

REPORT TO: POLICY & RESOURCES COMMITTEE – 31 OCTOBER 2016

REPORT ON: CAPITAL PLAN 2017-2022 – GENERAL SERVICES & HOUSING HRA

REPORT BY: EXECUTIVE DIRECTOR OF CORPORATE SERVICES

REPORT NO: 331-2016

1 PURPOSE OF REPORT

1.1 To provide elected members with background information and details of the Council's General Services and Housing HRA Capital Plan for the 5 year period 2017-2022. The Capital Plan updates and extends the Capital Plan 2016-21 – General Services and Housing HRA (as approved at Policy and Resources Committee 24 August 2015- report 279-2015 Article IX refers).

2 RECOMMENDATIONS

2.1 The Policy & Resources Committee is requested to:

- 1 approve the Council's General Services and Housing HRA Capital Plan for 2017-2022 attached.
- 2 note the gross capital expenditure investment of £ 378m over the next 5 years.
- 3 note the updated position for the 2016-2017 projected capital expenditure programmes, as at 31 August 2016.
- 4 approve the Prudential Indicators for the Capital Plan 2017-2022, as shown in Appendix 2 and note that these Indicators demonstrate that the Capital Plan 2017-2022 is affordable, prudent and sustainable.

3 FINANCIAL IMPLICATIONS

3.1 The total gross capital investment included in the Capital Plan 2017-2022 is £378m.

3.2 A significant portion of the Council's capital expenditure in the plan will be financed by borrowing and, as such, will result in Capital Financing Costs being incurred over the estimated useful life of the assets concerned. Appropriate provision will be included in future years Revenue Budgets.

3.3 In some instances, the creation of a new capital asset may result in additional running costs (eg staff, non-domestic rates, maintenance etc) although these may be offset by additional income or related savings. Again, appropriate provision will be included in future years Revenue Budgets for the revenue costs of capital projects as they become operational.

3.4 The programme of Property Rationalisation within the Capital Plan 2017-2022 will lead to properties being vacated thus generating revenue savings in property costs and the possibility of a capital receipt from the sale of the asset.

4 BACKGROUND

4.1 The Capital Plan 2017-2022 updates the previously approved Capital Plans for General Services and Housing HRA, as previously mentioned in para 1.1 above. In developing the five year Capital Plan for 2017-2022 there has been a focus on a more thematic approach which highlights the Council's strategic priorities. The Capital Plan also reports on the basis of gross Capital Expenditure and this will continue throughout the year in the monitoring of the approved Capital Programme.

This will allow a more structured presentation of management information which will aid scrutiny and overall programme management.

To reflect the overall capital investment being made by the Council, the HRA Capital Plan has also been included, on a summary basis.

The Capital Plan incorporates the movements since the Capital Plan 2016-2021 was approved in August 2015, and the inclusion of new projects which reflect new and existing strategic priorities of the Council.

The longer term 5 year time horizon will allow more certainty and opportunity for better resource programming and work scheduling. In addition there will be opportunities for community benefits and job creation. These will be reported in the Annual Procurement update reports.

5 **PRUDENTIAL FRAMEWORK**

5.1 Prudential Code Framework

The Prudential Framework has been developed as a professional code of practice to support local authorities in taking decisions on capital investment. Local authorities are required by Regulation to comply with the Prudential Code under Part 7 of the Local Government in Scotland Act 2003. The Capital Plan 2017-2022 has been prepared in compliance with the Prudential Code.

5.2 Under the Prudential Code Local Authorities are obliged to introduce a system of option appraisal for capital projects and to develop asset management plans to assist in determining capital expenditure priorities.

5.3 Option Appraisal

Option appraisal guidelines have been developed which allow departments to consider systematically whether individual capital projects provide value for money. An option appraisal report should be completed for all projects of £250,000 or above being considered for inclusion in the Council's Capital Plan. All Option Appraisals should be presented to the Capital Governance Group for approval. A separate report is required to present the findings to Committee for projects with a capital value of £1m or more.

6 **CAPITAL EXPENDITURE 2016-2021 (GENERAL SERVICES)**

6.1 The Capital Plan 2017-2022 updates the previously approved Capital Plan 2016-2021. The Capital Plan incorporates the movements since the Capital Plan 2016-2021 was approved in August 2015, and the inclusion of new projects which reflect new and existing strategic priorities of the Council. For new projects initial target allocations have been included meantime whilst more detailed feasibility studies and estimates are developed.

6.2 The main changes to the Capital Plan are:

6.2.1 Jobs & Regeneration

The Plan has been updated for SMART digital enhancements for the Central Waterfront. This includes cutting edge digital connectivity, smart lighting, signage, etc. Work is being carried out with Scottish Futures Trust digital experts to enhance the Waterfront experience for the opening of the V&A Museum.

Shore Terrace Units 1-4 will be refurbished to split the ground floor into 4 commercial units to provide space for new café and restaurant facilities facing south onto Slessor Gardens. These units will bring in rental income on an annual basis.

6.2.2 Recreation, Culture, Arts & Heritage

Stringent new Building Standards introduced in October 2015, which require a 43% reduction in carbon emissions for these buildings has given the opportunity to provide a District Heating Energy Centre to serve the Regional Performance Centre for Sport and potentially nearby Mill o Mains and Fintry Housing and other local commercial and college buildings. A Stage 2 funding bid through the ERDF Low Carbon Infrastructure Transition Programme is to be made for the increased cost of the Energy Centre. Failing this there is an acceptable spend to save prudential borrowing case.

