects. The figure for 2015/16 is an estimated figure, and will be subject to confirmation at the next Scottish Government Spending Review.

- 5.3.2 The General Capital Grant figures are based on the Local Government Finance Settlement 2012/13 to 2014/15.

	Total Allocation £m	Police £m	PSHQ £m	Central Waterfront £m	General Services Capital Budget £m
2012/13	12.751	0.453	0.847	4.338	7.113
2013/14	12.016	0.416	0.771	4.338	6.491
2014/15	17.401	0.611	1.104	-	15.686

In both 2012/13 and 2013/14 £4.338m will be transferred to revenue to fund Central Waterfront expenditure on assets not owned by the Council and a portion will be transferred to revenue to fund Private Sector Housing grant payments. This expenditure and grant are not included in the capital plan.

In addition the Council also received capital grant for Tayside Police, which will be passed on to them. This grant is not included in the figures shown in the Capital Plan.

Also, the Council has been awarded a specific Capital Grant for Cycling, Walking & Safer Streets. This is a ring-fenced capital grant which is included in the Roads Infrastructure capital programme. The Vacant and Derelict Land Fund Specific Grants has yet to be allocated by the Scottish Government to Local Authorities.

5.4 **Capital Receipts**

These comprise receipts from the sale of land and buildings, contributions from external parties and the transfer of receipts from the Capital Fund. Income from the sale of land and buildings has been estimated in consultation with officers from the City Development Department and account has been taken of the downturn in the property market.

The above figures exclude capital income relating to specific projects. This income is shown against the total expenditure for the projects in the detailed pages of the plan (page 8 to 27).

6 **CAPITAL EXPENDITURE 2012-2016 (GENERAL SERVICES)**

- 6.1 The Capital Expenditure included in the 2012-2016 Capital Plan is based on the approved Capital Plan 2011-2014, updated to reflect the latest timescales for the projects contained within the plan. New projects have been added to the Plan, based on the priorities identified in the Council's property asset management process and requirements from Service Departments. In addition the Capital Plan 2012-2016 has started to address issues raised by Council's community officers and the Local Community Planning Partnerships. The phasing of expenditure has been calculated based on need and available resources
- 6.2 An additional £63m, over and above that included in the Capital Plan 2011-14, of expenditure has been included in the Capital Plan 2012-16 and this figure is net of any contributions towards specific projects. Provision for the capital financing costs, to fund the required borrowing, has been included in the Provisional Revenue Budget 2012/13 and future years.
- 6.3 The detailed Capital Budget for 2012/13 to 2015/16 is shown on pages 8 to 27 of the Capital Plan 2012-2016 and is summarised below:

	<u>2012/13</u> <u>£m</u>	<u>2013/14</u> <u>£m</u>	<u>2014/15</u> <u>£m</u>	<u>2015/16</u> <u>£m</u>
Legally Committed	23.527	2.066	0.010	-
Not Yet Legally Committed	<u>34.567</u>	<u>34.960</u>	<u>33.652</u>	<u>28.909</u>
	<u>58.094</u>	<u>37.026</u>	<u>33.662</u>	<u>28.909</u>

7 **PRUDENTIAL INDICATORS**

- 7.1 The Prudential Code requires the Director of Finance to prepare a set of indicators that demonstrate that the Council's Capital Plan 2012-2016 is affordable, prudent and sustainable. A copy of the Indicators are detailed in the attached Appendix 1 to this report. The Indicators demonstrate that the Capital Plan 2012-2016 is indeed affordable and prudent.

7.2 **Capital Expenditure Indicators**

7.2.1 **Level of Capital Expenditure**

This indicator measures affordability and gives a basic control of the Council's capital expenditure. To provide an accurate indicator of capital expenditure all receipts are excluded from the calculation, so figures based on gross expenditure.

7.2.2 **Ratio of Financing Costs to Net Revenue Stream**

This also measures affordability. The measure includes both current and future commitments based on the Capital Plan and shows the revenue budget used to fund the capital financing costs associated with the capital expenditure programme.

Variations to the ratio implies that the proportion of loan charges has either increased or decreased in relation to the total funded from Government Grants and local taxpayers.

7.2.3 **Estimate of Incremental Impact of Capital Investment Decisions on the Council Tax**

This is also a measure of affordability. It shows the relative impact of the capital programme on the Council Tax. The indicator takes into consideration the effects of self-financing capital projects funded from existing Revenue Budgets, the effects of government funded projects and reflects the revenue impact of capital schemes on capital financing costs. The variation in the indicators shows the incremental effect of the changes from the 2011-14 Capital Plan (adjusted for slippage) to the 2012-16 Capital Plan. The associated capital financing costs of the 2012-16 Capital Plan have been included within the Council's Provisional 2012-13 Revenue Budget, and provision will also be included in future years Revenue Budgets.

7.3 **Treasury Management Indicators**

The Treasury Management Indicators for were reported to Committee on 12 December 2011 (Report No 544-2011). These have now been updated to reflect projected expenditure included in the 2012-2016 Capital Plan. The figures are shown in Appendix 1.

8 **RISK ASSESSMENT**

8.1 There are a number of risks which may have an impact on the Capital Plan 2012-16. The main areas of risk are set out below, together with the mechanisms in place to help mitigate these risks.

8.2 Currently, building cost inflation levels are low, however, they can on occasion be relatively high in comparison to general inflation. Therefore delays in scheduling and letting contracts may lead to increases in projected costs. In such an event, every effort will be made to ensure delays are avoided wherever possible and any increase in costs minimised.