The Camperdown Development Plan 2012-2017 identified areas requiring improvement. These include improvements to car parking areas, infrastructure improvements including possible temporary car park provision for large events etc. An Allowance of £1.1m has been included for these works.

A block budget has been included in the Capital Plan for Pavilion Improvements, Play Areas Improvements (including purchase of new equipment and safer play area surfacing) and Improvements to Changing Facilities at Sports Pitches, as part of a programme to improve these facilities, and potentially reduce future revenue maintenance costs.

6.2.3 Children & Families

An allowance has been included for continued Secondary School Investment. Future appraisals are required on all options to develop this initiative further.

The investment in Victorian/Edwardian Schools is to design and develop curriculum space within these schools to offer more flexibility for teaching staff and pupils in the school environment, for example space being created to enhance each pupil's physical learning experience.

6.2.4 Health & Social Care

The budget for Craigie House Replacement has been increased by £1.5m to £6.5m to better reflect the current value of the works given that the time has elapsed since the original budget was included in the Capital Plan.

6.2.5 Community Safety/Public Protection

Additional funding has been added in for Flood Risk Management to ensure that the requirements of the Flood Risk Management (Scotland) Act are delivered.

Allowance has been made to replace the Material Grab and Loader, Shredder and Liebherr Loading Shovels Handler at Baldovie as these items are at the end of their useful working life and the purchase of these items will reduce maintenance costs.

6.2.5 Smart Cities Digital and ICT

The budget for Smart Digital has been reduced as the projects are to be looked at more strategically with a range of potential partners and stakeholders. This approach will facilitate funding being sought from Smart Cities Budgets, Scottish Futures Trust and the Tay Cities Deal.

6.2.6 Sustainable City Infrastructure

The Sustainable Transport Budget has been reduced as additional grant funding will be sought from various other sources who have expressed a willingness to assist in the improvements of sustainable transportation in the City.

6.2.7 Corporate Asset Management

An allowance of £1.5m has been included for a programme of works for Energy Efficiencies which will enable energy costs to be retained at current levels.

A programme of Property Rationalisation is included in the Capital Plan. The additional allowance of £1.750m has been included for major works at Rockwell which will generate further revenue savings through the better use of the Council's operational offices.

The budget for the City Square – East/West Wing has been increased by £1.525m to £2.275m. East Wing (south end) works include creating an open plan design on various floors including an upgrade to the electrical supply and improvements to kitchen and toilet facilities. West Wing (north and south sections of 3 floors) works are similar upgrades to office accommodation and welfare facilities. An allowance has also been made for a modest upgrade to the City Chambers.

A full analysis of capital resources for General Services is shown in Appendix 1.

7 CAPITAL EXPENDITURE 2017-2022 (HOUSING HRA)

7.1 Housing HRA Capital Plan 2017/22 Review

The HRA Capital Plan is focused on delivering the following priorities: maintaining the housing stock at Scottish Housing Quality Standard (SHQS); delivering energy efficiency measures such as External Wall Insulation (EWI) to meet the Energy Efficiency Standard in Social Housing (ESSH) and tackling fuel poverty, community care and providing new council housing to meet housing need within Dundee.

The priority areas of expenditure over the period of the plan are: re-roofing £7.850m, installation of new windows £4.995m, external insulation £20.083m, heating replacement £20.172m, disabled adaptations and community care £3.750m and £13.230m for continuing the council new build programme. Construction of the new build housing at Alexander Street has started on site with completions scheduled from autumn 2017. Planning is well underway for the new build at Derby Street with a site start scheduled for early 2017.

7.2 Scottish Housing Quality Standard

The Council's housing stock met the Scottish Housing Quality Standard at April 2015 subject to abeyances. This is work which could not be completed due to 'social' reasons relating to tenants' or owner occupiers' behaviour. These include where owners do not consent to the installation of a secure entry system or where tenants refuse heating, kitchen and bathroom installations because of not wanting the disruption of improvement work.

The Council must maintain its housing stock at SHQS levels by implementing lifecycle replacement programmes. This is reflected within the capital plan

7.3 Energy Efficiency Standard for Social Housing

The Scottish Government has introduced the Energy Efficiency Standard for Social Housing (ESSH). ESSH sets minimum energy efficiency ratings dependant upon the dwelling type and heating system to be achieved by 2020.

£20.083m has been included in the Capital Plan to provide external wall insulation (EWI) too hard to heat homes up to 2020. The funding in the capital plan will be supplemented by Home Energy Efficiency Programme for Scotland – Area Based Schemes (HEEPS-ABS) and ECO funding (or successor schemes) to ensure that mixed tenure flatted blocks can be thermally insulated.

7.4 Fuel Poverty

It is estimated that 42% of the households in Dundee City Council area are affected by fuel poverty. Fuel poverty is defined as where households spend more than 10% of their household income on heating their homes. Fuel poverty is the interaction of fuel prices, household incomes and energy efficiency. The Council will continue to target resources within the capital plan as outlined above to effectively address energy efficiency and to tackle and reduce fuel poverty in line with the recommendations of the Fairness Commission.