8.3 Slippage in the Capital programme leads to the need to reschedule projects in the Capital Plan, therefore creating problems in delivering the programme on time. For this reason the programme is carefully monitored and any potential slippage is identified as soon as possible and any corrective action taken.

8.4 Capital projects can be subject to unforeseen price increases. The nature of building projects is such that additional unexpected costs can occur. The Council is currently experiencing very competitive tenders. Contingencies are built into the budget for each capital project and these will be closely monitored throughout the project.

- 8.5 There is risk associated with projects that are not yet legally committed as the works are not yet tendered for, and there is potential for costs to be greater than the allowance contained within the Capital Plan. The Capital Programmes will be adjusted as necessary to reflect updated cost estimates.
- 7.6 The accurate projection of the value and timing of capital receipts from asset sales is difficult in the current economic climate. There is therefore a risk that the level of capital receipts assumed in the financing of the capital programme will not be achieved. In preparing the capital plan the Council has budgeted for a low level of Capital receipts being achieved. Additional borrowing can be used to cover any temporary shortfalls in capital receipts.
- 7.7 The amount and timing of capital receipts can also be difficult to accurately project as sales are often conditional on planning permission and other non-financial factors. This is the case even in times of relative economic stability.

8 POLICY IMPLICATIONS

- 8.1 This report has been screened for any policy implications in respect of Sustainability, Strategic Environmental Assessment, Anti-Poverty, and Equality Impact Assessment

There are no major issues.

9 CONSULTATION

- 9.1 The Chief Executive and Deputy Chief Executive (Support Services) have been consulted in the preparation of this report.

10 BACKGROUND PAPERS

None

MARJORY STEWART
DIRECTOR OF FINANCE

02 FEBRUARY 2012

APPENDIX 1**DUNDEE CITY COUNCIL
PRUDENTIAL CODE INDICATORS - TREASURY MANAGEMENT INDICATORS**Adoption of Revised CIPFA Treasury Management Code of Practice 2009 **Upper limit for variable rate exposure**

%

Net principal re variable rate borrowing/investments	2010/11	<input type="text" value="30"/>
	2011/12	<input type="text" value="30"/>
	2012/13	<input type="text" value="30"/>
	2013/14	<input type="text" value="30"/>
	2014/15	<input type="text" value="30"/>
	2015/16	<input type="text" value="30"/>

Net principal re fixed rate borrowing/investments	2010/11	<input type="text" value="100"/>
	2011/12	<input type="text" value="100"/>
	2012/13	<input type="text" value="100"/>
	2013/14	<input type="text" value="100"/>
	2014/15	<input type="text" value="100"/>
	2015/16	<input type="text" value="100"/>

Actual external debt (£000) 2010/11 **Maturity structure of fixed rate borrowing 2011/12**

Where the periods are	Lower %	Upper %
Under 12 months	<input type="text" value="0"/>	<input type="text" value="10"/>
12 months & within 24 months	<input type="text" value="0"/>	<input type="text" value="15"/>
24 months & within 5 years	<input type="text" value="0"/>	<input type="text" value="25"/>
5 years & within 10 years	<input type="text" value="0"/>	<input type="text" value="25"/>
10 years +	<input type="text" value="50"/>	<input type="text" value="95"/>

Upper limit for total principal sums invested for over 364 days	N/A	No sums will be invested longer than 364 days
---	-----	---

Authorised limit for external debt with limit for borrowing and other long term liabilities identified

	Borrowing £000	Other £000	Total £000
2010/11	386,186	84,605	470,791
2011/12	404,000	83,000	487,000
2012/13	446,000	81,000	527,000
2013/14	466,000	79,000	545,000
2014/15	470,000	76,000	546,000
2015/16	473,000	74,000	547,000

Operational boundary for external debt with limit for borrowing and other long term liabilities separately identified

	Borrowing £000	Other £000	Total £000
2010/11	361,186	84,605	445,791
2011/12	379,000	83,000	462,000
2012/13	421,000	81,000	502,000
2013/14	441,000	79,000	520,000
2014/15	445,000	76,000	521,000
2015/16	448,000	74,000	522,000

PRUDENTIAL CODE INDICATORS - PRUDENTIAL INDICATORS**Capital expenditure**

	Non-HRA £000	HRA £000	Total £000
2010/11	53,091	26,891	79,982
2011/12	71,390	26,558	97,948
2012/13	69,442	24,032	93,474
2013/14	58,906	17,305	76,211
2014/15	62,758	14,143	76,901
2015/16	53,205	14,132	67,337

Ratio of financing costs to net revenue stream

	Non-HRA %	HRA %
2010/11	6.3	37.6
2011/12	6.9	38.7
2012/13	6.7	41.8

2013/14	6.8	44.2
2014/15	6.8	
2015/16	6.9	

Net borrowing requirement

	b/f 1 April £000	c/f 31 March £000	In Year £000
2010/11	312,303	348,886	36,583
2011/12	349,000	379,000	30,000
2012/13	379,000	421,000	42,000
2013/14	421,000	441,000	20,000
2014/15	441,000	445,000	4,000
2015/16	445,000	448,000	3,000

Estimates of capital financing requirement

	General Services £000	HRA £000	Total £000	Annual Movement £000
2010/11	230,049	157,725	387,774	32,573
2011/12	260,000	170,000	430,000	42,226
2012/13	294,000	178,000	472,000	37,000
2013/14	312,000	180,000	492,000	20,000
2014/15	317,000	179,000	496,000	4,000
2015/16	322,000	177,000	499,000	3,000

Difference between net borrowing and capital financing requirement

	Total £000
2010/11	38,888
2011/12	51,000
2012/13	51,000
2013/14	51,000
2014/15	51,000
2015/16	51,000

Incremental impact of capital investment decisions

(Increase in Council Tax per Report 144-2011 - Treasury Management Strategy & Annual Investment Strategy 2011/2012)

	Increase in Council Tax (Band D) per annum (£)	Increase in average housing rent per week (£)
2010/11	0.0	2.19
2011/12	0.00	2.02
2012/13	0.00	1.21
2013/14	0.00	0.92
2014/15	0.00	
2015/16	0.00	



DRAFT

CAPITAL PLAN 2012 – 2016

FOR

GENERAL SERVICES

Feb-12
Director of Finance

DUNDEE CITY COUNCIL

CAPITAL PLAN 2012 - 2016

CONTENTS

Page No.

GENERAL FUND SERVICES

Projected Capital Resources	3
Summary of Total Budgeted Capital Expenditure	5
Summary of Legally Committed Capital Expenditure	6
Summary of Not Yet Legally Committed Capital Expenditure	7

BUILDINGS & PROPERTY

Education	8-9
Social Work	10-11
City Development	12-13
Leisure & Culture	14-15
Environment	16-17
Chief Executive - Corporate Services	18-19

OPEN SPACE	20-21
-------------------	-------

ROADS INFRASTRUCTURE	22-23
-----------------------------	-------

VEHICLE FLEET	24-25
----------------------	-------

INFORMATION & COMMUNICATIONS TECHNOLOGY	26-27
--	-------

CAPITAL PLAN 2012 - 2016 - GENERAL FUND SERVICES

PROJECTED CAPITAL RESOURCES

	<u>2011/12</u> <u>£000</u>	<u>2012/13</u> <u>£000</u>	<u>2013/14</u> <u>£000</u>	<u>2014/15</u> <u>£000</u>	<u>2015/16</u> <u>£000</u>
1 Capital expenditure funded from borrowing	42,424	45,659	28,935	16,376	15,835
2 General Capital Grant - (less Police and PSHQ)	13,992	11,451	10,829	15,686	11,474
Less Transfer of Grant to Revenue	(2,651)	(4,338)	(4,338)		
Capital Element of General Capital Grant	11,341	7,113	6,491	15,686	11,474
3 Capital Funded from Current Revenue	2,585	822	0	0	0
4 Capital Receipts -					
Sale of Assets / Capital Fund Contribution	2,086	1,600	1,600	1,600	1,600
5 Slippage within Capital Programme - 5%		2,900			
TOTAL PROJECTED CAPITAL RESOURCES	58,436	58,094	37,026	33,662	28,909
TOTAL PLANNED CAPITAL EXPENDITURE	58,436	58,094	37,026	33,662	28,909

DUNDEE CITY COUNCIL

CAPITAL PLAN 2012 - 2016

PRICE BASE : OUTTURN PRICES

SUMMARY

ALL FIGURES £'000

Project/Nature of Expenditure	Total Cost of Project	Actual prior to 31-Mar-10						
			2011/12	2012/13	2013/14	2014/15	2015/16	Later Years
BUILDINGS & PROPERTY								
Education	98,413	26,304	17,499	15,101	7,179	16,701	14,814	815
Social Work	13,325	2,579	1,444	5,102	2,200	1,900	100	0
City Development	93,816	55,113	10,970	11,545	10,142	2,421	800	2,825
Leisure & Culture	29,128	4,901	11,848	11,089	790	250	250	0
Environment	10,339	2,257	1,407	675	1,250	1,350	1,850	0
Chief Executive - Corporate Services	7,119	199	704	1,880	2,330	1,070	870	0
OPEN SPACE	11,425	2,082	1,059	3,198	1,575	1,500	1,305	716
ROADS INFRASTRUCTURE	53,811	16,482	9,975	6,664	8,640	5,700	6,350	0
VEHICLE FLEET	10,880	6,328	1,732	1,170	1,150	1,150	1,150	0
INFORMATION & COMMUNICATIONS TECHNOLOGY	16,702	8,424	1,798	1,670	1,770	1,620	1,420	0
Total	344,958	124,669	58,436	58,094	37,026	33,662	28,909	4,356

DUNDEE CITY COUNCIL

CAPITAL PLAN 2012 - 2016

PRICE BASE : OUTTURN PRICES

LEGALLY COMMITTED

SUMMARY

ALL FIGURES £'000

Project/Nature of Expenditure	Total Cost of Project	Actual prior to 31-Mar-10						
			2011/12	2012/13	2013/14	2014/15	2015/16	Later Years
BUILDINGS & PROPERTY								
Education	54,913	26,281	17,304	9,293	2,025	10	0	0
Social Work	4,831	2,579	1,274	978	0	0	0	0
City Development	66,119	54,740	8,914	2,459	6	0	0	0
Leisure & Culture	26,244	4,901	11,563	9,745	35	0	0	0
Environment	3,056	2,257	799	0	0	0	0	0
Chief Executive - Corporate Services	524	199	259	0	0	0	0	0
OPEN SPACE	2,750	1,980	770	0	0	0	0	0
ROADS INFRASTRUCTURE	27,509	16,482	9,975	1,052	0	0	0	0
VEHICLE FLEET	8,060	6,328	1,732	0	0	0	0	0
INFORMATION & COMMUNICATIONS TECHNOLOGY	10,156	8,424	1,732	0	0	0	0	0
Total	204,162	124,171	54,322	23,527	2,066	10	0	0