The installation of cladding and district heating in the multi storeys and External Wall Insulation (EWI) to low rise properties has made tenant's homes warmer and reduced household fuel bills. Fuel cost reductions vary according to lifestyles with savings typically 30-40%. Findings from surveys in external wall insulation projects show typical savings in household fuel bills of 20%, with 81% of residents feeling warmer and 73% feeling their home stayed warmer longer.

7.5 Disabled Adaptations and Community Care

The Council along with the Health and Social Care Partnership will maintain people in their own homes where practicably possible by providing adaptations to existing properties. We will continue to invest in the common areas of our sheltered housing complexes to ensure that they are fit for purpose and attractive environments.

7.6 Affordable Housing Supply

The Council is continuing its new build programme. This will assist in delivering the objective of the Fairness Commission to increase the supply and range of affordable housing options in Dundee as well as continuing the regeneration of the City and meeting housing need and demand within the City. Within the new build programme we are prioritising the provision of wheelchair housing and, in partnership with Health and Social Care, meeting the needs for community care

The development in partnership with Hillcrest Housing Association at Alexander Street has started on site with completions scheduled from autumn 2017. Planning is well advanced for the new build at Derby Street with a site start scheduled for early 2017.

The programme will continue to include houses for those who need wheelchair housing as well as addressing the needs of community care groups.

8 **PRUDENTIAL INDICATORS**

- 8.1 The Prudential Code requires the Executive Director of Corporate Services to prepare a set of indicators that demonstrate that the Council's Capital Plan 2017-2022 is affordable, prudent and sustainable. A copy of the Indicators is detailed in the attached Appendix 2 to this report. The Indicators demonstrate that the Capital Plan 2017-2022 is indeed sustainable, affordable and prudent.

9 **RISK ASSESSMENT**

- 9.1 There are a number of risks which may have an impact on the Capital Plan 2017-2022. The main areas of risk are set out below, together with the mechanisms in place to help mitigate these risks.
- 9.2 Currently, building cost inflation levels are volatile, and they can on occasion be relatively high in comparison to general inflation. Therefore delays in scheduling and letting contracts may lead to increases in projected costs. In such an event, every effort will be made to ensure delays are avoided wherever possible and any increase in costs minimised.
- 9.3 Slippage in the Capital programme leads to the need to reschedule projects in the Capital Plan, therefore creating problems in delivering the programme on time. An allowance for slippage has been included in the Capital Plan to take cognisance of this. In addition the programme is carefully monitored and any potential slippage identified as soon as possible and any corrective action taken wherever possible.
- 9.4 Capital projects can be subject to unforeseen price increases. The nature of building projects is such that additional unexpected costs can occur. Contingencies are built into the budget for each capital project and these will be closely monitored throughout the project.
- 9.5 There is risk associated with projects that are not yet legally committed as the works are not yet tendered for, and there is potential for costs to be greater than the allowance contained within the Capital Plan. The Capital Programmes will be adjusted as necessary to reflect updated cost estimates.
- 9.6 The accurate projection of the value and timing of capital receipts from asset sales is difficult in the current economic climate. There is a risk that the level of capital receipts assumed in the financing of the capital programme will not be achieved. In preparing the capital plan the Council has budgeted for a low level of Capital receipts being achieved. Additional borrowing can be used to cover any temporary shortfalls in capital receipts.
- 9.7 The amount and timing of capital receipts can also be difficult to accurately project as sales are often conditional on planning permission and other non-financial factors. This is the case even in times of relative economic stability.

- 9.8 The level of General Capital Grant received from Scottish Government may be impacted by budgetary constraints in future financial settlements. The figures included from 2017-2022 are estimated and subject to change.

10 **POLICY IMPLICATIONS**

- 10.1 This report has been screened for any policy implications in respect of Sustainability, Strategic Environmental Assessment, Anti-Poverty, and Equality Impact Assessment.

There are no major issues.

Each individual project will be screened for each of these elements and they will be reviewed when reported to Committee. This will include preparation of Equality Impact Assessments where appropriate.

11 **CONSULTATION**

- 11.1 The Chief Executive, Executive Director of City Development, Executive Director of Neighbourhood Services and Head of Democratic and Legal Services have been consulted in the preparation of this report.

12 **BACKGROUND PAPERS**

None

MARJORY STEWART
EXECUTIVE DIRECTOR OF CORPORATE SERVICES

20 OCTOBER 2016

CAPITAL RESOURCES – GENERAL SERVICES**1 CAPITAL EXPENDITURE FUNDED FROM BORROWING**

- 1.1 The level of borrowing for 2017/2018 to 2021/2022 has been determined based largely on the level of expenditure that can be afforded from the Capital Financing Costs included within the Provisional 2017/2018 Revenue Budget and is shown below:

	Total Borrowing £m	General Services Borrowing £m	Housing HRA Borrowing £m
2017/18	92.736	79.239	13.497
2018/19	45.577	32.777	12.800
2019/20	22.080	9.329	12.751
2020/21	28.899	17.719	11.180
2021/22	30.977	19.560	11.417

- 1.2 The level of borrowing shown above includes some capital projects where a proportion of the borrowing will be funded from efficiency savings and prudential borrowing from new income streams from within Departmental Revenue Budgets and these figures are shown below, for example New Dundee Railway Station Concourse & Hotel. In addition the borrowing to fund National Housing Trust phases 1 & 2 is also included. The debt servicing costs are financed by rental income from the houses and the principal is repaid when the houses are sold (Scottish Government guarantees to underwrite the repayment).