DUNDEE CITY COUNCIL

CAPITAL PLAN 2012 - 2016

PRICE BASE : OUTTURN PRICES

NOT YET LEGALLY COMMITTED

SUMMARY

ALL FIGURES £'000

Project/Nature of Expenditure	Total Cost of Project	Actual prior to 31-Mar-10						
			2011/12	2012/13	2013/14	2014/15	2015/16	Later Years
BUILDINGS & PROPERTY								
Education	43,500	23	195	5,808	5,154	16,691	14,814	815
Social Work	8,494	0	170	4,124	2,200	1,900	100	0
City Development	27,697	373	2,056	9,086	10,136	2,421	800	2,825
Leisure & Culture	2,884	0	285	1,344	755	250	250	0
Environment	7,283	0	608	675	1,250	1,350	1,850	0
Chief Executive - Corporate Services	6,595	0	445	1,880	2,330	1,070	870	0
OPEN SPACE	8,675	102	289	3,198	1,575	1,500	1,305	716
ROADS INFRASTRUCTURE	26,302	0	0	5,612	8,640	5,700	6,350	0
VEHICLE FLEET	2,820	0	0	1,170	1,150	1,150	1,150	0
INFORMATION & COMMUNICATIONS TECHNOLOGY	6,546	0	66	1,670	1,770	1,620	1,420	0
Total	140,796	498	4,114	34,567	34,960	33,652	28,909	4,356

DUNDEE CITY COUNCIL

CAPITAL PLAN 2012 - 2016

PRICE BASE: OUTTURN PRICES

BUILDINGS & PROPERTY

LEGALLY COMMITTED

DEPARTMENT : Education

ALL FIGURES £'000

Project/Nature of Expenditure	Total Cost of Project	Actual prior to 31-Mar-11						
			2011/12	2012/13	2013/14	2014/15	2015/16	Later Years
Kingspark	13,644	13,481	163					
(Less Angus Council Contribution)	(950)	(1,000)	50					
(Less Tayside NHS Contribution)	(1,700)	(1,700)						
Whitfield Primary School	7,652	2,192	5,242	218				
West End Primary School	9,262	517	6,225	2,510	10			
Balgarthno Primary School	9,264	396	1,085	6,110	1,663	10		
Furniture -Whitfield,West End & Balgarthno	1,055		250	453	352			
Eastern Primary School transfer to Grove Academy	1,983	824	1,157	2				
Structural Improvements	588	472	116					
Kitchen Improvements	850	810	40					
Replacement Heating Systems	3,503	2,906	597					
Roof Covering -Various	2,289	1,718	571					
General Improvement & Upgrades	2,168	1,545	623					
Curriculum Improvements	706	426	280					
Cladding Renewal	427	426	1					
Window Replacement	1,627	1,453	174					
Upgrade Toilets	745	465	280					
Electrical Upgrades	1,748	1,350	398					
Public Access	52		52					
	54,913	26,281	17,304	9,293	2,025	10	0	0

DUNDEE CITY COUNCIL

CAPITAL PLAN 2012 - 2016

PRICE BASE: OUTTURN PRICES

BUILDINGS & PROPERTY

NOT YET LEGALLY COMMITTED

DEPARTMENT : Education

ALL FIGURES £'000

Project/Nature of Expenditure	Total Cost of Project	Actual prior to 31-Mar-11						
			2011/12	2012/13	2013/14	2014/15	2015/16	Later Years
Harris Academy Refurbishment	31,250	108	242	650	2,700	11,950	13,500	2,100
(Less Scottish Government Capital Grant)	(20,840)	(85)	(47)		(2,342)	(7,966)	(9,000)	(1,400)
Decanting Harris & Refurbishment Rockwell	895			593	61	62	64	115
Coldside - New Primary & Community Facilities	9,000			200	400	4,600	3,800	
Menzieshill - New Primary & Community Facilities	11,000			200	500	5,600	4,700	
Primary School Refurbishments (Glebelands, Clepington, St Mary's RC, Longhaugh, Ancrum Road, Blackness & Dens Road)	1,750			1,250	500			
Barnhill Primary - Extension	1,000			70	910	20		
Kingspark Extension	1,095			1,095				
Child and Adolescent Mental Health Facility - Dudhope - Council Contribution	450				450			
Structural Improvements	400			100	100	100	100	
Kitchen Improvements	200			50	50	50	50	
Replacement Heating Systems	600			150	150	150	150	
Roof Coverings - Various	1,400			350	350	350	350	
School Improvements & Upgrades	1,700			200	425	875	200	
Curriculum Improvements	600			150	150	150	150	
Window Replacement	1,000			250	250	250	250	
Upgrade Toilets	400			100	100	100	100	
Electrical Upgrades	1,600			400	400	400	400	
	43,500	23	195	5,808	5,154	16,691	14,814	815

DUNDEE CITY COUNCIL

CAPITAL PLAN 2012 - 2016

PRICE BASE: OUTTURN PRICES

BUILDINGS & PROPERTY

LEGALLY COMMITTED

DEPARTMENT : Social Work

ALL FIGURES £'000

Project/Nature of Expenditure	Total Cost	Actual prior to 31-Mar-11						
			2011/12	2012/13	2013/14	2014/15	2015/16	Later Years
Property Upgrades	748	648	100					
Millview Cottage (Strathcarron Place)	1,452	1,452						
Day Services Accommodation for people with Learning Disabilities - Wellgate Day Centre	215		5	210				
Elmgrove House Replacement (partnership with Communities Scotland)	1,198		440	758				
The Elms Residential Unit for Young People	249	79	170					
Seymour House	2,650	977	1,644	29				
(Less Tayside NHS Contribution)	(883)	(325)	(548)	(10)				
(Less Tayside Police Contribution)	(884)	(326)	(549)	(9)				
Claverhouse Offices - Sprinklers	86	74	12					
Oaklands Roof	1	1						
	4,831	2,579	1,274	978	0	0	0	0

DUNDEE CITY COUNCIL

CAPITAL PLAN 2012 - 2016

BUILDINGS & PROPERTY

DEPARTMENT : Social Work

PRICE BASE: OUTTURN PRICES

NOT YET LEGALLY COMMITTED

ALL FIGURES £'000

Project/Nature of Expenditure	Total Cost	Actual prior to 31-Mar-11						
			2011/12	2012/13	2013/14	2014/15	2015/16	Later Years
Property Upgrades	400			100	100	100	100	
Day Services Accommodation for people with Learning Disabilities - Upgrade of Whitetop Centre	1,242		20	1,222				
Skill and Respite Services Accommodation for People with Physical Disabilities - Refurbishment of MacKinnon Centre	1,845		150	1,695				
Bruce Street Family Support Service	150				100	50		
Claverhouse East Offices - Works to Accommodate more staff	50			50				
Craigie House replacement	3,000				1,500	1,500		
The Elms Renovation	500			500				
Replacement Windows	75			75				
Rankine Street	82			82				
Dudhope Castle IT and other expenditure	150			150				
Provision of Accommodation for Adults with Learning Disabilities	1,000			250	500	250		
	8,494	0	170	4,124	2,200	1,900	100	0

DUNDEE CITY COUNCIL

CAPITAL PLAN 2012 - 2016

PRICE BASE: OUTTURN PRICES

BUILDINGS & PROPERTY

LEGALLY COMMITTED

DEPARTMENT : City Development

ALL FIGURES £'000

Project/Nature of Expenditure	Total Cost	Actual prior to 31-Mar-11						
			2011/12	2012/13	2013/14	2014/15	2015/16	Later Years
Industry/Business								
Acquisition of Land/Buildings	5,508	5,508						
(Less Disposal Land/Buildings)	(150)	(150)						
Business Loan	1,000	1,000						
Purchase Plant, Equipment & Machinery	160	160						
Administrative Buildings								
Tayside House - Pooled Property Payment - Angus/Perth & Kinross Councils	2,300	2,250	50					
Dundee House	34,555	31,436	3,119					
City Square - Strengthening/Waterproofing	365	325	40					
Other Expenditure								
Allan Street Car Park & Associated Road Works	7,218	693	3,560	2,959	6			
(Less Capital Receipts)	(500)			(500)				
Shopping Parade Improvements	1,338	1,008	330					
Demolition of Surplus Properties	1,270	1,270						
Market Mews Building	71	25	46					
Auto Meter Reading Technology	82		82					
Central Waterfront	22,027	15,775	6,252					
(Less Scottish Enterprise Contribution)	(7,021)	(2,456)	(4,565)					
(Less ERDF Funding)	(299)	(299)						
(Less Dundee Marina Study Contribution)	(9)	(9)						
(Less Capital Grant Cities Growth Fund 2007/08 c/f)	(1,628)	(1,628)						
(Less Capital Receipts Sale of Land)	(168)	(168)						
	66,119	54,740	8,914	2,459	6	0	0	0

DUNDEE CITY COUNCIL

CAPITAL PLAN 2012 - 2016

PRICE BASE: OUTTURN PRICES

BUILDINGS & PROPERTY

NOT YET LEGALLY COMMITTED

DEPARTMENT : City Development

ALL FIGURES £'000

Project/Nature of Expenditure	Total Cost	Actual prior to 31-Mar-11						
			2011/12	2012/13	2013/14	2014/15	2015/16	Later Years
Industry/Business								0
Acquisition of Land/Buildings	446	41	155	250				
Industrial Estates Improvements	726	72	254	100	100	100	100	
Business Loan	205	5		50	50	50	50	
Overgate Development - Purchase of Properties	600			600				
Administrative Buildings								
Office Accommodation	338	237	101					
Other Expenditure								
Shopping Parade Improvements	400			100	100	100	100	
Demolition of Surplus Properties	3,483		1,233	600	550	550	550	
Whitfield Life Services Building	6,500	18	313	5,158	1,006	5		
Lochee Regeneration	3,542			128	2,548	866		
National Housing Trust Initiative	1,500				1,500			
V&A at Dundee	45,000	342	2,100	3,900	16,600	16,800	4,900	358
(Less External Funding)	(45,000)	(342)	(2,100)	(3,900)	(16,600)	(16,800)	(4,900)	(358)
Central Waterfront	42,742			6,576	4,851	4,029	10,171	17,115
(Less External Funding)	(36,845)			(5,686)	(2,669)	(4,029)	(10,171)	(14,290)
Dundee Railway Station	1,500				750	750		
City Square Environmental Improvements	2,260			910	1,350			
Tourist Information and Box Office Relocation	300			300				
	27,697	373	2,056	9,086	10,136	2,421	800	2,825