	£m
2017/18	17.436
2018/19	6.558
2019/20	1.158
2020/21	1.158
2021/22	1.158

2 CAPITAL GRANTS AND CONTRIBUTIONS

The Finance Circular 1-2016 received from the Scottish Government in February 2016 confirmed the level of General Capital Grant for 2016/17. Included within this allowance is £98,000 in 2016/2017 for Flood Protection. Subsequent to Finance Circular 1-2016, the Council has received an additional £5.699m in 2016/2017 on Flood Schemes in Dundee and Broughty Ferry. Within the General Capital Grant the Council has flexibility to allocate the grant to reflect its service priorities and greatest need.

The General Capital Grant allocations for 2017/2018 to 2021/2022 are estimated figures, and include the grant the Council anticipates to receive for Flood Protection Measures in Dundee and Broughty Ferry. The figures shown in 2017/2018 to 2021/2022 are net of any transfer for Private Sector Housing Grant, and will be subject to confirmation at the next Scottish Government Spending Review.

- 2.2 In addition to the General Capital Grant, the Council receives grants and contributions which are specific to an individual project. These grants and contributions are shown within the detailed pages of the Capital Plan and summarised on the Resources page of the Capital Plan 2017-2022 (page 2).

	Total Grants & Contributions £m	General Capital Grant £m	Project Specific Capital Grants & Contributions £m
2017/18	54.384	23.329	31.055
2018/19	19.133	17.936	1.197
2019/20	17.765	17.000	765
2020/21	17.765	17.000	765
2021/22	17.765	17.000	765

- 2.3 The Council was awarded funding from the Growth Accelerator Model (GAM) from the Scottish Government in 2015/2016. The focus of the application was on the Central Waterfront including funds for the V&A Dundee, Railway Station Concourse, Public Realm, Digital Infrastructure and an Office Development. The grant totals £40.4m (£63.8m including financing costs). Negotiations are ongoing with the Scottish Government to set targets/measurements to be achieved in order to release the funds. It is hoped that the Legal agreement between the Council and the Scottish Government can be signed by the end of December 2016.

3 **CAPITAL RECEIPTS**

These comprise receipts from the sale of land and buildings. Income from the sale of land and buildings has been estimated in consultation with officers from the City Development Department and account has been taken of the current economic climate.

	Total Receipts £m	General Services £m	Housing HRA £m
2017/18	5.717	3.000	2.717
2018/19	4.994	3.000	1.994
2019/20	4.791	3.000	1.791
2020/21	4.394	3.000	1.394
2021/22	4.183	3.000	1.183

It is anticipated that the Waterfront development will generate additional capital receipts or long lease income to support further capital expenditure. No allowance has currently been made for this, although it is expected that this 'city dividend' arising from the transformational investment will be significant and will unlock further funding to enable further reinvestment.

4 **SLIPPAGE**

- 4.1 An allowance for slippage has been made in the early years of the Capital Plan 2017-2022 to reflect the movement which occurs on projects due to delays on projects both in the design and procurement stage and during construction. The slippage allowances for 2016/2017 and 2017/2018 are £10.0m and £5.0m respectively.

1. CAPITAL EXPENDITURE INDICATORS**1.1 Level of Capital Expenditure**

This indicator measures affordability and gives a basic control of the Council's capital expenditure. To provide an accurate indicator of capital expenditure all receipts are excluded from the calculation, so figures are based on gross expenditure.

1.2 Ratio of Financing Costs to Net Revenue Stream

This also measures affordability. The measure includes both current and future commitments based on the Capital Plan and shows the revenue budget used to fund the capital financing costs associated with the capital expenditure programme.

Variations to the ratio imply that the proportion of loan charges has either increased or decreased in relation to the total funded from Government Grants and local taxpayers.

1.3 Estimate of Incremental Impact of Capital Investment Decisions on the Council Tax

This is also a measure of affordability. It shows the relative impact of the capital programme on the Council Tax. The indicator takes into consideration the effects of self-financing capital projects funded from existing Revenue Budgets, the effects of government funded projects and reflects the revenue impact of capital schemes on capital financing costs. The variation in the indicators shows the incremental impact of the capital investment decisions within this 5 Year Plan on the Council Tax, with all other items held constant. In reality the Council will manage its Capital Financing Costs budget in the same way as other revenue budget headings to avoid a detrimental impact on Council Tax.

2. TREASURY MANAGEMENT INDICATORS

The Annual Treasury Management Activity for 2015/2016 (including Prudential Indicators covering period 2015/2016 to 2020/2021) was reported to Policy & Resources Committee on 13 June 2016 (Report No 190-2016). These have now been updated to reflect projected expenditure included in the 2017-2022 Capital Plan.