DUNDEE CITY COUNCIL

CAPITAL PLAN 2012 - 2016

PRICE BASE: OUTTURN PRICES

BUILDINGS & PROPERTY

LEGALLY COMMITTED

DEPARTMENT : Leisure & Culture

ALL FIGURES £'000

Project/Nature of Expenditure	Total Cost	Actual prior to 31-Mar-11						
			2011/12	2012/13	2013/14	2014/15	2015/16	Later Years
New Swimming Pool	24,243	2,291	11,175	10742	35			
(Less SportsScotland Lottery Funding)	(3,000)	(1,000)	(1,000)	(1,000)				
Leisure Centre Improvement	582	532	50					
Caird Hall	125	55	70					
DCA	80		80					
Heating & Ventilation Systems	436	436						
Roof Replacements/Upgrades	721	721						
(Less Town Centre Regeneration Funding - Lochee)	(299)	(299)						
Camperdown House Roof	984	576	408					
(Less Historic Scotland Funding)	(219)	(108)	(111)					
Camperdown Development	1,713	1,656	57					
Dundee Ice Arena Storage	97	9	88					
Dick McTaggart - Gymnastics Centre	1,981	32	1,946	3				
(Less SportsScotland Funding)	(1,200)		(1,200)					
	26,244	4,901	11,563	9,745	35	0	0	0

DUNDEE CITY COUNCIL

CAPITAL PLAN 2012 - 2016

PRICE BASE: OUTTURN PRICES

BUILDINGS & PROPERTY

NOT YET LEGALLY COMMITTED

DEPARTMENT : Leisure & Culture

ALL FIGURES £'000

Project/Nature of Expenditure	Total Cost	Actual prior to 31-Mar-11						
			2011/12	2012/13	2013/14	2014/15	2015/16	Later Years
Libraries								
Libraries	200			50	50	50	50	
Central Library - upkeep	100			25	75			
Lochee Leisure & Library Boiler Replacement	190			190				
Culture								
Caird Hall - Kitchen	15			15				
Caird Hall - Other Expenditure	300		100	20	180			
Sports Centres								
Leisure Centre Improvements	200			50	50	50	50	
Lynch Sports Centre Roof	650			650				
DISC external façade and lighting	150				150			
Lochee Leisure Centre - Family Changing Areas	124			124				
Other Leisure & Culture Properties								
Wildlife Centre Office/Bothy	20			20				
Caird Hall, Caird Park Golf Course/Camperdown Park & House Feasibility Studies	150			50	100			
Roof Replacement/Improvement Programme	310		110	50	50	50	50	
Heating & Ventilation Systems	475		75	100	100	100	100	
	2,884	0	285	1,344	755	250	250	0

DUNDEE CITY COUNCIL**CAPITAL PLAN 2012 - 2016****BUILDINGS & PROPERTY****DEPARTMENT : Environment****PRICE BASE: OUTTURN PRICES****LEGALLY COMMITTED****ALL FIGURES £'000**

Project/Nature of Expenditure	Total Cost of Project	Actual prior to 31-Mar-11						
			2011/12	2012/13	2013/14	2014/15	2015/16	Later Years
Purchase of Bins	1,053	813	240					
Waste Management Property	699	699						
Riverside Landfill Site	217	138	79					
Recycling Initiatives	866	533	333					
Contaminated Land	191	74	117					
Allotments	30	0	30					
	3,056	2,257	799	0	0	0	0	0

DUNDEE CITY COUNCIL

CAPITAL PLAN 2012 - 2016

BUILDINGS & PROPERTY

DEPARTMENT : Environment

PRICE BASE: OUTTURN PRICES

NOT YET LEGALLY COMMITTED

ALL FIGURES £'000

Project/Nature of Expenditure	Total Cost of Project	Actual prior to 31-Mar-11						
			2011/12	2012/13	2013/14	2014/15	2015/16	Later Years
Redevelopment of Environment Dept Headquarters	3,050					100	1,400	1,550
Fleet - Washbay & Fuel Facility	350						350	
Waste Management Property	458		308	150				
Baldovie Redevelopment	550		300	250				
Creation of Operational Sub-Depots	2,400			100	1,150	1,150		
Lochee Park Toilet Facilities	80			80				
Baxter Park Toilets	45			45				
Balgay Cemetery Roof	50			50				
Upgrade of Sports Pavilions etc	300				100	100	100	
	7,283	0	608	675	1,250	1,350	1,850	0

DUNDEE CITY COUNCIL**CAPITAL PLAN 2012 - 2016****PRICE BASE: OUTTURN PRICES****BUILDINGS & PROPERTY****LEGALLY COMMITTED****DEPARTMENT : Chief Executive - Corporate Services****ALL FIGURES £'000**

Project/Nature of Expenditure	Total Cost	Actual prior to 31-Mar-11						
			2011/12	2012/13	2013/14	2014/15	2015/16	Later Years
Derelict Land Fund - 2007/08 to 2011/12 (Less Scottish Government Capital Grant) (Less Contribution - Private)	11,954 (11,532)	10,090 (9,933) (31)	1,864 (1,599) (35)					
Procurement Purchase to Payment System	80	51	29					
Finance Revenues Enveloper	22	22						
	524	199	259	0	0	0	0	0