PRUDENTIAL CODE INDICATORS - TREASURY MANAGEMENT INDICATORS

Adoption of Revised CIPFA Treasury Management Code of Practice

Yes

Upper limit for variable and fixed rate exposure

	Net principal re variable rate borrowing / investments	Net principal re fixed rate borrowing / investments
2016/17	30%	100%
2017/18	30%	100%
2018/19	30%	100%
2019/20	30%	100%
2020/21	30%	100%
2021/22	30%	100%

Actual External Debt

	£'000
Actual borrowing as at 31/03/2016	461,695
Actual other long term liabilities as at 31/03/2016	74,035
Actual external debt as at 31/03/2016	535,730

Maturity structure of fixed rate borrowing 2015/16

Period	Lower %	Upper %
Under 12 months	0	10
12 months & within 24 months	0	15
24 months & within 5 years	0	25
5 years & within 10 years	0	25
10 years +	50	95
Upper limit for total principal sums invested for over 364 days	n/a	No sums will be invested longer than 364 days

External debt, excluding investments, with limit for borrowing and other long term liabilities separately identified

	Authorised Limit			Operational Boundary		
	Borrowing £000	Other £000	Total £000	Borrowing £000	Other £000	Total £000
2016/17	521,000	72,000	593,000	496,000	72,000	568,000
2017/18	590,000	70,000	660,000	565,000	70,000	635,000
2018/19	612,000	68,000	680,000	587,000	68,000	655,000
2019/20	612,000	66,000	678,000	587,000	66,000	653,000
2020/21	620,000	64,000	684,000	595,000	64,000	659,000
2021/22	629,000	62,000	691,000	604,000	62,000	666,000

PRUDENTIAL CODE INDICATORS - PRUDENTIAL INDICATORS

	Capital Expenditure			Ratio of financing costs to net revenue stream	
	Non-HRA £000	HRA £000	Total £000	Non-HRA %	HRA %
2016/17	100,303	22,286	122,589	7.5	40.6
2017/18	138,883	20,936	159,819	7.7	40.1
2018/19	54,145	15,559	69,704	8.4	39.8
2019/20	29,329	15,307	44,636	8.0	40.4
2020/21	37,719	13,339	51,058	8.1	38.5
2021/22	39,560	13,365	52,925	n/a	38.3

	Net Borrowing Requirement (NBR)			Capital Financing Requirement (CFR)			
	1 April £000	31 March £000	Movement £000	Non-HRA £000	HRA £000	Total £000	Movement £000
2016/17	461,292	492,000	30,708	339,000	175,000	514,000	30,380
2017/18	492,000	561,000	69,000	406,000	177,000	583,000	69,000
2018/19	561,000	583,000	22,000	426,000	179,000	605,000	22,000
2019/20	583,000	583,000	0	426,000	179,000	605,000	0
2020/21	583,000	591,000	8,000	433,000	180,000	613,000	8,000
2021/22	591,000	600,000	9,000	443,000	179,000	622,000	9,000

	NBR v CFR Difference
	Total £000
2016/17	22,000
2017/18	22,000
2018/19	22,000
2019/20	22,000
2020/21	22,000
2021/22	22,000

Incremental Impact of Capital Investment Decisions	
Increase in council tax (band D) per annum £ (Note 1)	Increase in average housing rent per week £
(0.01)	(0.10)
(2.73)	0.29
1.75	0.09
11.12	0.01
12.04	(0.14)
11.49	(0.15)

Note 1 - The above figures reflect the incremental impact of the capital investment decisions within this 5 Year Plan on the Council Tax, with all other items held constant. In reality the Council will manage its Capital Financing Cost budget in the same way as other revenue budget headings to avoid a detrimental impact on Council Tax Levels. In addition the Property Rationalisation programme will generate revenue savings which will offset the incremental impact of the additional capital expenditure.



CAPITAL PLAN 2017 - 2022

FOR

GENERAL SERVICES

&

HOUSING HRA

Oct-16

Executive Director of Corporate Services

DUNDEE CITY COUNCIL

CAPITAL PLAN 2017 - 2022

CONTENTS

Page No.

GENERAL FUND SERVICES

Projected Capital Resources	2
Summary of Total Budgeted Capital Expenditure	3
Jobs & Regeneration	4
Recreation, Culture, Arts & Heritage	5
Children & Families	6
Health & Social Care - Older People & Adults	7
Community Safety/Public Protection	8
Digital & ICT	9
Sustainable City Infrastructure	10
Corporate Asset Management	11
Housing HRA	12

DUNDEE CITY COUNCIL

CAPITAL PLAN 2017 - 2022

PROJECTED CAPITAL RESOURCES

		<u>2016/17</u> <u>£000</u>	<u>2017/18</u> <u>£000</u>	<u>2018/19</u> <u>£000</u>	<u>2019/20</u> <u>£000</u>	<u>2020/21</u> <u>£000</u>
1 Capital expenditure funded from borrowing	General Services	41,605	79,239	32,777	9,329	17,719
	Housing HRA	11,694	13,497	12,800	12,751	11,180
2 Capital Element of General Capital Grant less PSHQ		20,165	23,329	17,936	17,000	17,000
3 Capital grants & Contributions - project specific		27,905	31,055	1,197	765	765
4 Capital Receipts - Sale of Assets	General Services	2,000	3,000	3,000	3,000	3,000
	Housing HRA	8,470	2,717	1,994	1,791	1,394
5 Over Programming	General Services	10,000	5,000			
	Housing HRA		1,982			
TOTAL PROJECTED GROSS CAPITAL RESOURCES		121,839	159,819	69,704	44,636	51,058
TOTAL PLANNED GROSS CAPITAL EXPENDITURE		121,839	159,819	69,704	44,636	51,058

DUNDEE CITY COUNCIL

CAPITAL PLAN 2017 - 2022

PRICE BASE : OUTTURN PRICES

SUMMARY

ALL FIGURES £'000

Project/Nature of Expenditure	Total Cost of Project	Actual prior to 31-Mar-16							
			2016/17	2017/18	2018/19	2019/20	2020/21	2021/22	Later Years
Jobs & Regeneration	153,540	89,403	22,323	29,383	9,890	847	847	847	
Recreation, Culture, Arts & Heritage	120,639	31,053	30,506	48,000	3,425	1,725	4,605	1,325	
Children & Families	200,563	45,379	18,514	32,650	12,220	3,000	9,000	16,000	63,800
Health & Social Care - Older People & Adults	9,941	569	542	651	3,190	3,729	760	500	
Community Safety/Public Protection	38,769	9,509	6,758	9,511	6,329	2,782	2,660	1,040	180
Digital & ICT	28,598	13,549	2,399	2,770	2,420	2,520	2,520	2,420	
Sustainable City Infrastructure	100,784	45,338	7,856	7,208	7,158	8,158	9,858	10,108	5,100
Corporate Asset Management	86,564	36,329	10,655	8,710	9,513	6,568	7,469	7,320	
Housing HRA	97,649	-	22,286	20,936	15,559	15,307	13,339	13,365	
<i>Total Gross Expenditure</i>	837,047	271,129	121,839	159,819	69,704	44,636	51,058	52,925	69,080

DUNDEE CITY COUNCIL

CAPITAL PLAN 2017 - 2022

JOBS AND REGENERATION

PRICE BASE: OUTTURN PRICES

ALL FIGURES £'000

Project/Nature of Expenditure	Total Cost of Project	Actual prior to 31-Mar-16							Later Years
			2016/17	2017/18	2018/19	2019/20	2020/21	2021/22	
Industrial Estates Improvements	680	11	169	100	100	100	100	100	
Shopping Parade Improvements	1,983	1,355	128	100	100	100	100	100	
Demolition of Surplus Properties (Less External Funding)	11,814 (69)	5,955	1,089 (69)	2,570	550	550	550	550	
National Housing Trust Ph 2	10,000			4,600	5,400				
Central Waterfront (Less External Funding)	70,246 (45,413)	56,928 (39,976)	2,288 (688)	7,387 (4,730)	3,643 (19)				
Dundee Railway Station (Less External Funding)	38,000 (6,008)	8,093 (2,693)	15,378	14,529 (3,315)					
City Quay (Less External Funding)	1,983 (1,000)	167	1,816 (1,000)						
Shore Terrace Units 1-4	750		750						
Lochee Regeneration	2,008	1,970	38						
Vacant & Derelict Land Fund (Less External Funding)	15,300 (14,909)	14,889 (14,498)	411 (411)						
Community Regeneration Fund	776	35	256	97	97	97	97	97	
Net Expenditure	86,141	32,236	20,155	21,338	9,871	847	847	847	
Netted Off Receipts	(67,399)	(57,167)	(2,168)	(8,045)	(19)				
Gross Expenditure	153,540	89,403	22,323	29,383	9,890	847	847	847	

DUNDEE CITY COUNCIL

PRICE BASE: OUTTURN PRICES

CAPITAL PLAN 2017 - 2022

RECREATION, CULTURE, ARTS AND HERITAGE

ALL FIGURES £'000

Project/Nature of Expenditure	Total Cost of Project	Actual prior to 31-Mar-16							
			2016/17	2017/18	2018/19	2019/20	2020/21	2021/22	Later Years
DCA - General Upgrade	359	72	267	20					
V&A at Dundee (Less External Funding)	80,110 (57,000)	25,519 (23,304)	25,173 (20,138)	27,805 (13,145)	1,613 (413)				
Dundee Heritage Trust for Discovery Point Shore Terrace Phase II	500 3,000		50	300	150		3,000		
Parks & Open Space (Less External Funding)	11,023 (183)	2,842 (40)	2,301 (143)	1,780	830	1,330	1,110	830	
Sports Facilities (Less External Funding)	6,047 (540)	2,457 (290)	1,515 (125)	295 (125)	395	395	495	495	
Regional Performance Centre for Sport (Less External Funding)	19,600 (7,000)	163	1,200	17,800 (7,000)	437				
Net Expenditure	55,916	7,419	10,100	27,730	3,012	1,725	4,605	1,325	
Receipts	(64,723)	(23,634)	(20,406)	(20,270)	(413)				
Gross Expenditure	120,639	31,053	30,506	48,000	3,425	1,725	4,605	1,325	