DUNDEE CITY COUNCIL

CAPITAL PLAN 2012 - 2016

PRICE BASE: OUTTURN PRICES

BUILDINGS & PROPERTY

NOT YET LEGALLY COMMITTED

DEPARTMENT : Chief Executive - Corporate Services

ALL FIGURES £'000

Project/Nature of Expenditure	Total Cost	Actual prior to 31-Mar-11						
			2011/12	2012/13	2013/14	2014/15	2015/16	Later Years
Disabled Access	250		50	50	50	50	50	
Health & Safety Works	2,295		295	500	500	500	500	
Energy - Spend to Save	900		100	200	200	200	200	
Community Centres								
Lochee Area Community Facilities	200				200			
Community Facilities at Blackness Library	230			230				
Community facilities at Arthurstone Library	500			100	400			
Fintry Community Centre & Library Refurbishment	300			200	100			
Douglas Community Centre Refurbishment	300			200	100			
Review of Community Facilities in The Ferry	100			50	50			
Community Centres	400			100	100	100	100	
Kirkton Heating	120				120			
Kirkton Lift Access	100				100			
Ardler Community Centre Lift Access	100					100		
The Corner - access to building	30			30				
DCA/Dundee Ice Arena								
DCA	105			20	45	20	20	
DCA Upgrade of Chillers	165				165			
Dundee Ice Arena	500			200	200	100		
	6,595	0	445	1,880	2,330	1,070	870	0

DUNDEE CITY COUNCIL

CAPITAL PLAN 2012 - 2016

OPEN SPACE

PRICE BASE: OUTTURN PRICES

LEGALLY COMMITTED

ALL FIGURES £'000

Project/Nature of Expenditure	Total Cost	Actual prior to 31-Mar-11						
			2011/12	2012/13	2013/14	2014/15	2015/16	Later Years
Environment								
Parks Master Plan	719	617	102					
Cemeteries	222	192	30					
Environmental/Paths for All	506	438	68					
(Less Lottery Funding - Transforming your Space)	(99)	(99)						
(Less Scottish Natural Heritage Funding)	(7)	(7)						
(Less CWSS Capital Grant)	(1)	(1)						
(Less Forestry Commission)	(22)	(22)						
Public Open Spaces	367	317	50					
Playgrounds Improvements	446	378	68					
Camperdown Gardeners Cottage	21	2	19					
Camperdown Country Park - Development Plan	222	152	70					
DISC - Replacement of Pitches	601	13	588					
(Less Sportscotland Funding)	(225)		(225)					
	2,750	1,980	770	0	0	0	0	0

DUNDEE CITY COUNCIL

CAPITAL PLAN 2012 - 2016

OPEN SPACE

PRICE BASE: OUTTURN PRICES

NOT YET LEGALLY COMMITTED

ALL FIGURES £'000

Project/Nature of Expenditure	Total Cost	Actual prior to 31-Mar-11						
			2011/12	2012/13	2013/14	2014/15	2015/16	Later Years
Cemeteries								
Headstone Safety Programme	240			60	60	60	60	
Birkhill Cemetery Extension	526		50	476				
New Cemetery West Pitkerro	2,156	102	115	1223				716
General Infrastructure Improvements	230			80	50	50	50	
Parks & Open Space								
Parks Master Plan	230			230				
Environmental/Paths for All	210			40	65	65	40	
Camperdown Country Park - Development Plan				10				
Environmental Improvements Parks & Open Spaces	850			100	250	250	250	
(Less External Funding)	(200)			(50)	(50)	(50)	(50)	
Public Open Spaces	400			100	100	100	100	
Playgrounds Improvements	200			50	50	50	50	
Allotment Security	90			30	30	30		
Riverside Nature Park	355			205	50	50	50	
Air Quality Monitoring Equipment	100			70	10	10	10	
Contaminated Land	409			109	100	100	100	
Sports Facilities								
Caird Park Velodrome	215		215					
(Less SportScotland Funding)	(91)		(91)					
Tennis Court Multi Use Upgrades	240			60	60	60	60	
(Less External Funding)	(100)			(25)	(25)	(25)	(25)	
Dawson Park Coaching and Cricket Upgrades	105				105			
(Less External Funding)	(40)				(40)			
Caird Park Community Sports Hub	300						300	
(Less External Funding)	(150)						(150)	
Recycling & Waste Management								
Purchase of Bins	400			100	100	100	100	
Purchase of Skips	120			30	30	30	30	
Recycling Initiatives (Dry Waste and Food)	1,880			300	630	620	330	
	8,675	102	289	3,198	1,575	1,500	1,305	716