DUNDEE CITY COUNCIL

PRICE BASE: OUTTURN PRICES

CAPITAL PLAN 2017 - 2022

CHILDREN & FAMILIES

ALL FIGURES £'000

Project/Nature of Expenditure	Total Cost of Project	Actual prior to 31-Mar-16							
			2016/17	2017/18	2018/19	2019/20	2020/21	2021/22	Later Years
Harris Academy Refurbishment	32,350	31,247	1,103						
(Less External Funding)	(20,363)	(20,363)							
Decanting Harris & Refurbishment Rockwell	2,241	2,152	89						
Coldside - New Primary & Community Facilities	15,910	327	1,500	12,860	1223				
Menzieshill - New Primary & Nursery Facilities	13,252	990	9,078	3,184					
Menzieshill - Community Provision	10,600	403	1,000	1,000	7197	1,000			
(Less External Funding)	(1,320)	(339)	(981)						
Baldragon Academy Replacement - Secondary Element - Council Contribution	1,500	721	319	460					
(Less External Funding)	(500)	(500)							
Sidlaw View Replacement - Primary/Nursery Element	8,415	5,101	3,214	100					
North Eastern School Campus	16,900	28	1,372	13,700	1,800				
Primary School Investment	23,000					200	3,000	11,000	8,800
Secondary School Investment	48,500						1,000	2,500	45,000
Victorian / Edwardian Schools	15,000				200	800	2,000	2,000	10,000
Lochee - Community Provision	3,000					500	2,500		
Fairbairn Street Young Persons House	1,500			500	1,000				
Community Centres	992	83	263	346	300				
Capital Spend Children & Young People Act 2014	3,445	3,369	76						
Capitalisation of Schools PPP Unitary Charge(re PPP, previously CFCR)	3,958	958	500	500	500	500	500	500	
Net Expenditure	178,380	24,177	17,533	32,650	12,220	3,000	9,000	16,000	63,800
Receipts	(22,183)	(21,202)	(981)						
Gross Expenditure	200,563	45,379	18,514	32,650	12,220	3,000	9,000	16,000	63,800

DUNDEE CITY COUNCIL

PRICE BASE: OUTTURN PRICES

CAPITAL PLAN 2017 - 2022

HEALTH & SOCIAL CARE - OLDER PEOPLE AND ADULTS

ALL FIGURES £'000

Project/Nature of Expenditure	Total Cost of Project	Actual prior to 31-Mar-16							Later Years	
			2016/17	2017/18	2018/19	2019/20	2020/21	2021/22		
Day Services Accommodation for People with Learning Disabilities: Whitetop	989	547	442							
Craigie House Replacement	6,502	22		551	2940	2,979	10			
Provision of Accommodation for Adults with Learning Disabilities	950		100	100	250	250	250			
Strategic Funding for Older People and Adults	1,500					500	500	500		
Net Expenditure	9,941	569	542	651	3,190	3,729	760	500		
Receipts										
Gross Expenditure	9,941	569	542	651	3,190	3,729	760	500		

DUNDEE CITY COUNCIL

PRICE BASE: OUTTURN PRICES

CAPITAL PLAN 2017 - 2022

COMMUNITY SAFETY/PUBLIC PROTECTION

ALL FIGURES £'000

Project/Nature of Expenditure	Total Cost of Project	Actual prior to 31-Mar-16								
			2016/17	2017/18	2018/19	2019/20	2020/21	2021/22	Later Years	
CCTV Project	1,000	60	690		250					
Coastal Protection Works	25,211	3,901	3,454	8,591	4,623	2,042	2,100	500		
Flood Risk Management	1,077		177		180	180	180	180	180	180
Cemeteries	2,076	1,160	366	110	110	110	110	110		
Construction of Salt Barn	400			400						
Air Quality Monitoring Equipment	89	49	40							
Contaminated Land	1,049	449	100	100	100	100	100	100		
Recycling & Waste Management	5,197	3,890	371	225	211	180	170	150		
Recycling Initiatives	1,560		1,560							
Riverside Recycling Site	485				315	170				
Baldovie	540				540					
Recycling Centres	85			85						
Net Expenditure	38,769	9,509	6,758	9,511	6,329	2,782	2,660	1,040	180	
Receipts										
Gross Expenditure	38,769	9,509	6,758	9,511	6,329	2,782	2,660	1,040	180	

DUNDEE CITY COUNCIL

PRICE BASE: OUTTURN PRICES

CAPITAL PLAN 2017 - 2022

DIGITAL AND ICT

ALL FIGURES £'000

Project/Nature of Expenditure	Total Cost of Project	Actual prior to 31-Mar-16							
			2016/17	2017/18	2018/19	2019/20	2020/21	2021/22	Later Years
Purchase Computer Equipment	21,036	12,182	1,654	1,320	1,420	1,520	1,520	1,420	
Replacement of Major Departmental Systems	800	315	485						
Purchase of Computer Software (CITRIX)	800	765	35						
IT Infrastructure & Software Requirement	512	287	225						
Smart Cities Digital/ICT Investment	5,000			1,000	1,000	1,000	1,000	1,000	
Data Centre	450			450					
Net Expenditure	28,598	13,549	2,399	2,770	2,420	2,520	2,520	2,420	
Receipts									
Gross Expenditure	28,598	13,549	2,399	2,770	2,420	2,520	2,520	2,420	