DUNDEE CITY COUNCIL

CAPITAL PLAN 2012 - 2016

PRICE BASE: OUTTURN PRICES

ROADS INFRASTRUCTURE

LEGALLY COMMITTED

DEPARTMENT : City Development

ALL FIGURES £'000

Project/Nature of Expenditure	Total Cost	Actual prior to 31-Mar-11						
			2011/12	2012/13	2013/14	2014/15	2015/16	Later Years
Road Schemes/Minor Schemes								
Road Safety Measures	986	786	200					
Traffic Calming / Provision for Pedestrians / Cyclists	509	409	100					
Footpaths	1,925	1,515	410					
Cycling, Walking & Safer Streets	1,694	1,463	231					
(Less Scottish Government Capital Grant)	(1,629)	(1,423)	(206)					
(Less Sustran Funding)	(40)	(40)						
Unadopted Footpaths	2,506	1,866	640					
Smarter Choices Smarter Places	903	833	70					
(Less Scottish Government Grant Funding)	(468)	(468)						
Environmental Improvements Programme								
Central Area & Other Projects (incl. Cultural Quarter)	1,157	541	616					
Community Regeneration Project								
Hilltown	868	820	48					
Lochee	460	442	18					
Whitfield	10		10					
Accepted Practices								
Street Lighting Renewal	2,604	2,024	580					
Road Reconstructions/Recycling	7,401	5,901	1,500					
Bridge Assessment Work Programme	761	472	0	289				
Regional Transport Partnership	3,720	967	2,184	569				
(Less External Funding)	(763)	(419)	(344)					
Dykes of Gray	3,260	387	2,873					
Linlathen Bridge East	375	41	334					
(Less Developer's Contributions)	(375)	(41)	(334)					
Coastal Protection & Beach Defence Works Broughty Ferry	1,205	406	605	194				
Whitfield Spine Road (Transfer from Housing)	310		310					
Dundee House/Police Fibre Optic Cable	130		130					
	27,509	16,482	9,975	1,052	0	0	0	0

DUNDEE CITY COUNCIL

CAPITAL PLAN 2012 - 2016

PRICE BASE: OUTTURN PRICES

ROADS INFRASTRUCTURE

NOT YET LEGALLY COMMITTED

DEPARTMENT : City Development

ALL FIGURES £'000

Project/Nature of Expenditure	Total Cost	Actual prior to 31-Mar-11						
			2011/12	2012/13	2013/14	2014/15	2015/16	Later Years
<i>Road Schemes/Minor Schemes</i>								
Road Safety Measures	600			150	150	150	150	
Pedestrian Crossings / Traffic Lights	400			100	100	100	100	
Footpaths	2,000			300	600	500	600	
Unadopted Footpaths	2,000			500	500	500	500	
Cycling, Walking & Safer Streets	548			168	154	226		
(Less Scottish Government Capital Grant)	(548)			(168)	(154)	(226)		
<i>Environmental Improvements Programme</i>								
Central Area & Other Projects	300			300				
<i>Community Regeneration Projects</i>								
Lochee	442			442				
Whitfield	10			10				
Hilltown	10			10				
<i>Accepted Practices</i>								
Street Lighting Renewal	4,090			750	1,140	1,000	1,200	
Road Reconstructions / Recycling	8,800			1,700	2,400	2,200	2,500	
Bridge Assessment & Work Programme	600			200	200	150	50	
Regional Transport Partnership	1,600			400	400	400	400	
Whitfield Spine Road	1,900				1,900			
Coastal Protection Works	1,500			450	650	200	200	
Council Roads and Footpaths - Other	2,050			300	600	500	650	
	26,302	0	0	5,612	8,640	5,700	6,350	0

DUNDEE CITY COUNCIL**CAPITAL PLAN 2012 - 2016****PRICE BASE: OUTTURN PRICES****VEHICLE FLEET****NOT YET LEGALLY COMMITTED****ALL DEPARTMENTS****ALL FIGURES £'000**

Project/Nature of Expenditure	Total Cost of Project	Actual prior to 31-Mar-11						
			2011/12	2012/13	2013/14	2014/15	2015/16	Later Years
Environment								
Purchase of Vehicles & Equipment (former WM)	2,400			600	600	600	600	
Purchase of Vehicles & Equipment (former DCS)				450	450	450	450	
Purchase of Vehicles & Equipment (former L&C parks)	400			100	100	100	100	
Minibus Replacement (former L&C)	20			20				
	2,820	0	0	1,170	1,150	1,150	1,150	0

DUNDEE CITY COUNCIL

CAPITAL PLAN 2012 - 2016

PRICE BASE: OUTTURN PRICES

INFORMATION & COMMUNICATIONS TECHNOLOGY

LEGALLY COMMITTED

ALL DEPARTMENTS

ALL FIGURES £'000

Project/Nature of Expenditure	Total Cost of Project	Actual prior to 31-Mar-11						
			2011/12	2012/13	2013/14	2014/15	2015/16	Later Years
Corporate Services								
Purchase of Computer Equipment	3,913	3,008	905					
ICT Strategy	154	154						
Telephony & Data Network & Infrastructure Upgrade	1,113	977	136					
Corporate Electronic Records Data Management System	846	800	46					
Purchase of Computers	4,130	3,485	645					
	10,156	8,424	1,732	0	0	0	0	0

DUNDEE CITY COUNCIL

CAPITAL PLAN 2012 - 2016

INFORMATION & COMMUNICATIONS TECHNOLOGY

ALL DEPARTMENTS

PRICE BASE: OUTTURN PRICES

NOT YET LEGALLY COMMITTED

ALL FIGURES £'000

Project/Nature of Expenditure	Total Cost of Project	Actual prior to 31-Mar-11						
			2011/12	2012/13	2013/14	2014/15	2015/16	Later Years
Education								
Purchase of Computers	2,280			570	570	570	570	
Corporate Services								
ICT Strategy	116		66	50				
Purchase of Computer Equipment	3,400			850	850	850	850	
Telephony, Data Network & Infrastructure (to support mobile/flexible working)	750			200	350	200		
	6,546	0	66	1,670	1,770	1,620	1,420	0