DUNDEE CITY COUNCIL

PRICE BASE: OUTTURN PRICES

CAPITAL PLAN 2017 - 2022

SUSTAINABLE CITY INFRASTRUCTURE

ALL FIGURES £'000

Project/Nature of Expenditure	Total Cost of Project	Actual prior to 31-Mar-16							
			2016/17	2017/18	2018/19	2019/20	2020/21	2021/22	Later Years
Road Schemes/Minor Schemes (Less External Funding)	21,391 (2,572)	12,899 (2,410)	1,492 (162)	1,250	1,500	1,500	1,500	1,250	
Street Lighting Renewal	16,675	7,897	1,513	1,453	1,453	1,453	1,453	1,453	
Road Reconstructions/Recycling	36,879	16,529	3,325	3,405	3,405	3,405	3,405	3,405	
Bridge Assessment Work Programme	3,451	1,890	311	50	50	50	550	550	
Regional Transport Partnership	5,337	3,972	565	400	100	100	100	100	
Council Roads and Footpaths - Other	6,051	2,151	650	650	650	650	650	650	
Sustainable Transport	4,500						500	1,000	3,000
Low Carbon Transport Initiative	3,000					1,000	1,000	1,000	
Passenger Bus Shelters	3,500						700	700	2,100
Net Expenditure	98,212	42,928	7,694	7,208	7,158	8,158	9,858	10,108	5,100
Receipts	(2,572)	(2,410)	(162)						
Gross Expenditure	100,784	45,338	7,856	7,208	7,158	8,158	9,858	10,108	5,100

DUNDEE CITY COUNCIL

CAPITAL PLAN 2017 - 2022

CORPORATE ASSET MANAGEMENT

PRICE BASE: OUTTURN PRICES

ALL FIGURES £'000

Project/Nature of Expenditure	Total Cost of Project	Actual prior to 31-Mar-16							
			2016/17	2017/18	2018/19	2019/20	2020/21	2021/22	Later Years
Upgrade of City Square - East & West Wing	2,301	1	100	1,000	1,200				
Registrars Move to 18-20 City Square	205	203	2						
Cleington Road - Demolition & Remediation	3,016	1,417	1,599						
Marchbanks Demolition & Remediation	1,650						900	750	
Structural Improvements & Property Upgrades	15,099	7,481	1,118	1,300	1,300	1,300	1,300	1,300	
Heating & Ventilation Systems	7,749	4,749	500	500	500	500	500	500	
Roof Replacement/Improvement Programme	6,504	3,204	550	550	550	550	550	550	
Window Replacement	5,740	3,340	400	400	400	400	400	400	
Electrical Upgrades	6,405	2,109	796	700	700	700	700	700	
Disabled Access	400	73	77	50	50	50	50	50	
Health & Safety Works	2,314	453	361	300	300	300	300	300	
Property Rationalisation	7,750	5	995	1,000	2,750	1,000	1,000	1,000	
Energy	2,650	37	363	1,650	150	150	150	150	
Procurement Costs	868	192	96	110	113	118	119	120	
Vehicle Fleet Purchases	22,053	13,065	1,838	1,150	1,500	1,500	1,500	1,500	
(Less External Funding)	(1,369)	(1,163)	(206)						
Go Ultra Low City Scheme	1,860		1,860						
(Less External Funding)	(1,860)		(1,860)						
Net Expenditure	83,335	35,166	8,589	8,710	9,513	6,568	7,469	7,320	
Receipts	(3,229)	(1,163)	(2,066)						
Gross Expenditure	86,564	36,329	10,655	8,710	9,513	6,568	7,469	7,320	

DUNDEE CITY COUNCIL

PRICE BASE: OUTTURN PRICES

CAPITAL PLAN 2017 - 2022

HOUSING HRA

ALL FIGURES £'000

Project/Nature of Expenditure	Total Cost of Project	Actual prior to 31-Mar-16							Later Years
			2016/17	2017/18	2018/19	2019/20	2020/21	2021/22	
SHQS CAPITAL									
Free from Serious Disrepair									
Roof	10,351		2,501	939	1,695	1,380	2,066	1,770	
Roughcast	600		100	100	100	100	100	100	
Windows	6,045		1,050	995	1,075	975	975	975	
Energy Efficient									
External Insulation and Cavity Fill	25,604		5,521	4,004	4,369	4,132	3,578	4,000	
Heating Replacement	24,970		4,798	4,172	4,000	4,000	4,000	4,000	
Boiler Replacement	350		100	50	50	50	50	50	
Renewable Initiatives/Gas Services	550		50	100	100	100	100	100	
Modern Facilities and Services									
Individual Shower Programme	160		10	30	30	30	30	30	
Healthy, Safe and Secure									
Door Entry System/Secure Doors	850		100	150	150	150	150	150	
Door Entry Replacement	400				100	100	100	100	
Fire Detection	500		200	100	50	50	50	50	
MSD Improvements	4,663		3,463	500	500	100	100		
Electrical Upgrading	500			100	100	100	100	100	
Soundproofing	208		58	30	30	30	30	30	
Miscellaneous									
Fees	100		50	10	10	10	10	10	
Gas Pipe Replacement	100		100						
Disabled Adaptations	4,500		750	750	750	750	750	750	
Lenel Door Security System	10		10						
Increased Supply of Council Housing (Less External Funding)	17,043		2,070	7,773	2,100	3,100	1,000	1,000	
	(3,143)		(1,400)	(1,743)					
Demolitions	1,568		718	650	200				
Owners Receipts	(4,779)		(722)	(997)	(765)	(765)	(765)	(765)	
Digital/ICT	789		456	333					
Community Care									
Sheltered Lounge Upgrades	931		181	150	150	150	150	150	
Net Expenditure	92,870		20,164	18,196	14,794	14,542	12,574	12,600	
Netted Off Receipts	(4,779)		(2,122)	(2,740)	(765)	(765)	(765)	(765)	
Gross Expenditure	97,649		22,286	20,936	15,559	15,307	13,339	13,365	